



• June 30, 2014 Quarterly Report

Subject

This is the quarterly report to the Audit and Ethics Committee on the exercise of authority delegated to the Ethics Officer to obtain professional services (Administrative Code Section 6472.)

Attachments

Attachment A: Status of open professional services agreements for fiscal year 2013-14 as of June 30, 2014; and complaints/advice requests summary.

Detailed Report

Within the past quarter, the Ethics Officer entered into or amended the following professional services contracts pursuant to authority under Administrative Code section 6472:

Garcia Hernandez Sawhney & Bermudez LLP

The agreement was completed this quarter after satisfactory completion of the scope of work, final billings, and payments.

Vincenti, Lloyd & Stutzman

This quarter the Ethics Officer retained this consultant to provide specialized investigation services related to an ethics complaint lodged with the Ethics Officer. The consultant possesses expertise in the fields of forensic accounting, financial investigation services, financial statement analysis, and compliance monitoring programs.

Donna R. Evans

This quarter the Ethics Officer retained this consultant to provide independent investigation services related to an ethics complaint by an employee alleging whistleblower retaliation.

Ethics Office (June 30, 2014 Quarterly Report)

Attachment A

Status of open professional services agreements for fiscal year 2013-14 as of June 30, 2014.

Provider	Agreement Number	Agreement Cap	Amount Paid				
			Q1	Q2	Q3	Q4	Total
The Network				\$4,250			\$4,250
Garcia Hernandez Sawhney & Bermudez LLP	139839	\$15,000		\$3,680	\$26,054		\$29,734
Robert M. Stern	141252	\$6,000			\$4,860		\$4,860
Vincenti, Lloyd & Stutzman	145085	\$24,999					
Donna R. Evans	145790	\$24,999					
Total Q1-Q4							\$38,844

Ethics Office Matters FY 2013-2014					
	Q1	Q2	Q3	Q4	Total
Complaints	15	10	8	10	43
Advice requests	9	9	19	21	58
Total	24	19	27	31	101