



- Board of Directors
Legal and Claims Committee

10/11/2011 Board Meeting

7-4

Subject

Amend the Conflict of Interest Code in Chapter 2 of Division VII of the Metropolitan Water District Administrative Code for statutory compliance and approval of the Fair Political Practices Commission

Description

Pursuant to a mandatory biennial review of Metropolitan's conflict of interest code in 2010, Metropolitan submitted a proposed revised code to the Fair Political Practices Commission (FPPC) in November 2010 for approval after a 45-day public review period and approval by Metropolitan's Board of Directors. FPPC regulations require FPPC approval of Metropolitan's conflict of interest code because Metropolitan is a multi-county local agency.

The conflict of interest code designates employees who must disclose certain investments, income, interests in real property and business positions, and who must disqualify themselves from making or participating in the making of governmental decisions affecting those interests. Public officials who manage public investments, which for Metropolitan are all Directors, the Chief Financial Officer, Treasurer and Assistant Treasurer, are not subject to Metropolitan's conflict of interest code because they are required to report all of their financial interests pursuant to the requirements of the Political Reform Act and FPPC regulations.

To obtain FPPC approval, the FPPC is requiring Metropolitan to revise its code to conform to a standardized format developed by the FPPC. Unlike the current format of Metropolitan's code, the FPPC format requires each designated position to be assigned disclosure based upon a limited number of broader disclosure categories. The proposed amendments, which have received the preliminary approval of the FPPC, reorganize Metropolitan's code to conform to the FPPC directive and reflect organizational changes made to Metropolitan's management structure since November 2010, including the addition of newly created positions and the deletion of positions that are no longer used. [Attachment 1](#) is a clean version of the proposed code, [Attachment 2](#) is a clean copy of the code submitted to the FPPC in November 2010, [Attachment 3](#) is a comparison of those two documents, and [Attachment 4](#) is a written explanation of the reasons for the changes being made.

The required 45-day public review period took place from July 15, 2011 to August 29, 2011. Two comments were received and reviewed. Both comments were satisfied through a clarification as to the nature of Metropolitan's code requirements, and no corrections were required to be made to the proposed amended conflict of interest code. The FPPC asked some questions about the proposed code following the close of the public review period. The questions prompted a review of the assigned disclosure categories for five of the listed positions; leading to the determination that one disclosure category, category 5 relating to facility management and supply services, was not appropriate for the position of Apprenticeship Program Team Manager and would be deleted.

Upon board approval of the proposed amendments, the amended conflict of interest code will be forwarded to the FPPC for final statutory review and approval. It is also requested that the General Counsel be authorized to make any non-substantial changes that the FPPC may require to the code.

Policy

Metropolitan Water District Administrative Code, Chapter 2, Division VII: Conflict of Interest and Disclosure of Personal Finances Code Section 7210 et seq.

California Environmental Quality Act (CEQA)

CEQA determination for Options #1 and #2:

The proposed action is not defined as a project under CEQA because it involves continuing administrative activities, such as general policy and procedure making (Section 15378(b)(2) of the State CEQA Guidelines). In addition, where it can be seen with certainty that there is no possibility that the proposed action in question may have a significant effect on the environment, the proposed action is not subject to CEQA (Section 15061(b)(3) of the State CEQA Guidelines).

The CEQA determination is: Determine that the proposed action is not subject to CEQA pursuant to Sections 15378(b)(2) and 15061(b)(3) of the State CEQA Guidelines.

Board Options

Option #1

Adopt the CEQA determination and

- a. Approve the proposed amended Conflict of Interest Code; and
- b. Authorize the General Counsel to include additional non-substantial changes requested by the FPPC.

Fiscal Impact: None

Business Analysis: Required by the Political Reform Act (Gov. Code § 81000 et seq.)

Option #2

Adopt the CEQA determination and


- a. Approve the proposed amendments with additional amendments proposed by the Board; and
- b. Authorize the General Counsel to include additional non-substantial changes requested by the FPPC.

Fiscal Impact: None

Business Analysis: Required by the Political Reform Act (Gov. Code § 81000 et seq.)

Staff Recommendation

Option #1



 Marcia Scully
 Interim General Counsel

9/29/2011

 Date



 Jeffrey Lightlinger
 General Manager

9/29/2011

 Date

Attachment 1 – The Conflict of Interest Code of The Metropolitan Water District of Southern California for 2011

Attachment 2 – The Conflict of Interest Code of The Metropolitan Water District of Southern California submitted to the FPPC in November 2010

Attachment 3 – Comparison of the 2011 and 2010 Conflict of Interest Code

Attachment 4 – Statement of Reasons for Amendment to the Conflict of Interest Code

Division VII**CONFLICTS OF INTEREST AND ETHICS REQUIREMENTS****Article 1****Conflict-of-Interest Code**

Sec.

7200. Political Reform Act - Incorporation of Title 2, California Code of Regulations

7205. Filing of Statements of Economic Interest

§ 7200. Political Reform Act - Incorporation of the Title 2, California Code of Regulations.

The Political Reform Act (Government Code Section 81000, et seq.) requires state and local government agencies to adopt and promulgate conflict-of-interest codes. The Fair Political Practices commission has adopted a regulation, (2 Cal. Code of Regs. Sec. 18730) that contains the terms of a standard conflict-of-interest code, which can be incorporated by reference in an agency's code. After public notice and hearing, the standard code may be amended by the Fair Political Practices Commission to conform to amendments in the Political Reform Act. Therefore, the terms of 2 California Code of Regulations Section 18730 and any amendments to it duly adopted by the Fair Political Practices Commission are hereby incorporated by reference. This regulation and the following sections in this Division VII, designating positions and establishing disclosure categories, shall constitute the conflict-of-interest code of The Metropolitan Water District of Southern California ("Metropolitan").

§ 7205. Filing of Statement of Economic Interests.

Individuals holding designated positions shall file their statements of economic interests with Metropolitan, which will make the statements available for public inspection and reproduction. (Gov. Code Sec. 81008.) Upon receipt of the statements for the Board of Directors and other designated Public Officials Who Manage Public Investments (see Section 7221), Metropolitan shall make and retain copies and forward the originals to the Fair Political Practices Commission. All other statements will be retained by Metropolitan.

Article 2

DESIGNATED POSITIONS AND CATEGORIES OF DISCLOSURE INDEX

Sec.

- 7210. Disclosure Categories
- 7211. Officers of the District
- 7212. Office of the Chief Financial Officer
- 7213. Water System Operations Group
- 7214. Engineering Services Group
- 7215. Business Services and Information Technology Group
- 7216. Water Resources Management Group
- 7217. Real Property Development and Management Group
- 7218. Office of the General Manager
- 7219. Ethics Office
- 7220. Legal Department
- 7221. Public Officials Who Manage Public Investments
- 7222. Consultants and New Positions

§ 7210. Disclosure Categories

The disclosure categories listed below identify the types of investments, business entities, sources of income, including gifts, loans and travel payments, or real property that the designated position must disclose for each disclosure category to which he or she is assigned.

Category	Description
1	All sources of income, including gifts, loans, and travel payments, and all items listed in the categories 2 through 13, interests in undeveloped real property within 2 miles of Metropolitan land or facilities, and investments and business positions in entities of the type contracting with, doing business with, or providing supplies or services to Metropolitan.
2	Investments, business positions and sources of income, including gifts, loans, and travel payments, involving financial services of the type provided to Metropolitan, including banks, savings and loan institutions, financial audits services, financial reporting services, financial consulting services and securities dealers, water pricing, economists and related services.
3	Investments, business positions and sources of income, including gifts, loans, and travel payments, involving the provision of insurance of the type provided to Metropolitan, including insurance companies, insurance services, insurance brokers, insurance consultants and related services.
4	All sources of income, including gifts, loans and travel payments, related to real estate services, including real estate sales and investment firms, title insurance and escrow services, real estate development firms, concessionaire services, farming and related services, and undeveloped real property within 2 miles of Metropolitan land or facilities.
5	Investments, business positions and sources of income, including gifts, loans and travel payments, involving the provision of facility maintenance and supply services of the type provided to Metropolitan including landscape services and

	supplies, janitorial services, security and guard services, emergency preparedness, maintenance supplies, facility maintenance equipment rentals, food services and supplies, weed abatement and related services.
6	Investments, business positions and sources of income, including gifts, loans, and travel payments, involving the provision of office equipment and supplies, including computer equipment services and supplies, employment agencies, computer software and programming, information technology consulting services, data processing, printing and reproduction services, photographic equipment and services and supplies, newspapers, books and periodicals, typographical services, paper supplies, mail services, art supplies and related services.
7	Investments, business positions and sources of income, including gifts, loans, and travel payments, involving employment consulting services, the training of personnel, and employment agencies of the type utilized by Metropolitan.
8	Investments, business positions and sources of income, including gifts, loans and travel payments, related to water treatment and the supply of water, including chemicals, water quality testing equipment services and supplies, related consulting services, boating related supplies and services, control systems (including SCADA), related training materials and supplies and services.
9	Investments, business positions and sources of income, including gifts, loans, and travel payments, involving the provision of construction and building services and water resource planning services of the type provided to Metropolitan, including construction equipment and supplies, construction related consulting services, including engineering consultants, architectural services, environmental consultants, pipes, valves, fittings, pumps, meters, safety equipment, cathodic protection equipment and supplies, hardware, tools and supplies, electrical equipment and supplies, telecommunications equipment and supplies, energy equipment, supplies and consultants, electronics equipment and supplies, and related services and supplies.
10	Investments, business positions and sources of income, including gifts, loans, and travel payments, related to the provision of transportation equipment including motor vehicles and specialty vehicles and supplies, petroleum products, airplane equipment and supplies, boating supplies and services, and related services and supplies.
11	Investments, business positions and sources of income, including gifts, loans and travel payments, related to health and health safety services and equipment of the type used by Metropolitan including hazardous water disposal and treatment services, safety, health and medical supplies, safety equipment and supplies, safety and health training materials, and related consulting services and supplies.
12	Investments, business positions and sources of income, including gifts, loans and travel payments, related to the dissemination of information, including transportation and lodging services, printing and reproduction services, newspapers, books and periodicals, advertising and mail services, audio and visual aids, art supplies, typographical services, educational equipment and supplies and services and related consulting services and supplies.
13	Investments, business positions and sources of income, including gifts, loans, and travel payments, from sources providing services or procurements of the type utilized by the designated position's group.

