



Audit & Ethics Comm.

J. Edwards, Chair
L. Friedman, V. Chair
S. Ballin
B. Barbre
L. Dick
G. Pringle
J. Quiñonez
R. Wunderlich

Audit and Ethics Committee

Meeting with Board of Directors*

July 23, 2013

10:30 a.m. -- Room 2-145

Tuesday, July 23, 2013 Meeting Schedule		
7:00-8:00 a.m.	Rm. 2-413	Dirs. Computer Training
9:00 a.m.	Rm.2-145	RP&AM
9:30 a.m.	Rm. 2-456	Bay-Delta
10:30 a.m.	Rm. 2-145	A&E
12:00 p.m.	Board Room	Executive

MWD Headquarters Building • 700 N. Alameda Street • Los Angeles, CA 90012

*The Metropolitan Water District's Audit and Ethics Committee meeting is noticed as a joint meeting with the Board of Directors for the purpose of compliance with the Brown Act. Members of the Board who are not assigned to the Audit and Ethics Committee may attend and participate as members of the Board, whether or not a quorum of the Board is present. In order to preserve the function of the committee as advisory to the Board, members of the Board who are not assigned to the Audit and Ethics Committee will not vote on matters before the Audit and Ethics Committee.

1. **Opportunity for members of the public to address the committee on matters within the committee's jurisdiction** (As required by Gov. Code Section 54954.3(a))
2. **Approval of the Minutes of the meeting of the Audit and Ethics Committee held June 10, 2013**
3. **OTHER BOARD ITEMS -- ACTION**

8-1 Adopt Ethics Office policy principles and investigations guidelines; and authorize fiscal year 2013/14 budget increase of \$245,000 to meet near-term labor and professional services needs. (A&E)

Recommendation:

Option #1:

Adopt the CEQA determination that the proposed actions are not subject to CEQA and

- a. **Adopt the policy principles in Attachment 1 to the board letter;**
- b. **Adopt the investigations guidelines in Attachment 2 to the board letter; and**
- c. **Authorize adjustment to the Ethics Department fiscal year 2013/14 budget as described to meet short-term resource needs.**

4. COMMITTEE ITEMS

- a. Discussion of KPMG fiscal year 2012/13 Audit Plan

5. ADJOURNMENT

NOTE: At the discretion of the committee, all items appearing on this agenda, whether or not expressly listed for action, may be deliberated and may be subject to action by the committee.

This committee reviews items and makes a recommendation for final action to the full Board of Directors. Final action will be taken by the Board of Directors. Agendas for the meeting of the Board of Directors may be obtained from the Board Executive Secretary. This committee will not take any final action that is binding on the Board, even when a quorum of the Board is present.

Writings relating to open session agenda items distributed to Directors less than 72 hours prior to a regular meeting are available for public inspection at Metropolitan's Headquarters Building and on Metropolitan's Web site <http://www.mwdh2o.com>.

Requests for a disability related modification or accommodation, including auxiliary aids or services, in order to attend or participate in a meeting should be made to the Board Executive Secretary in advance of the meeting to ensure availability of the requested service or accommodation.