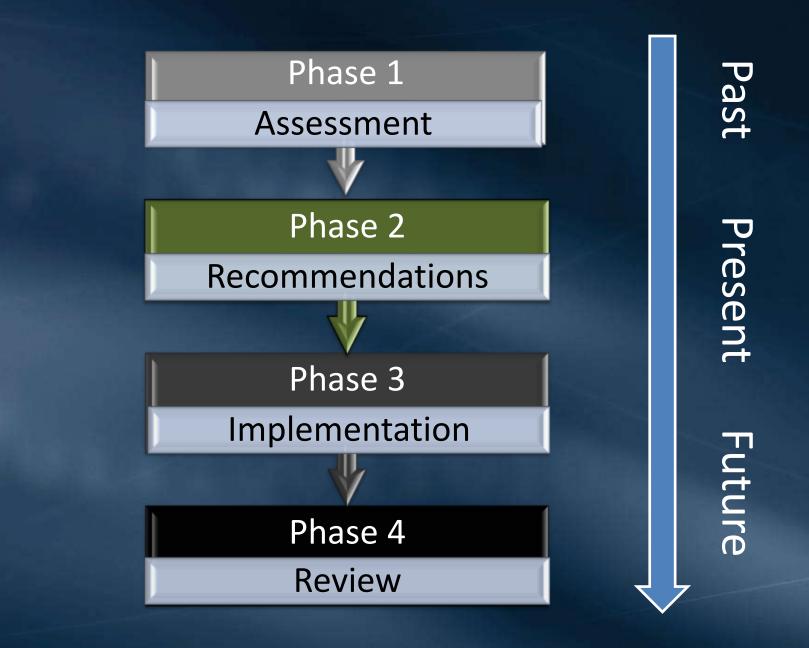
Office of Ethics Business Plan Audit and Ethics Committee June 10, 2013

FY 2013-14: Goals

Present comprehensive assessments and recommendations for Board's consideration.

At end of process, have an operational Ethics Office meeting standards of SB 60 and FSGO.



6/10/2013

Standards



- 1. Policy review
- 2. Investigation
- 3. Monitoring and compliance

1. Policy review

- Ethics rules
- Procedures
- Resources

1. Policy review 2. Investigation **Process integrity** Protection 8 Transparency 88 **Board and management** 88 Resources

- 1. Policy review
- 2. Investigation
- 3. Monitoring and compliance
 - Education and advice
 - Financial disclosure
 statements (Form 700)
 - Resources

Proposed Schedule

Action Items	Proposed Committee Meetings
Part I1. Policy principles2. Investigations policies3. Short-term resources	July 23, 2013 (for Aug 20, 2013 BOD)
Part II 1. Ethics regulations 2. Scope of responsibilities	Oct 7, 2013
Part III1. Ethics regulations, cont.2. Long-term Resources	Dec 9, 2013

Discussion