Filing Codes**§ 7211. Officers of the District.**

(a)

General Manager	1
Assistant General Managers	
Deputy General Managers	
General Counsel	
Assistant General Counsel	
General Auditor	
Assistant General Auditor	
Ethics Officer	

(b)

Members of the Board of Directors	See Section 7221
Chief Financial Officer	
Treasurer	
Assistant Treasurer	

§ 7212. Office of the Chief Financial Officer.

Financial Services	
(a) Section Managers	2,6
--Controller	
--Treasury & Debt Management	
--Budget & Financial Planning	
(b) Assistant Section Managers	2,6
--Assistant Controller	
(c) Unit Managers	2,6
--Accounting Manager	
(d) Team Managers	2,6
--Accounts Payable Administrator	
--Payroll Administrator	

§ 7213. Water System Operations Group.

(a)		
	Water System Operations Group Manager	4,5, 6,7,8,9,10,11,13
	Water System Operations Group Assistant Manager	
(b)		
	Apprenticeship Program Team	
	Apprenticeship Program Team Manager	7,13
(c)		
	Security Management Unit	
	Security Management Unit Manager	5,13
	Security Team Manager	5,13
(d)		
	Business Management Team	
	Business Management Team Manager	5,13
(e)		
	Environmental, Health & Safety Section	
	Environmental, Health & Safety Section Manager	8,11
	Team Managers	8,11
	--Site Support Teams	
	--Health & Safety Program Support	
	--Environmental, Health & Safety Training	
	--Environmental Program Support	
(f)		
	Operations and Planning Section	
	Operations and Planning Section Manager	4,6,8,9
	Special Projects Manager	
(g)		
	Operations Planning – Power Resources Unit	4,8,9
	Operations Planning – Power Resources Unit Manager	
(h)		
	Operations Planning – Planning and Program Development Unit	
	Operations Planning – Planning and Program Development Unit Manager	4,8
(i)		
	System Operations Unit	
	System Operations Unit Manager	6,8,9
	Operations Control Center Team Manager	
	Control System Application Services Team Manager	
(j)		
	Conveyance and Distribution Section	
	Conveyance and Distribution Section Manager	4,5,8,9,10
	Conveyance and Distribution Unit Managers	4,5,8,9,10
	--Eastern Region	
	--Western Region	
	--Desert Region	
		4,5,8,9,10
	Team Managers	
	--Business Support for Eastern Region	

- Business Support for Western Region
- Business Support for Desert Region
- Orange County
- Riverside
- Lake Skinner
- Diamond Valley Lake
- Eastern Region Coatings
- Los Angeles Team
- Western Region Coatings
- La Verne
- Valley
- Aqueduct Maintenance
- Trades
- Pump Maintenance
- Powerline Maintenance
- Gene/Intake
- Iron Mountain
- Eagle Mountain
- Hinds
- Facilities Services
- Control Systems – Desert Region

(k)

Water Treatment Section 4,5,8,9,10
 Water Treatment Section Manager

Unit Managers 4,5,8,9,10
 --Jensen
 --Weymouth
 --Diemer
 --Mills
 --Skinner

Team Managers 4,5,8,9,10
 --Business Support for Jensen
 --Business Support for Weymouth
 --Business Support for Diemer
 --Business Support for Mills
 --Jensen O & M Teams
 --Weymouth O & M Teams
 --Diemer O & M Teams
 -- Mills O & M Teams
 --Skinner O & M Teams
 --Control Systems for Jensen
 --Control Systems for Weymouth
 --Control Systems for Diemer
 --Control Systems for Mills
 --Control Systems for Skinner

(l)

Water Quality Section
 Water Quality Section Manager 8,10
 Unit Managers 8,10
 --Microbiology

--Water Purification	
--Chemistry	
Team Managers	8,10
--Treated Water Microbiology	
--Source Water Microbiology	
--Reservoir Management	
--Operations Compliance	
--Engineering Compliance	
--Quality Assurance and Compliance Sampling	
--Chemistry Compliance	
--Emerging Chemicals	
--Treatment Plant Laboratory	
--Laboratory Services	
(m)	
Operations Support Services Section	
Operations Support Services Section Manager	4,5,9,10,11
Operations Support Services Unit Managers	4,5,9,10,11
--Construction Services	
--Maintenance Support	
--Power Support	
--Fleet Services	
Team Managers	4,5,9,10,11
--Business Support Teams	
--Construction Teams	
--Electrical	
--Production Planning	
--Fabrication / Machine Shops	
--Valve / Dive	
--Coatings	
--HVAC	
--Maintenance Services	
--Hydro Electric	
--Maintenance	
--Engineering & Support	
--Fleet Services	
--East	
--West	
§ 7214. Engineering Services Group	
(a)	
Chief Engineer - Engineering Services Group Manager	1
(b)	
Capital Projects Unit Manager	4,6,8,9,10,13
Engineering Services Section Manager	4,6,8,9,10,13
--Engineering Program Manager	4,6,8,9,10,13
--Inland Feeder Program Manager	
--Technical Control Team Manager	
Business Management Team Manager for Engineering Services Group	4,6,8,9,10,13
Design Unit Manager	4,6,8,9,10,13
Team Managers	4,6,8,9,10,13

--Treatment Plant Design	
--Equipment Design	
--Pipeline and Facility Design	
--Power Design	
--Instrumentation Design	
--General Design	
Infrastructure Unit Manager	4,6,8,9,10,13
Team Managers	4,6,8,9,10,13
--Materials & Metallurgy	
--Corrosion Control	
--Survey Mapping	
--Safety of Dams	
--Right-of-Way Engineering	
--Field Survey	
Inspection Unit Manager	4,6,8,9,10,13
Team Managers	4,6,8,9,10,13
--Inspection	
--Soils & Concrete	
Program Management Unit Manager	4,6,8,9,10,13
Team Managers	4,6,8,9,10,13
--Construction Contract Administration	
--Construction Contract Development	
--Project Support	
--Distribution System Program Management	
--Treatment Plant Program Management	
--Conveyance/Storage Program Management	
Engineering Systems Planning Unit Manager	4,6,8,9,10,13
Team Managers	
--Facility Planning	
--Environmental Planning	
--Substructures	
--Hydraulics	
§ 7215. Business Services & Information	
Technology Group.	
(a) Business Services & Information Technology Group Manager	1
(b) Grant Management Program & Business Support Manager	1
(c) Information Technology Section Manager	6,8,13
(1) Client Services Unit Manager	6,8
Team Managers	
--Desktop Services	
--Technical Assistance	
(2) Project Management Unit Manager	
Program Managers	
--Control Systems	
(3) Infrastructure Unit Manager	
Team Managers	

--Database & Information Technology	
--Telecommunications Services	
--Server Maintenance Services	
--Infrastructure and Security	
--Quality Assurance/Quality Control	
(4) Application Services Unit Manager	
Team Managers	
--Business Applications Services	
--Enterprise Application Services	
--GIS Application Services	
(d) Business Services Section Manager	1
--Team Manager Business Support	
Contracting Services Unit Manager	1
Team Managers	
--Procurement	
--Inventory Management	
--Professional Services Contract	
--Warehousing	
Document Services Unit Manager	12,13
Team Managers	
--Graphic Design	
--Reprographics	
--Technical Writing	
--Records Management	
Facilities Services Unit Manager	12,13
--Team Manager Facility Management Team	
(e) Business Outreach Section Manager	1

§ 7216. Water Resources Management Group.

(a)	
Group Manager – Water Resource Management	4,6,9,13
Resource Planning & Development Section Manager	4,6,9,13
--Resource Analysis Unit Manager	4,6,9,13
--System Analysis Unit Manager	
--Program Manager – Water Transfers and Exchanges	4,6,9,13
--Program Manager – Groundwater Storage Issues	
Resource Implementation Section Manager	4,6,9,13
--Imported Supply Unit Manager	4,6,9,13
--Regional Supply Unit Manager	
--Program Manager – Water Use Efficiency	4,6,9,13
Business Support Team Manager	4,6,9,13
Manager Support Team Manager	4,6,9,13

§ 7217. Real Property Development and Management Group

(a)		
Real Property Development and Management Group Manager		1,4,8,9,10,13
Assistant Real Property Development and Management Group Manager		
(b)		
Section Manager		4,6,8,9,10,13
--Revenue Optimization Unit Managers		
--Right-of-Way		
--Property Management Team Managers		4,6,9,13
--Acquisition/Appraisal		
--Property Management		

§ 7218. Office of the General Manager

(a)		
Board Executive Secretary		12
(b)		
Executive Strategist		1
Special Projects Manager		1
Business Outreach Section Manager		1
Program Manager		1
Staff Assistant to the General Manager		1
(c)		
(1)		
Human Resources Group Manager		3,6,7
Human Resources Section Manager--Total Compensation and Human Resources Information Systems Manager		3,6,7
--Benefits Unit Manager		3,6,7
--Classification/Compensation Unit Manager		
Employee Relations Manager		3,6,7
--Employee Relations Specialists		
--Equal Employment Office Manager		
--Talent Management Manager		
--Human Resources Strategic Program Manager		
Workers' Compensation Unit Manager		3,6
(2)		
Risk Management Unit Manager		3,6
(d)		
External Affairs Group		
(1)		
Group Manager / External Affairs Executive Strategist		1
Executive Legislative Representative		
--Washington, DC		
--Sacramento		
(2)		
Media and Communications Section Manager		12
--Press Office Program Manager		
--Web Program Manager		
Conservation and Community Services Section		

Managers

--Program Manager	
Unit Managers	
--Education	
--Community Programs	
Business Management Team Manager	
Customer Services Unit Manager	
(3)	
Section Manager / Legislative Services	1
(4)	
Government and Regional Affairs Representatives	12,13
(e)	4,5,7,9
Strategic Water Initiatives	
(1) Bay-Delta Initiatives Manager	
(2) Program Manager-Bay Delta Issues	
(3) Program Manager-Colorado River Resources	
Issues	

§ 7219. Ethics Office

Ethics Educator	1
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§ 7220. Legal Department.

