



Audit & Ethics Comm.

- J. Edwards, Chair
- L. Friedman, V. Chair
- S. Ballin
- B. Barbre
- D. Dear
- L. Dick
- G. Pringle
- J. Quiñonez
- R. Wunderlich

**Audit and Ethics Committee
Meeting and Workshop**

Meeting with Board of Directors*

October 22, 2013

10:30 a.m. -- Room 2-145

Tuesday, October 22, 2013 Meeting Schedule		
7:00-8:00 a.m.	Rm. 2-413	Dirs. Computer Training
9:30 a.m.	Rm. 2-456	Bay-Delta
10:30 a.m.	Rm. 2-145	A&E
12:00 p.m.	Board Rom	Exec.

MWD Headquarters Building • 700 N. Alameda Street • Los Angeles, CA 90012

*The Metropolitan Water District's Audit and Ethics Committee meeting is noticed as a joint meeting with the Board of Directors for the purpose of compliance with the Brown Act. Members of the Board who are not assigned to the Audit and Ethics Committee may attend and participate as members of the Board, whether or not a quorum of the Board is present. In order to preserve the function of the committee as advisory to the Board, members of the Board who are not assigned to the Audit and Ethics Committee will not vote on matters before the Audit and Ethics Committee.

1. **Opportunity for members of the public to address the committee on matters within the committee's jurisdiction (As required by Gov. Code Section 54954.3(a))**
2. **Approval of the Minutes of the meeting of the Audit and Ethics Committee held August 19, 2013**
3. **CONSENT CALENDAR ITEMS -- ACTION**

7-1 Authorization to award three-year contract for external audit services to Macias Gini & O'Connell, LLP for the maximum amount of \$915,096. (A&E)

Recommendation:

Option #1:

Adopt the CEQA determination that the proposed action is not subject to CEQA and authorize the General Auditor to enter into a contract for external audit services with the firm of Macias Gini & O'Connell, LLP for annual audits covering the fiscal years ending June 30, 2014 through June 30, 2016. The amount payable under this three-year contract will not exceed \$915,096.

4. COMMITTEE ITEMS

- a. Discussion of Independent Auditor's Report from KPMG LLP for fiscal year 2012/13
- b. General Auditor's summary of activities for the Audit Department
- c. Ethics Officer's summary of activities for the Ethics Department

5. WORKSHOP ITEM

- a. Review matrix of existing and recommended ethics provisions and review investigation procedures and due process protections

6. ADJOURNMENT

NOTE: At the discretion of the committee, all items appearing on this agenda, whether or not expressly listed for action, may be deliberated and may be subject to action by the committee.

This committee reviews items and makes a recommendation for final action to the full Board of Directors. Final action will be taken by the Board of Directors. Agendas for the meeting of the Board of Directors may be obtained from the Board Executive Secretary. This committee will not take any final action that is binding on the Board, even when a quorum of the Board is present.

Writings relating to open session agenda items distributed to Directors less than 72 hours prior to a regular meeting are available for public inspection at Metropolitan's Headquarters Building and on Metropolitan's Web site <http://www.mwdh2o.com>.

Requests for a disability related modification or accommodation, including auxiliary aids or services, in order to attend or participate in a meeting should be made to the Board Executive Secretary in advance of the meeting to ensure availability of the requested service or accommodation.