

Office of Ethics

Agenda Item 8-1

Audit and Ethics Committee



Action Item 8-1

- Adopt policy principles
- Approve investigation guidelines
- Authorize \$245,000 increase in FY 2013-14 budget and personnel authorization

Ethics – Three Approaches

Values Based



Aspirational

Subjective

Advisory

Rules Based



Standards

Objective

Enforceable

Compliance



Due Diligence

Correction

Governance Support

Ethical Culture

Assessments

Strengths

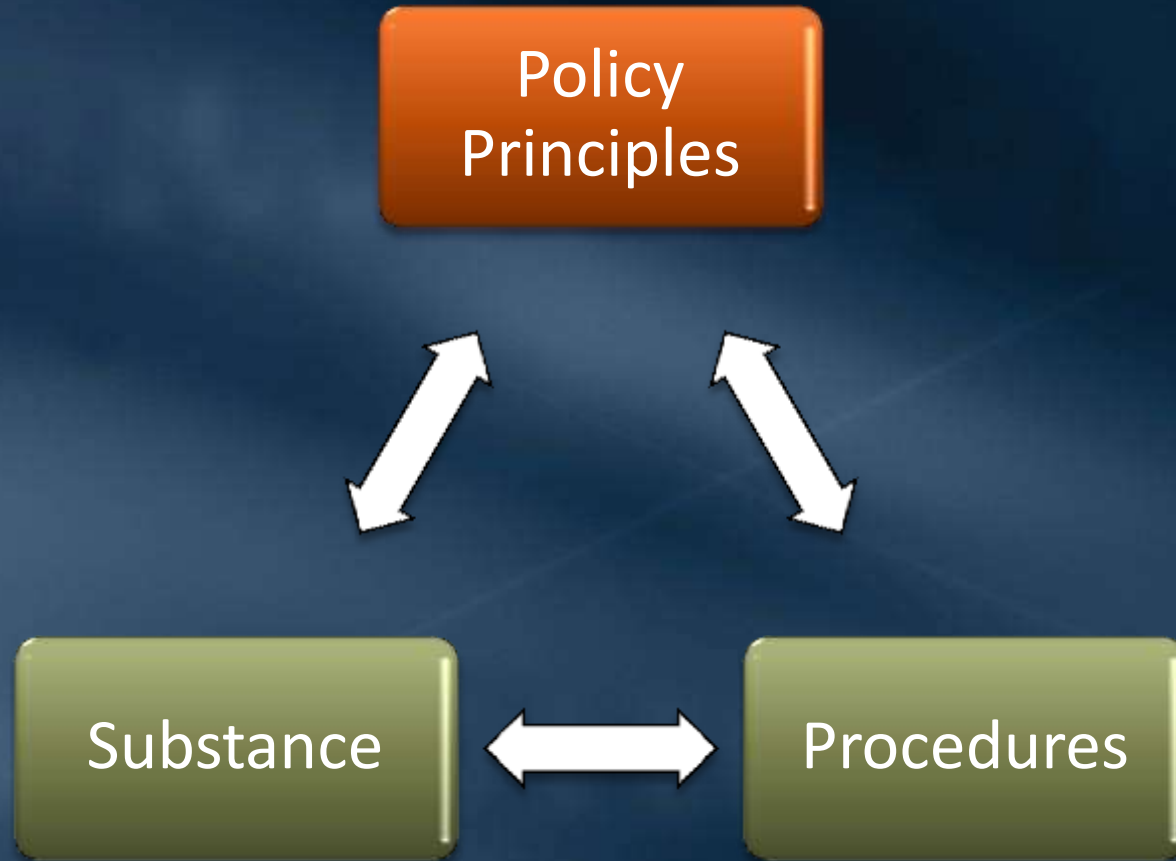
- Education
- Advice
- Values

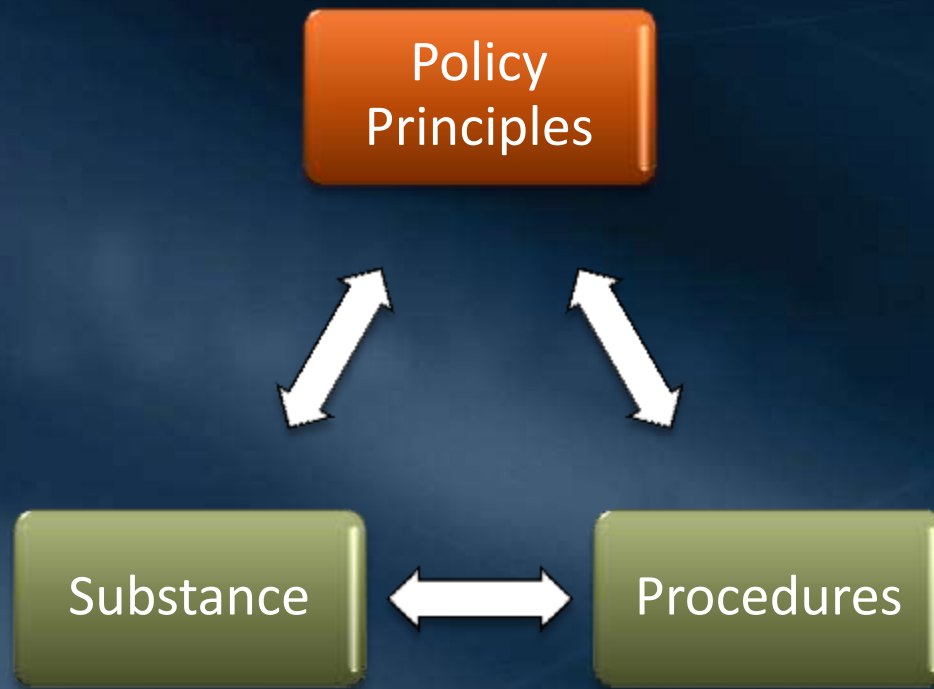
Areas for improvement

- Clarity
- Process integrity
- Capacity

Proposed Schedule

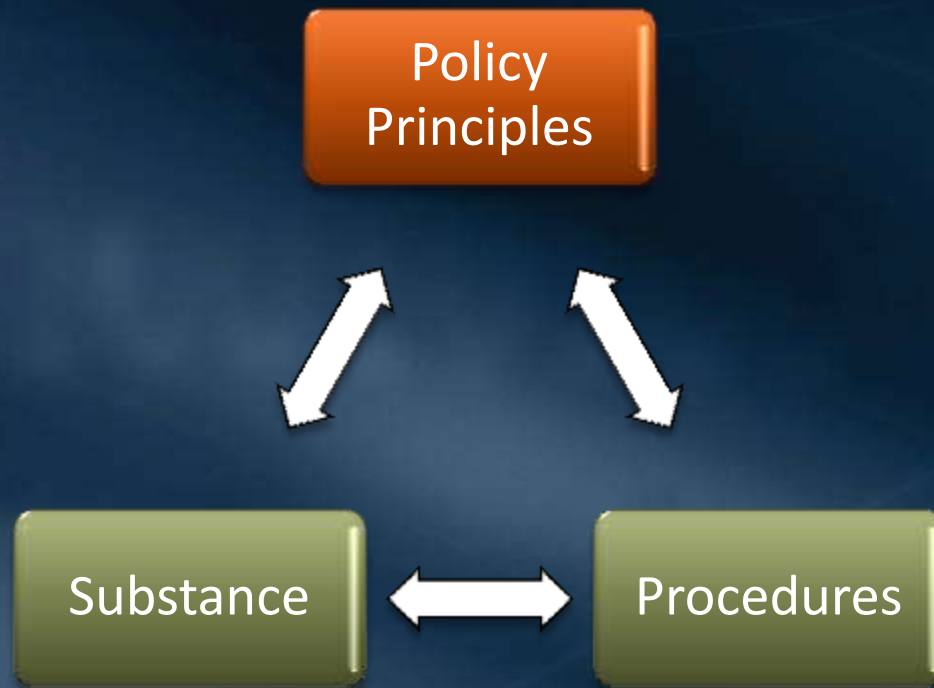
Action Items	Proposed Committee Meetings
Part I	
1. Policy Principles	July 23, 2013
2. Investigations policies	(for Aug 20, 2013 BOD)
3. Short-term resources	
Part II	
1. Ethics regulations	Oct 7, 2013
2. Scope of responsibilities	
Part III	
1. Ethics regulations, cont.	Dec 9, 2013
2. Long-Term Resources	





- Clarity
- Objectivity
- Focused Policies
- Accountability
- Policy guidance





- Clarity
- Objectivity
- Focused Policies
- Accountability
- Policy guidance
- Integrity
- Independent
- Procedural Fairness
- Due Process
- Investigative Reports

Investigation Process



Investigation Process

Ethics Office



Ethics Officer

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graph TD; EO[Ethics Officer] --- MA[Management Administration]; EO --- AO[Advice Outreach]; EO --- I[Investigations]; EO --- SP[Strategic Planning/Policy];
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Management
Administration

Advice
Outreach

Investigations

Strategic
Planning/Policy

Ethics Officer

Management Administration

Budget

Purchasing

Record Management

Contracts

Intake

Advice Outreach

Training Programs

Mediation

Publications

Risk Management

Cultural Change

Ethics Officer

Management Administration

Budget

Purchasing

Record Management

Contracts

Intake

Advice Outreach

Training Programs

Mediation

Publications

Risk Assessment

Cultural Change

Investigations

Data Research

Interviewing

Analysis

Reporting

Strategic Planning/Policy

Policy Analysis

Research

Subject Matter Development

Strategic Planning