(a)	
Chief Deputy General Counsel	1
Senior Deputy General Counsel	1
Deputy General Counsel	1
Associate Deputy General Counsel	1

§ 7221. Public Officials Who Manage Public Investments.

The following positions are not covered by the conflict-of-interest code because they must file under Government Code Section 87200 and, therefore, are listed for information purposes only:

Members of the Board of Directors
 Chief Financial Officer
 Treasurer
 Assistant Treasurer

An individual holding one of the above listed positions may contact the Fair Political Practices Commission for assistance or written advice regarding their filing obligations if they believe that their position has been categorized incorrectly. The Fair Political Practices Commission makes the final determination whether a position is covered by Section 87200.

§ 7222. Consultants and New Positions.

A “designated position” includes an individual serving in a new position in which the individual makes or participates in the making of decisions and an individual who is a consultant as defined in FPPC Regulation 18701. These individuals must file under the broadest disclosure set forth in this conflict-of-interest code. However, the General Manager may determine disclosure that is tailored to the range of duties or contractual obligations for such individuals as long a clear explanation of the duties and disclosure requirements is provided in writing. Individuals serving in a new position must file under this provision until the position is added to this conflict-of-interest code. The written determination is a public record and shall be retained for public inspection in the same manner and location as this conflict-of-interest code. (Gov. Code Section 81008, 82019; FPPC Regulations 18219 and 18734.)

Consultants providing the services of designated positions shall be included in the list of designated employees and shall disclose pursuant to the broadest disclosure category in the code subject to the following limitation:

The General Manager may determine in writing that a particular consultant, although a "designated position," is hired to perform a range of duties that is limited in scope and thus is not required to fully comply with the disclosure requirements described in this section. Such written determination shall include a description of the consultant's duties and, based upon that description, a statement of the extent of disclosure requirements. The General Manager's determination is a public record and shall be retained for public inspection in the same manner and location as this conflict-of-interest code. (Gov. Code Sec. 81008.)

Division VII

CONFLICTS OF INTEREST AND ETHICS REQUIREMENTS

Chapter 1

1 Ethics Requirements for Directors, Officers, and Employees	7100
2 Disclosure of Economic Interests	7200

Chapter 2

DISCLOSURE OF ECONOMIC INTERESTS

Article	Sec.
1 Conflict of Interest Code	7200
2 Designated Positions and Categories of Disclosure Index	7210

Article 1

Conflict of Interest Code

Sec.	
7200.	Incorporation of Title 2, California Code of Regulations
7205.	Filing of Statements of Economic Interest

§ 7200. Incorporation of the Title 2, California Code of Regulations.

The Political Reform Act, Government Code Section 81000, et seq., requires state and local government agencies to adopt and promulgate conflict of interest codes. The Fair Political Practices Commission has adopted a regulation (2 Cal. Code of Regs., Sec. 18730) which contains the terms of a standard conflict of interest code, which can be incorporated by reference in an agency's code. After public notice and hearing it may be amended by the Fair Political Practices Commission to conform to amendments in the Political Reform Act. Therefore, the terms of 2 California Code of Regulations, Section 18730 and any amendments to it duly adopted by the Fair Political Practices Commission are hereby incorporated by reference. This regulation and the attached Appendix designating officials, employees, and other individuals and establishing disclosure categories shall constitute the conflict of interest code of The Metropolitan Water District of Southern California.

§ 7205 Filing of Statements of Economic Interest.

Designated employees and other designated individuals shall file their statements with The Metropolitan Water District of Southern California which will make the statements available for public inspection and reproduction (Gov. Code, Sec. 81008.) Upon receipt of the statements for the Board of Directors, the agency shall make and retain a copy and forward the original to the Fair Political Practices Commission. Statements for all other designated employees and designated individuals will be retained by the agency.

Article 2

DESIGNATED POSITIONS AND CATEGORIES OF DISCLOSURE INDEX

Sec.

- 7210. General Provisions
- 7211. Officers of the District
- 7212. Office of the Chief Financial Officer
- 7213. Water System Operations Group
- 7214. Corporate Resources Group
- 7215. Water Resources Management Group
- 7216. Real Property Development and Management Group
- 7217. Office of the General Manager
- 7218. Ethics Office
- 7219. Legal Department
- 7220. Public Officials Who Manage Public Investments
- 7221. Consultants

§ 7210. General Provisions.

(a) Investments, business positions in any business entity and sources of income listed in Column II of Sections 7211 through 7221 are disclosable if:

(1) The business entity in which the investment or business position is held is of the type which has contracted with Metropolitan; or

(2) The business entity in which the investment or business position is held is of the type which has furnished supplies or services as a subcontractor under any contract with Metropolitan; or

(3) The source of income is of the type which has contracted with Metropolitan; or

(4) The source of income is of the type which has furnished supplies or services as a subcontractor under any contract with Metropolitan.

(b) Where real property is made reportable in Column II of Sections 7211 through 7221, designated employees within Section 7211(a) shall report as an interest in real property any undeveloped real property located within Metropolitan's jurisdiction. For this purpose, "undeveloped real property" is defined as real property for which there are no current water connections available from Metropolitan to the retail supplier of water and such water connections would be necessary to provide a water supply to the property, or for which larger water delivery facilities from Metropolitan are required before increased water service can be provided for a presently contemplated change in the use to which the property is put.

Where real property is made reportable all designated employees shall disclose any interests in real property within two miles of any Metropolitan land.

(c) A designated employee must disclose that the designated employee is a director, officer, partner, trustee, employee, or holds any position of management in a business entity listed in Column II of Sections 7211 through 7221 doing business within Metropolitan's jurisdiction and which is of the type which has contracted with Metropolitan or has furnished supplies or services as a subcontractor under any contract with Metropolitan within the previous two years.

§ 7211. Officers of the District.

(a)

- | | |
|----------------------------|--|
| General Manager | 1. Office equipment and supplies |
| Assistant General Managers | 2. Banks and savings and loan institutions |
| Deputy General Managers | 3. Securities dealers |
| General Counsel | 4. Real property |
| Assistant General Counsel | 5. Public utilities |
| General Auditor | 6. Financial audit services |
| Assistant General Auditor | 7. Insurance services |
| Ethics Officer | 8. Computer equipment, services and supplies |
| | 9. Printing, reproduction, or photographic equipment services and supplies |
| | 10. Periodicals, books, newspapers |
| | 11. Chemicals |
| | 12. Motor vehicles and specialty vehicles, parts and supplies |
| | 13. Construction and maintenance equipment, services and supplies |
| | 14. Petroleum products |
| | 15. Transportation and lodging services |
| | 16. Safety and security services, equipment and supplies |
| | 17. Food services and supplies |
| | 18. Communication services |
| | 19. Water quality testing equipment, services and supplies |
| | 20. Cathodic protection equipment, services and supplies |
| | 21. Engineering services |
| | 22. Employment and temporary help agencies |
| | 23. Educational equipment, services and supplies |
| | 24. Medical supplies, services and informational materials |
| | 25. Landscape services and supplies |
| | 26. Typographical services |
| | 27. General and specialty equipment rentals |
| | 28. Real estate sales and investment firms |
| | 29. Consulting services: legal, energy and power, engineering, soil testing, water treatment, data processing, computers, doctors, labor relations, employee training, advertising, communications, design, art work, audio visual, movie products, planning, water pricing and demand, water resources, economists, desalting, financial environmental, appraisers, investment, hazardous waste disposal. |
| | 30. Title insurance and escrow services |
| | 31. Farming |
| | 32. Private water companies |
| | 33. Electrical generating equipment and supplies |
| | 34. Electrical equipment and supplies |
| | 35. Real estate development firms |
| | 36. Any business entity selling or leasing real property to, or purchasing or leasing real property from the District |

- (b)
 Members of the Board of Directors See Section 7220
 Chief Financial Officer
 Treasurer
 Assistant Treasurer

§ 7212. Office of the Chief Financial Officer.

Financial Services

- | | |
|--|--|
| <p>(a) Section Managers</p> <ul style="list-style-type: none"> --Controller --Treasury & Debt Management --Budget & Financial Planning <p>(b) Assistant Section Managers</p> <ul style="list-style-type: none"> --Assistant Controller --Treasurer <p>(c) Unit Managers</p> <ul style="list-style-type: none"> --Accounting Manager <p>(d) Team Managers</p> <ul style="list-style-type: none"> --Accounts Payable Administrator --Payroll Administrator | <ol style="list-style-type: none"> 1. Banks and savings and loan institutions 2. Financial audit services 3. Consulting services of the type used by this office e.g. investments, investment banking, brokers, economists, planning, water pricing, & other financial services, including financial reporting 4. Securities dealers 5. Information Technology consulting services e.g. computers, data processing, & engineering. |
|--|--|

§ 7213. Water System Operations Group.

- (a)
 Water System Operations Group Manager
 Water System Operations Group Assistant Manager
1. Airplane equipment and supplies
 2. Boating supplies and services
 3. Chemicals
 4. Communication services
 5. Construction and building equipment and supplies
 6. Consulting services of the type utilized by this group e.g. succession/staff planning, energy and power, operations, maintenance-related services, water quality, water quality regs, water treatment, engineering, planning, security & guard services, emergency preparedness, communications, control systems (including SCADA), environmental assessment, permitting, legislative medical, claims administration, health and safety training
 7. Electrical equipment, parts and supplies
 8. Electronic supplies & equipment of the type utilized by this section
 9. Energy equipment and supplies
 10. First-aid materials and supplies, related training and services
 11. Food services and supplies
 12. Hardware, tools and supplies
 13. Hazardous waste disposal/treatment services
 14. Investigative security services and security related

- equipment and supplies
- 15. Maintenance supplies
- 16. Motor vehicles and specialty vehicles, parts and supplies
- 17. Petroleum products
- 18. Pipes, valves, fittings, pumps, meters, equipment and supplies
- 19. Public utilities
- 20. Real property
- 21. Safety, health and medical services and supplies; safety equipment and supplies
- 22. Software, including control systems, SCADA, maintenance management, energy and water planning
- 23. Telecommunications equipment and supplies
- 24. Training materials & supplies (apprenticeship program related)
- 25. Water quality testing equipment supplies and services
- 26. Weed abatement/landscape maintenance related contracts
- 27. Janitorial services

(b)
Apprenticeship Program Team
Apprenticeship Program Team Manager

- 1. Training materials & supplies (apprenticeship program related)

(c)
Security Management Unit
Security Management Unit
Manager
Security Team Manager

- 1. Consulting services of the type utilized by this unit e. g. security & guard services, emergency preparedness
- 2. Investigative security services and security-related equipment & supplies

(d)
Business Management Team
Business Management Team Manager

- 1. Consulting services of the type utilized by this team e.g. management, succession/staff planning

(e)
Fleet Services Unit
Fleet Services Unit Manager
Team Managers
--Fleet Services
--East
--
--West
--

- 1. Motor vehicles and specially vehicles, parts and supplies
- 2. Petroleum products
- 3. Equipment and supplies of the type utilized by this unit

(f)
Environmental, Health & Safety Section
Environmental, Health & Safety Section
Manager.

- 1. Safety, first aid, health and medical services & supplies
- 2. Consulting services of the type utilized by this section

Team Managers
--Site Support Teams
--Health & Safety Program Support
--Environmental, Health & Safety Training
--Environmental Program Support

- e.g. health & safety, medical training, claims administration, water quality, water quality regs., water treatment, environmental, permitting
- 3. Hazardous waste disposal/treatment services
- 4. Equipment & supplies of the type utilized by this section e.g. health, environmental, safety, and training
- 5. Chemicals of the type utilized by this section
- 6. Safety equipment and supplies of the type utilized by this section

- (g)
Operations and Planning Section
Operations and Planning Section Manager
Special Projects Manager
7. Water quality testing, equipment, supplies and services
 1. Communication services
 2. Consulting services of the type utilized by this section e.g., energy & power, operations, planning communications, control systems (including SCADA)
 3. Electrical equipment, parts and supplies
 4. Electronic supplies & equipment of the type utilized by this section
 5. Energy equipment and supplies
 6. Pipes, valves, fittings, pumps, meters, equipment and supplies
 7. Public utilities
 8. Software of the type utilized by this section, including control systems, SCADA, energy and water planning
 9. Telecommunications equipment and supplies
 10. Real property
- (h)
Operations Planning – Power Resources Unit
Operations Planning – Power Resources Unit Manager
1. Consulting services of the type utilized by this unit e.g. engineering, planning, energy and power, and control systems (including SCADA)
 2. Energy equipment and supplies
 3. Software of the type utilized by this unit, including control systems, SCADA, energy and water planning
 4. Public utilities
 5. Real property
- (i)
Operations Planning – Planning and Program Development Unit
Operations Planning – Planning and Program Development Unit Manager
1. Consulting services of the type utilized by this unit e.g. engineering, planning, energy and power, & control systems (including SCADA)
 2. Software of the type utilized by this unit, including control systems, SCADA, energy and water planning
 3. Public utilities
 4. Real property
- (j)
System Operations Unit
System Operations Unit Manager
Operations Control Center Team Manager
1. Communication service
 2. Consulting services of the type utilized by this unit e.g. engineering, communications, control systems, (including SCADA)
 3. Electronic supplies and equipment of the type utilized by this unit
 4. Pipes, valves, fittings, pumps, meters, equipment and supplies
 5. Public utilities
 6. Software of the type utilized by this unit, including control systems, communications, SCADA, energy and water planning
 7. Telecommunications equipment and supplies
- (k)
Conveyance and Distribution Section
Conveyance and Distribution Section Manager
Conveyance and Distribution Unit Managers
1. Airplane equipment and supplies
 2. Chemicals of the type utilized by this section
 3. Construction and building equipment and supplies
 4. Consulting services of the type utilized by this section e.g. engineering, planning, emergency preparedness

- Eastern Region
- Western Region
- Desert Region
- Team Managers
 - Business Support for Eastern Region
 - Business Support for Western Region
 - Business Support for Desert Region
 - Orange County
 - Riverside
 - Lake Skinner
 - Diamond Valley Lake
 - Los Angeles Teams
 - La Verne
 - Valley
 - Aqueduct Maintenance
 - Trades
 - Pump Maintenance
 - Powerline Maintenance
 - Gene/Intake
 - Iron Mountain
 - Eagle Mountain
 - Hinds
 - Facilities Services
 - Control Systems – Desert Region

5. Electrical equipment, parts and supplies
6. Electronics equipment & supplies of the type utilized by this section
7. Food services and supplies
8. Hardware, tools and supplies
9. Petroleum products
10. Pipes, valves, fittings, pumps, meters, equipment and supplies
11. Public utilities
12. Real property
13. Safety equipment & supplies of the type utilized by this section
14. Weed abatement/landscape maintenance related contracts
15. Janitorial services

(l)

- Water Treatment Section
- Water Treatment Section Manager
- Unit Managers
 - Jensen
 - Weymouth
 - Diemer
 - Mills
 - Skinner
- Team Managers
 - Business Support for Jensen
 - Business Support for Weymouth
 - Business Support for Diemer
 - Business Support for Mills
 - Jensen O & M Teams
 - Weymouth O & M Teams
 - Diemer O & M Teams
 - Mills O & M Teams
 - Skinner O & M Teams
 - Control Systems for Jensen
 - Control Systems for Weymouth
 - Control Systems for Diemer
 - Control Systems for Mills
 - Control Systems for Skinner

1. Chemicals of the type utilized by this section
2. Construction and building equipment and supplies
3. Consulting services of the type utilized by this section e.g. engineering, planning, operations, emergency preparedness, water treatment and quality
4. Electrical equipment, parts & supplies of the type utilized by this section
5. Electronic equipment & supplies of the type utilized by this section
6. Hardware, tools & supplies of the type utilized by this section
7. Motor vehicles and specialty vehicles, parts & supplies
8. Pipes, valves, fittings, pumps, meters, equipment and supplies
9. Public Utilities
10. Safety equipment & supplies of the type utilized by this section
11. Software of the type utilized by this section, including control systems (SCADA), energy monitoring
12. Telecommunications equipment and supplies
13. Janitorial Services.

(m)

- Water Quality Section
- Water Quality Section Manager
- Unit Managers
 - Microbiology
 - Water Purification
 - Chemistry

1. Boating related supplies and services
2. Chemicals of the type utilized by this section
3. Consulting services (water quality, water quality regulations, water treatment)
4. Safety equipment and supplies
5. Water quality testing, equipment, supplies & services

Team Managers

- Business Support
- Microbiology Compliance
- Microbiology Development
- Reservoir Management
- Operations Compliance
- Engineering Compliance
- Process Development
- Organic Chemistry Compliance
- Chemistry Development
- Inorganic Chemistry Compliance
- Plant Laboratory

(n)

Operations Support Services Section
Operations Support Services Section

Manager

Operations Support Services Unit

Managers

- Construction Services
- Maintenance Support
- Power Support
- Team Managers
- Business Support Teams
- Construction Teams
- Electrical
- Production Planning
- Fabrication Shop
- Valve / Dive
- Machine Shop
- Coatings
- HVAC
- Maintenance Services
- Hydro Electric
- Maintenance

Engineering & Support

1. Airplane equipment and supplies
2. Communication services of the type utilized by this section
3. Construction and building equipment and supplies
4. Consulting services of the type utilized by this section e.g. engineering, environmental assessment, permitting, legislative, medical, health and safety
5. Electronics supplies & equipment of the type utilized by this section
6. Electrical equipment, parts & supplies of the type utilized by this section
7. First-aid materials and supplies, training and services
8. Food services and supplies
9. Hardware, tools and supplies
10. Hazardous waste disposal/treatment services
11. Maintenance supplies of the type utilized by this section
12. Training Services
13. Petroleum products
14. Pipes, valves, fittings, pumps, meters, equipment and supplies
15. Public utilities
16. Real property
17. Safety equipment and supplies
18. Janitorial services

§ 7214. Corporate Resources Group

(a)

Corporate Resources Group Manager

Corporate Resources Assistant Group

Manager

Same as General Manager (See Section 7211(a))

(b)

Project Coordination Office Unit Manager

Engineering Services Section Manager

1. Consulting services of the type utilized by this group, e.g. engineering, design, geotechnical, cathodic protection, title insurance, architectural services, environmental services, data service, mapping, right-of-way, surveying, inspection services, construction management services, benchmarking, training, value engineering, technical studies, and technical services, etc.

Engineering Services Assistant Section
Manager

2. Equipment & supplies of the type utilized by this group e.g. those related to construction rehabilitation and safety,

instrumentation, electrical and safety, surveying cathodic protection coatings, concrete testing, inspection, dam surveillance and groundwater monitoring and telemetry equipment, etc.

Engineering Program Manager
Inland Feeder Program Manager
Technical Control Team Manager

- 3. Construction firms
- 4. Public utilities
- 5. Motor vehicles & specialty vehicles, parts and supplies

Business Management Team Manager for Engineering Services Section

- 6. Printing and reproduction services

Design Unit Manager
Team Managers
--Treatment Plant Design
--Equipment Design
--Pipeline and Facility Design
--Power Design
--Instrumentation Design
--General Design

Infrastructure Unit Manager
Team Managers
--Materials & Metallurgy
--Corrosion Control
--Survey Mapping
--Safety of Dams
--Right-of-Way Engineering
--Field Survey

Inspection Unit Manager
Team Managers
--Inspection
--Soils & Concrete

Program Management Unit Manager
Team Managers
--Construction Contract Administration
--Construction Contract Development
--Project Support
--Distribution System Program

Management
--Treatment Plant Program

Management
--Conveyance/Storage Program

Management

Engineering Systems Planning Unit Manager

Team Managers
--Facility Planning
--Environmental Planning
--Substructures
--Hydraulics

(c)
Information Technology Section Manager
Team Managers

- 1. Consulting services of the type utilized by this section, e.g. computers, information technology, control

--Information Security Services
 --Quality Assurance/Quality Control Services
 -- Business Management for Information Technology Section Client Support Services Unit Manager
 Team Managers
 -- --Desktop Services
 --Technical Assistance

Information Technology Project Management Unit Manager

Program Managers
 --Control systems
 --Infrastructure & Security
 --Applications

Information Technology Infrastructure Services Unit Manager

Team Managers
 --Database & Information Technology Operations Services
 --Telecommunication Services
 --Server Maintenance Services

Application Services Unit Manager

Team Managers
 --Business Applications Services
 --Enterprise Application Services
 --GIS Application Services
 --Control Systems Application Services

(d)

Business Services Section Manager
 --Business Management Team Managers
 --Group Office
 --Business Services Section

Contracting Services Unit Manager

Team Managers
 --Procurement
 --Inventory Management
 --Professional Services Contract
 --Warehousing

Document Services Unit Manager

Team Managers
 --Graphic Design
 --Reprographics
 --Technical Writing
 --Records Management

- systems, telecommunications, Geographic Information Systems (GIS), and Computer-Aided Design (CAD)
2. Equipment supplies and services of the type utilized by this section, e.g. computers, software, data, communications, and control systems
 3. Public utilities, e.g., telephone and data services

1. Consulting services of the type utilized or ordered by this section, e.g. printing, reproduction, publishing, technical writing, software applications, enterprise content management, business management, records management, electronic bidding, etc.
2. Equipment and supplies of the type utilized or ordered by this section, e. g. chemicals, computer hardware, software, tools, parts, safety, furniture, office equipment and supplies, building materials maintenance supplies, telecommunications, copiers, petroleum products, video
3. Services of the type utilized or ordered by this section, e. g. transportation & lodging services, publishing, photography, Information Technology hosting, maintenance, travel agency, auction services, records storage
4. Public utilities
5. Periodicals, books, subscriptions and newspapers
6. Motor vehicles and material handling equipment, parts and supplies

(e)

Program Manager for Grant Management

1. Grant management process and tracking of external funding and funding sources consultants (e.g. federal, state and local funding)
2. Other consulting services of the type used by this group, eg. Software programming, grant writing, etc.

§ 7215. Water Resources Management Group.

(a)

Group Manager – Water Resource Management
 Assistant Group Manager – Water Resource Management
 Resource Planning & Development Section Manager
 --Resource Analysis Unit Manager
 --System Analysis Unit Manager
 ---Program Manager – Transfers and Exchange Issues
 ---Program Manager – Groundwater Resource Implementation Section Manager
 --Imported Supply Unit Manager
 --Regional Supply Unit Manager
 --Program Manager – State Water Project Issues
 --Program Manager – Water Use Efficiency
 Business Management Team Manager

1. Public utilities
2. Engineering services
3. Real property
4. Farming
5. Private water companies
6. Real estate development
7. Construction and maintenance equipment, services and supplies
8. Consulting services of the type utilized by this group, i. e. energy and power, economists, engineering, environmental, financial, planning, desalting, water pricing and demand, water resources, water conservation
9. Landscape service and supplies
10. Energy and Power
11. Equipment, supplies and services of the type utilized by this group
12. Temporary agency payroll services

§ 7216. Real Property Development and Management Group

(a)

Real Property Development and Management Group Manager
 Assistant Real Property Development and Management Group Manager

1. Real property
2. Public utilities of the type utilized by this group
3. Engineering services
4. Real estate development firms
5. Consulting services of the type utilized by this group, e.g. engineering, environmental, financial, recreation and real estate
6. Real estate sales and investment firms
7. Any business entity selling or leasing real property from Metropolitan
8. Escrow services
9. Data Services
10. Equipment and supplies of the type utilized by this group
11. Boating/marina related supplies and services
12. Consulting services (recreation related)
13. Construction and building equipment and supplies
14. Electrical equipment, parts and supplies
15. First-aid, safety equipment and supplies
16. Construction firms

17. Landscaping services
18. Concessionaire services

(b)

Unit Managers
 --Revenue Optimization
 --Right-of-Way
 Team Managers
 --Acquisition/Appraisal
 --Property Management

1. Real property
2. Public utilities of the type utilized by this unit
3. Engineering services of the type utilized by this unit
4. Real estate development firms
5. Consulting services of the type utilized by this unit, e.g. engineering, environmental, financial and real estate appraisers
6. Printing and reproduction services
7. Real estate sales and investment firms
8. Any business entity selling or leasing real property from Metropolitan
9. Escrow services
10. Data Services
11. Equipment and supplies of the type utilized by this unit
12. Landscaping services
13. Construction firms

(c)

Facilities Services Unit Manager
 Team Manager
 -- --Facilities Management

1. Consulting services of the type utilized by this unit
2. Equipment & supplies, e.g. tools, parts, furniture, office equipment & supplies, maintenance supplies and equipment safety
3. Services of the type utilized by this unit, e.g. transportation, maintenance, food service, landscaping movers, facility repair, janitorial
4. Construction firms
5. Public utilities
6. Entities leasing space or facilities at Metropolitan's Union Station Headquarters
7. Landscaping services

§ 7217. Office of the General Manager

(a)

Board Executive Secretary

1. Transportation and lodging services
2. Food services and supplies

(b)

Executive Strategist
 Special Projects Manager
 Business Outreach Section Manager
 Program Manager
 Staff Assistant to the General Manager

Same as General Manager (See §7211(a))

(c)

(1)

Human Resources Group Manager
 Human Resources Section Manager
 --Total Compensation and Human Resources Information Systems Manager
 -Benefits Unit Manager
 -Classification/Compensation Unit Manager

1. Consulting services of the type utilized by this section, e.g. employee training, labor and employee relations, medical benefits
2. Equipment, supplies and services of the type utilized by this section, e.g. those related to training, safety, advertising, insurance
3. Printing and reproduction services

- Employee Relations Manager
 - Employee Relations Specialists
 - Equal Employment Office Manager
 - Talent Management Manager --
 - Human Resources Strategic Program Manager
 - Workers' Compensation Unit Manager
 -
 - (2) Risk Management Unit Manager
 - (d) External Affairs Group
 - (1) Group Manager / External Affairs Executive Strategist Executive Legislative Representative
 - Washington, DC
 - Sacramento
 - (2) Media and Communications Section Manager
 - Press Office Program Manager
 - Web Program Manager
 - Conservation and Community Services Section Manager
 - Program Manager
 - Unit Managers
 - Education
 - Community Programs
 - Business Management Team Manager
 - Customer Services Unit Manager
 - (3) Section Manager / Legislative Services
 - (4) Government and Regional Affairs Representatives
 - (e) Strategic Water Initiatives
 - (1) Bay-Delta Initiatives Manager
 - (2) Program Manager-Bay Delta Issues
 - (3) Program Manager-Colorado River Resources Issues
- 4. Employment agencies
 - 5. Newspapers, books and periodicals
 - 1. Consulting services of the type utilized by this unit e.g. insurance actuarial risk management, claims administration, health, safety & environmental services
 - 2. Insurance companies
 - Same as General Manager (See § 7211(a))
 - 1. Newspapers, books and periodicals
 - 2. Hotel & motel accommodations, restaurants, bus and airline charter
 - 3. Educational equipment, services and supplies
 - 4. Consulting services of the type utilized by this section
 - 5. Advertising and mail services
 - 6. Audio or visual aids
 - 7. Art supplies
 - 8. Typographical services
 - 9. Paper supplies
 - Same as General Manager (See § 7211(a))
 - 1. Hotel & motel accommodations, restaurants, bus and airline charter
 - 2. General and specialty equipment rentals
 - 3. Employment agencies
 - 4. Consultants of the type utilized by this group, e.g. public relations
 - 1. Public utilities
 - 2. Engineering services
 - 3. Real property
 - 4. Farming
 - 5. Private water companies
 - 6. Real estate development
 - 7. Construction and maintenance equipment, services and supplies
 - 8. Consulting services of the type utilized by this group, i.e.

energy and power, economists, engineering,
environmental, financial, planning, desalting, water pricing
and demand, water resources, water conservation

9. Landscape services and supplies

10. Energy and Power

11. Equipment, supplies and services of the type utilized
by this group

12. Temporary agency payroll services

§ 7218. Ethics Office

Ethics Educator

Same as General Manager (See § 7211(a))

§ 7219 Legal Department.

(a)

Chief Deputy General Counsel

Senior Deputy General Counsel

Deputy General Counsel

Associate Deputy General Counsel

Same as General Manager (See § 7211(a))

§ 7220. Public Officials Who Manage Public Investments.

The following positions are not covered by the code because they must file under Government Code Section 87200 and, therefore, are listed for information purposes only:

Members of the Board of Directors
Chief Financial Officer
Treasurer
Assistant Treasurer

An individual holding one of the above listed positions may contact the Fair Political Practices Commission for assistance or written advice regarding their filing obligations if they believe that their position has been categorized incorrectly. The Fair Political Practices Commission makes the final determination whether a position is covered by Section 87200

§ 7221. Consultants.

Consultants providing the services of designated employees shall be included in the list of designated employees and shall disclose pursuant to the broadest disclosure category in the code subject to the following limitation:

The General Manager may determine in writing that a particular consultant, although a "designated position," is hired to perform a range of duties that is limited in scope and thus is not required to fully comply with the disclosure requirements described in this section. Such written determination shall include a description of the consultant's duties and, based upon that description, a statement of the extent of disclosure requirements. The General Manager's determination is a public record and shall be retained for public inspection in the same manner and location as this Conflict of Interest Code.

Division VII

CONFLICTS OF INTEREST AND ETHICS REQUIREMENTS

Chapter	Sec.
1 Ethics Requirements for Directors, Officers, and Employees	7100
2 Disclosure of Economic Interests	7200

Chapter ~~2~~

DIS CLOSURE OF ECONOMIC INTERESTS

Article	
1 Conflict of Interest Code	7200
2 Designated Positions and Categories of Disclosure Index	7210

Article 1

Conflict of Interest Code

Sec.

7200. [Political Reform Act](#) - Incorporation of Title 2, California Code of Regulations

7205. Filing of Statements of Economic Interest

§ 7200. [Political Reform Act](#) - Incorporation of the Title 2, California Code of Regulations.

The Political Reform Act, ([Government Code Section 81000, et seq.](#)) requires state and local government agencies to adopt and promulgate conflict ~~of~~ interest codes. The Fair Political Practices ~~Commission~~ [commission](#) has adopted a regulation, (2 Cal. Code of Regs., Sec. 18730) ~~which, that~~ contains the terms of a standard conflict ~~of~~ interest code, which can be incorporated by reference in an agency's code. After public notice and hearing ~~it, the~~ [standard code](#) may be amended by the Fair Political Practices ~~Commission~~ [commission](#) to conform to amendments in the Political Reform Act. Therefore, the terms of 2 California Code of Regulations, Section 18730 and any amendments to it duly adopted by the Fair Political Practices Commission are hereby incorporated by reference. This regulation and the ~~attached Appendix~~ [following sections in this Division VII](#), designating ~~officials, employees, and other individuals~~ [positions](#) and establishing disclosure categories, shall constitute the conflict ~~of~~ interest code of The Metropolitan Water District of Southern California: (["Metropolitan"](#)).

§ ~~7205~~ [7205](#). Filing of Statements of Economic Interest.

~~Designated employees and other~~ [Individuals holding](#) designated ~~individuals~~ [positions](#) shall file their statements [of economic interests](#) with ~~The Metropolitan Water District of Southern California~~, which will make the statements available for public inspection and reproduction, (Gov. Code, Sec. 81008.) Upon receipt of the statements for the Board of

Directors, ~~the agency~~ and other designated Public Officials Who Manage Public Investments (see Section 7221), Metropolitan shall make and retain ~~a copy~~ copies and forward the ~~original originals~~ to the Fair Political Practices Commission. ~~Statements for all other designated employees and designated individuals~~ All other statements will be retained by ~~the agency~~ Metropolitan.

Article 2

DESIGNATED POSITIONS AND CATEGORIES OF DISCLOSURE INDEX

Sec.

~~7210.—General Provisions~~

7210. Disclosure Categories

7211. Officers of the District

7212. Office of the Chief Financial Officer

7213. Water System Operations Group

7214. ~~Corporate Resources~~ Engineering Services Group

7215. Business Services and Information Technology Group

~~7216.~~ Water Resources Management Group

~~7216.~~ ~~7217.~~ Real Property Development and Management Group

~~7217.~~ ~~7218.~~ Office of the General Manager

~~7218.~~ ~~7219.~~ Ethics Office

~~7219.~~ ~~7220.~~ Legal Department

~~7220.~~ ~~7221.~~ Public Officials Who Manage Public Investments

~~7221.—Consultants~~

~~§ 7210.—General Provisions.~~

~~————(a) Investments, business positions in any business entity and sources of income listed in Column II of Sections 7211 through 7221 are disclosable if:~~

~~————(1) The business entity in which the investment or business position is held is of the type which has contracted with Metropolitan; or~~

~~————(2) The business entity in which the investment or business position is held is of the type which has furnished supplies or services as a subcontractor under any contract with Metropolitan; or~~

§ 7222. Consultants and New Positions

~~————(3) The source of income is of the type which has contracted with Metropolitan; or~~

§ 7210. Disclosure Categories.

~~————(4) The source of income is of the type which has furnished supplies or services as a subcontractor under any contract with Metropolitan.~~

The disclosure categories listed below identify the types of investments, business entities, sources of income, including gifts, loans and travel payments, or real property that the designated position must disclose for each disclosure category to which he or she is assigned.

~~———— (b) Where real property is made reportable in Column II of Sections 7211 through 7221, designated employees within Section 7211(a) shall report as an interest in real property any undeveloped real property located within Metropolitan's jurisdiction. For this purpose, "undeveloped real property" is defined as real property for which there are no current water connections available from Metropolitan to the retail supplier of water and such water connections would be necessary to provide a water supply to the property, or for which larger water delivery facilities from Metropolitan are required before increased water service can be provided for a presently contemplated change in the use to which the property is put.~~

<u>Category</u>	<u>Description</u>
<u>1</u>	All sources of income, including all items listed in the following categories, and gifts, loans, and travel payments, interests in undeveloped real property within <u>2 miles of Metropolitan land or facilities, and investments and business positions in entities of the type contracting with, doing business with, or providing supplies or services to Metropolitan.</u>
<u>2</u>	<u>Investments, business positions and sources of income, including gifts, loans, and travel payments, involving financial services of the type provided to Metropolitan, including banks, savings and loan institutions, financial audits services, financial reporting services, financial consulting services and securities dealers, water pricing, economists and related services.</u>
<u>3</u>	<u>Investments, business positions and sources of income, including gifts, loans, and travel payments, involving the provision of insurance of the type provided to Metropolitan, including insurance companies, insurance services, insurance brokers, insurance consultants and related services.</u>
<u>4</u>	<u>All sources of income, including gifts, loans and travel payments, related to real estate services, including real estate sales and investment firms, title insurance and escrow services, real estate development firms, concessionaire services, farming and related services, and undeveloped real property within 2 miles of Metropolitan land or facilities</u>
<u>5</u>	<u>Investments, business positions and sources of income, including gifts, loans and travel payments, involving the provision of facility maintenance and supply services of the type provided to Metropolitan including landscape services and supplies, janitorial services, security and guard services, emergency preparedness, maintenance supplies, facility maintenance equipment rentals, food services and supplies, weed abatement and related services.</u>
<u>6</u>	<u>Investments, business positions and sources of income, including gifts, loans, and travel payments, involving the provision of office equipment and supplies, including computer equipment services and supplies, employment agencies, computer software and programming, information technology consulting services, data processing, printing and reproduction services, photographic equipment and services and supplies, newspapers, books and periodicals, typographical services, paper supplies, mail services, art supplies and related services.</u>
<u>7</u>	<u>Investments, business positions and sources of income, including gifts, loans, and travel payments, involving employment consulting services, the training of personnel, and employment agencies of the type utilized by Metropolitan.</u>
<u>8</u>	<u>Investments, business positions and sources of income, including gifts, loans and travel payments, related to water treatment and the supply of water,</u>

	<u>including chemicals, water quality testing equipment services and supplies, related consulting services, boating related supplies and services, control systems (including SCADA), related training materials and supplies and services.</u>
<u>9</u>	<u>Investments, business positions and sources of income, including gifts, loans, and travel payments, involving the provision of construction and building services and water resource planning services of the type provided to Metropolitan, including construction equipment and supplies, construction related consulting services, including engineering consultants, architectural services, environmental consultants, pipes, valves, fittings, pumps, meters, safety equipment, cathodic protection equipment and supplies, hardware, tools and supplies, electrical equipment and supplies, telecommunications equipment and supplies, energy equipment, supplies and consultants, electronics equipment and supplies, and related services and supplies.</u>
<u>10</u>	<u>Investments, business positions and sources of income, including gifts, loans, and travel payments, related to the provision of transportation equipment including motor vehicles and specialty vehicles and supplies, petroleum products, airplane equipment and supplies, boating supplies and services, and related services and supplies.</u>
<u>11</u>	<u>Investments, business positions and sources of income, including gifts, loans and travel payments, related to health and health safety services and equipment of the type used by Metropolitan including hazardous water disposal and treatment services, safety, health and medical supplies, safety equipment and supplies, safety and health training materials, and related consulting services and supplies.</u>
<u>12</u>	<u>Investments, business positions and sources of income, including gifts, loans and travel payments, related to the dissemination of information, including transportation and lodging services, printing and reproduction services, newspapers, books and periodicals, advertising and mail services, audio and visual aids, art supplies, typographical services, educational equipment and supplies and services and related consulting services and supplies.</u>
<u>13</u>	<u>Investments, business positions and sources of income, including gifts, loans, and travel payments, from sources providing services or procurements of the type utilized by the designated position's group.</u>

~~Where real property is made reportable all designated employees shall disclose any interests in real property within two miles of any Metropolitan land.~~

~~(c) A designated employee must disclose that the designated employee is a director, officer, partner, trustee, employee, or holds any position of management in a business entity listed in Column II of Sections 7211 through 7221 doing business within Metropolitan's jurisdiction and which is of the type which has contracted with Metropolitan or has furnished supplies or services as a subcontractor under any contract with Metropolitan within the previous two years.~~

Filing Codes

§ 7211. Officers of the District.

(a)
General Manager

~~1. Office equipment and supplies~~

Assistant General Managers	2. Banks and savings and loan institutions
Deputy General Managers	3. Securities dealers
	4. Real property
	5. Public utilities
	6. Financial audit services
	7. Insurance services
General Counsel	8. Computer equipment, services and supplies
Assistant General Counsel	9. Printing, reproduction, or photographic equipment services and supplies
General Auditor	10. Periodicals, books, newspapers
Assistant General Auditor	11. Chemicals
Ethics Officer	12. Motor vehicles and specialty vehicles, parts and supplies
	13. Construction and maintenance equipment, services and supplies
	14. Petroleum products
	15. Transportation and lodging services
	16. Safety and security services, equipment and supplies
	17. Food services and supplies
	18. Communication services
	19. Water quality testing equipment, services and supplies
	20. Cathodic protection equipment, services and supplies
	21. Engineering services
	22. Employment and temporary help agencies
	23. Educational equipment, services and supplies
	24. Medical supplies, services and informational materials
	25. Landscape services and supplies
	26. Typographical services
	27. General and specialty equipment rentals
	28. Real estate sales and investment firms
	29. Consulting services: legal, energy and power, engineering, soil testing, water treatment, data processing, computers, doctors, labor relations, employee training, advertising, communications, design, art work, audio-visual, movie products, planning, water pricing and demand, water resources, economists, desalting, financial environmental, appraisers, investment, hazardous waste disposal.
	30. Title insurance and escrow services
	31. Farming
	32. Private water companies
	33. Electrical generating equipment and supplies
	34. Electrical equipment and supplies
	35. Real estate development firms
	36. Any business entity selling or leasing real property to, or purchasing or leasing real property from the District¹

(b)
Members of the Board of Directors

See Section ~~7220~~[7221](#)

Chief Financial Officer

Treasurer

~~Assistant Treasurer~~

§ 7212. Office of the Chief Financial Officer.

Financial Services

- (a) Section Managers
 - Controller
 - Treasury & Debt Management
 - Budget & Financial Planning

- ~~1. Banks and savings and loan institutions~~[2,6](#)
- ~~2. Financial audit services~~
- ~~3. Consulting services of the type used by this office e.g. investments, investment banking, brokers, economists, planning, water pricing, & other financial services, including~~[2,6](#)

- (b) Assistant Section Managers
 - Assistant Controller
 - Treasurer

~~financial reporting~~[2,6](#)

- (c) Unit Managers
 - ~~Accounting Manager~~

~~Accounting Manager~~

~~4. Securities dealers~~

- (d) Team Managers
 - Accounts Payable Administrator
 - ~~Payroll Administrator~~

~~5. Information Technology consulting services e.g.~~ [2,6](#)
~~computers, data processing, & engineering.~~

~~Payroll Administrator~~

§ 7213. Water System Operations Group.

(a)

Water System Operations Group Manager
 Water System Operations Group Assistant
 Manager

- ~~1. Airplane equipment and supplies~~
- ~~2. Boating supplies and services~~
- ~~3. Chemicals~~
- ~~4. Communication services~~
- ~~5. Construction and building equipment and supplies~~
- ~~6. Consulting services of the type utilized by this group e.g. succession/staff planning, energy and power, operations, maintenance-related services, water quality, water quality regs, water treatment, engineering, planning, security & guard services, emergency preparedness, communications, control systems (including SCADA), environmental assessment, permitting, legislative medical, claims administration, health and safety training~~
- ~~7. Electrical equipment, parts and supplies~~
- ~~8. Electronic supplies & equipment of the type utilized by this section~~
- ~~9. Energy equipment and supplies~~
- ~~10. First-aid materials and supplies, related training and services~~
- ~~11. Food services and supplies~~
- ~~12. Hardware, tools and supplies~~
- ~~13. Hazardous waste disposal/treatment services~~
- ~~14. Investigative security services and security related equipment and supplies~~
- ~~15. Maintenance supplies~~
- ~~16. Motor vehicles and specialty vehicles, parts and supplies~~
- ~~17. Petroleum products~~
- ~~18. Pipes, valves, fittings, pumps, meters, equipment and supplies~~
- ~~19. Public utilities~~
- ~~20. Real property~~
- ~~21. Safety, health and medical services and supplies; safety equipment and supplies~~
- ~~22. Software, including control systems, SCADA, maintenance management, energy and water planning~~
- ~~23. Telecommunications equipment and supplies~~
- ~~24. Training materials & supplies (apprenticeship program related)~~
- ~~25. Water quality testing equipment supplies and services~~
- ~~26. Weed abatement/landscape maintenance related contracts~~
- ~~27. Janitorial services~~ [4,5, 6,7,8,9,10,11,13](#)

(b)

Apprenticeship Program Team

- ~~1. Training materials & supplies~~

Apprenticeship Program Team Manager	(apprenticeship program related) 7,13
(c) Security Management Unit	1. Consulting services of the type utilized by this unit e.g.
Security Management Unit Manager	security & guard services, emergency preparedness 5,13
Manager	2. Investigative security services and security-related
Security Team Manager	equipment & supplies 5,13
(d) Business Management Team	1. Consulting services of the type utilized by this team e.g. management, succession/staff planning
Business Management Team Manager	5,13
Business Management Team Manager	
(e)	
Fleet Services Unit	1. Motor vehicles and specially vehicles, parts and supplies
Fleet Services Unit Manager	
Team Managers	
--Fleet Services	2. Petroleum products
--East	3. Equipment and supplies of the type utilized by this unit
--	
--West	
--	
(f)	
Environmental, Health & Safety Section	1. Safety, first aid, health and medical services & supplies
Environmental, Health & Safety Section Manager.	2. Consulting services of the type utilized by this section
Environmental, Health & Safety Section	e.g. health & safety, medical training, claims administra- 8,11
Manager	tion, water quality, water quality regs., water treatment,
Team Managers	environmental, permitting 8,11
--Site Support Teams	3. Hazardous waste disposal/treatment services
--Health & Safety Program Support	4. Equipment & supplies of the type utilized by this section
--Environmental, Health & Safety Training	e.g. health, environmental, safety, and training
--Environmental Program Support	5. Chemicals of the type utilized by this section
	6. Safety equipment and supplies of the type utilized by this section
	7. Water quality testing, equipment, supplies and services
(g)	
(f)	
Operations and Planning Section	1. Communication services
Operations and Planning Section Manager	2. Consulting services of the type utilized by this section 4,6,8,9
Special Projects Manager	e.g., energy & power, operations, planning

	<p>communications, control systems (including SCADA)</p> <p>3. Electrical equipment, parts and supplies</p> <p>4. Electronic supplies & equipment of the type utilized by this section</p> <p>5. Energy equipment and supplies</p> <p>6. Pipes, valves, fittings, pumps, meters, equipment and supplies</p> <p>7. Public utilities</p> <p>8. Software of the type utilized by this section, including control systems, SCADA, energy and water planning</p> <p>9. Telecommunications equipment and supplies</p> <p>10. Real property</p>
<p>(h)</p>	
<p>(g)</p>	
<p>Operations Planning – Power Resources Unit</p> <p>Operations Planning – Power Resources Unit Manager</p>	<p>1. Consulting services of the type utilized by this unit e.g. engineering, planning, energy and power, and control systems (including SCADA)</p> <p>2. Energy equipment and supplies</p> <p>3. Software of the type utilized by this unit, including control systems, SCADA, energy and water planning</p> <p>4. Public utilities</p> <p>5. Real property</p> <p><u>4,8,9</u></p>
<p>(ih)</p>	
<p>Operations Planning – Planning and Program Development Unit</p> <p>Operations Planning – Planning and Program Development Unit Manager</p>	<p>1. Consulting services of the type utilized by this unit e.g. engineering, planning, energy and power, & control <u>4,8</u> systems (including SCADA)</p> <p>2. Software of the type utilized by this unit, including <u>4,8</u> control systems, SCADA, energy and water planning</p> <p>3. Public utilities</p> <p>4. Real property</p>
<p>(i)</p>	
<p>(j)</p>	
<p>System Operations Unit</p> <p>System Operations Unit Manager</p> <p>Operations Control Center Team Manager</p>	<p>1. Communication service</p> <p>2. Consulting services of the type utilized by this unit e.g. <u>6,8,9</u> engineering, communications, control systems, (including SCADA)</p> <p>3. Electronic supplies and equipment of the type utilized by this unit</p> <p>4. Pipes, valves, fittings, pumps, meters, equipment and supplies</p> <p>5. Public utilities</p> <p>6. Software of the type utilized by this unit,</p>

	including control systems, communications, SCADA, energy and water planning 7. Telecommunications equipment and supplies
(k)	
<u>Control System Application Services Team Manager</u>	
(j)	
<u>Conveyance and Distribution Section</u>	
<u>Conveyance and Distribution Section Manager</u>	<u>4,5,8,9,10</u>
<u>Conveyance and Distribution Unit Managers</u>	<u>4,5,8,9,10</u>
-- <u>Eastern Region</u>	
-- <u>Western Region</u>	
-- <u>Desert Region</u>	
Conveyance and Distribution Section	1. Airplane equipment and supplies
Conveyance and Distribution Section Manager	2. Chemicals of the type utilized by this section
Conveyance and Distribution Unit Managers	3. Construction and building equipment and supplies
-- Eastern Region	4. Consulting services of the type utilized by this section e.g. engineering, planning, emergency preparedness
-- Western Region	5. Electrical equipment, parts and supplies
-- Desert Region	6. Electronics equipment & supplies of the type utilized by this section
Team Managers	7. Food services and supplies
--Business Support for Eastern Region	8. Hardware, tools and supplies
--Business Support for Western Region	9. Petroleum products
--Business Support for Desert Region	10. Pipes, valves, fittings, pumps, meters, equipment and supplies
--Orange County	11. Public utilities
--Riverside	12. Real property
--Lake Skinner	13. Safety equipment & supplies of the type utilized by this section
--Diamond Valley Lake	14. Weed abatement/landscape maintenance related contracts
-- <u>Eastern Region Coatings</u>	15. Janitorial services <u>4,5,8,9,10</u>
--Los Angeles Teams Team	
-- <u>Western Region Coatings</u>	
--La Verne	
--Valley	
--Aqueduct Maintenance	
--Trades	
--Pump Maintenance	
--Powerline Maintenance	
--Gene/Intake	
--Iron Mountain	
--Eagle Mountain	
--Hinds	
--Facilities Services	
--Control Systems – Desert Region	
(h)	
(k)	
<u>Water Treatment Section</u>	<u>4,5,8,9,10</u>
<u>Water Treatment Section Manager</u>	
<u>Unit Managers</u>	<u>4,5,8,9,10</u>
-- <u>Jensen</u>	
-- <u>Weymouth</u>	

--Diemer
--Mills
--Skinner

~~—Water Treatment Section~~
~~—Water Treatment Section Manager~~
~~—Unit Managers~~
~~—Jensen~~
~~—Weymouth~~
~~—Diemer~~
~~—Mills~~
~~—Skinner~~

Team Managers
 --Business Support for Jensen
 --Business Support for Weymouth
 --Business Support for Diemer
 --Business Support for Mills
 --Jensen O & M Teams
 --Weymouth O & M Teams
 --Diemer O & M Teams
 -- Mills O & M Teams
 --Skinner O & M Teams
 --Control Systems for Jensen
 --Control Systems for Weymouth
 --Control Systems for Diemer
 --Control Systems for Mills
 --Control Systems for Skinner

~~1. Chemicals of the type utilized by this section~~
~~2. Construction and building equipment and supplies~~
~~3. Consulting services of the type utilized by this section e. g. engineering, planning, operations, emergency preparedness, water treatment and quality~~
~~4. Electrical equipment, parts & supplies of the type utilized by this section~~
~~5. Electronic equipment & supplies of the type utilized by this section~~
~~6. Hardware, tools & supplies of the type utilized by this section~~
~~7. Motor vehicles and specialty vehicles, parts & supplies~~
~~8. Pipes, valves, fittings, pumps, meters, equipment and supplies~~
~~9. Public Utilities~~
~~10. Safety equipment & supplies of the type utilized by this section~~
~~11. Software of the type utilized by this section, including control systems (SCADA), energy monitoring~~
~~12. Telecommunications equipment and supplies~~
~~13. Janitorial Services. [4,5,8,9,10](#)~~

(l)

Water Quality Section

Water Quality Section Manager [8,10](#)

Unit Managers [8,10](#)

--Microbiology

--Water Purification

--Chemistry

Team Managers [8,10](#)

--Treated Water Microbiology

--Source Water Microbiology

--Reservoir Management

--Operations Compliance

--Engineering Compliance

--Quality Assurance and Compliance Sampling

--Chemistry Compliance

--Emerging Chemicals

--Treatment Plant Laboratory

--Laboratory Services

(m)

Operations Support Services Section

~~—Water Quality Section~~ [1. Boating related supplies and services](#)

~~Water Quality~~ Operations Support Services Section Manager

- ~~--Unit Managers~~
- ~~--Microbiology~~
- ~~--Water Purification~~
- ~~--Chemistry~~
- ~~--Team Managers~~

- ~~--Business Support~~
- ~~--Microbiology Compliance~~
- ~~--Microbiology Development~~
- ~~--Reservoir Management~~
- ~~--Operations Compliance~~
- ~~--Engineering Compliance~~
- ~~--Process Development~~
- ~~--Organic Chemistry Compliance~~
- ~~--Chemistry Development~~
- ~~--Inorganic Chemistry Compliance~~
- ~~--Plant Laboratory~~

~~(n)~~ Operations Support Services Unit Managers

- Construction Services
- Maintenance Support
- Power Support
- Fleet Services

~~Operations Support Services Section~~
~~Operations Support Services Section Manager~~
~~Operations Support Services Unit Managers~~

- ~~--Construction Services~~
- ~~--Maintenance Support~~
- ~~--Power Support~~
- ~~--Team Managers~~
 - ~~--Business Support Teams~~
 - ~~--Construction Teams~~
 - ~~--Electrical~~
 - ~~--Production Planning~~
 - ~~--Fabrication Shop/ Machine Shops~~
 - ~~--Valve / Dive~~
 - ~~--Machine Shop~~
 - ~~--Coatings~~
 - ~~--HVAC~~
 - ~~--Maintenance Services~~
 - ~~--Hydro Electric~~
 - ~~--Maintenance Engineering & Support~~
 - Fleet Services
 - East
 - West

- ~~2. Chemicals of the type utilized by this section~~
- ~~3. Consulting services (water quality, water quality regulations, water treatment)~~
- ~~4. Safety equipment and supplies~~
- ~~5. Water quality testing, equipment, supplies & services 4,5,9,10,11~~

4,5,9,10,11

- ~~1. Airplane equipment and supplies~~
- ~~2. Communication services of the type utilized by this section~~
- ~~3. Construction and building equipment and supplies~~
- ~~4. Consulting services of the type utilized by this section e.g. engineering, environmental assessment, permitting, legislative, medical, health and safety~~
- ~~5. Electronics supplies & equipment of the type utilized by this section~~
- ~~6. Electrical equipment, parts & supplies of the type utilized by this section~~
- ~~7. First-aid materials and supplies, training and services~~
- ~~8. Food services and supplies~~
- ~~9. Hardware, tools and supplies~~
- ~~10. Hazardous waste disposal/treatment services~~
- ~~11. Maintenance supplies of the type utilized by this section~~
- ~~12. Training Services~~
- ~~13. Petroleum products~~
- ~~14. Pipes, valves, fittings, pumps, meters, equipment and supplies~~
- ~~15. Public utilities~~
- ~~16. Real property~~
- ~~17. Safety equipment and supplies~~
- ~~18. Janitorial services 4,5,9,10,11~~

§ 7214. ~~Corporate Resources~~ Engineering Services Group

(a)

~~Corporate Resources~~ Chief Engineer - Engineering Services Group Manager

~~Same as General Manager (See Section 7211(a)1~~

~~Corporate Resources Assistant Group Manager~~

(b)

~~Project Coordination Office~~ Capital Projects Unit Manager

~~1. Consulting services of the type utilized by this group, 4,6,8,9,10,13~~

Engineering Services Section Manager

~~e.g. engineering, design, geotechnical, cathodic protection, title insurance, architectural services, environmental services, data service, mapping, right-of-way, surveying, inspection services, construction management services, benchmarking, training, value engineering, technical studies, and technical services, etc. 4,6,8,9,10,13~~

~~Engineering Services Assistant Section~~

~~2. Equipment & supplies of the type utilized by this group~~

~~Manager~~

~~e.g. those related to construction rehabilitation and safety, instrumentation, electrical and safety, surveying cathodic protection coatings, concrete testing, inspection, dam surveillance and groundwater monitoring and telemetry equipment, etc.~~

Engineering Program Manager
Inland Feeder Program Manager
Technical Control Team Manager

~~3. Construction firms 4,6,8,9,10,13~~

~~Technical Control Team Manager~~

~~4. Public utilities~~

Business Management Team Manager for Engineering Services ~~Section~~ Group

~~5. Motor vehicles & specialty vehicles, parts and supplies~~

Design Unit Manager

~~6. Printing and reproduction services 4,6,8,9,10,13~~

~~Design Unit Manager~~

~~4,6,8,9,10,13~~

- Team Managers
- Treatment Plant Design
- Equipment Design
- Pipeline and Facility Design
- Power Design
- Instrumentation Design
- General Design

Infrastructure Unit Manager

~~4,6,8,9,10,13~~

~~Infrastructure Unit Manager~~

~~4,6,8,9,10,13~~

- Team Managers
- Materials & Metallurgy
- Corrosion Control
- Survey Mapping
- Safety of Dams
- Right-of-Way Engineering

--Field Survey	
<u>Inspection Unit Manager</u>	<u>4,6,8,9,10,13</u>
Inspection Unit Manager	<u>4,6,8,9,10,13</u>
Team Managers	
--Inspection	
--Soils & Concrete	
<u>Program Management Unit Manager</u>	<u>4,6,8,9,10,13</u>
Program Management Unit Manager	<u>4,6,8,9,10,13</u>
Team Managers	
--Construction Contract Administration	
--Construction Contract Development	
--Project Support	
--Distribution System Program Management	
--Treatment Plant Program Management	
--Conveyance/Storage Program Management	
Engineering Systems Planning Unit Manager	<u>4,6,8,9,10,13</u>
Team Managers	
--Facility Planning	
--Environmental Planning	
--Substructures	
--Hydraulics	

~~(e)~~ **§ 7215. Business Services & Information Technology Group.**

<u>(a) Business Services & Information Technology Group Manager</u>	<u>1</u>
<u>(b) Grant Management Program & Business Support Manager</u>	<u>1</u>
<u>(c) Information Technology Section Manager</u>	<u>6,8,13</u>
Information Technology Section _____ (1) Client Services Unit Manager	1. Consulting services of the type utilized by this section, e.g. computers, information technology, control systems, telecommunications, Geographic Information Systems (GIS), and Computer-Aided Design (CAD) <u>6,8</u>
Team Managers	
Information Security Services	
Quality Assurance/Quality Control Services	
Business Management for Information Technology Section Client Support Services Unit Manager	2. Equipment supplies and services of the type utilized by this section, e.g. computers, software, data, communications, and control systems
Team Managers	
Desktop Services	
Technical Assistance	3. Public utilities, e.g., telephone and data services
Information Technology _____ (2) Project Management Unit Manager	
Program Managers	
Control systems <u>Systems</u>	
(3) Infrastructure & Security	
Applications	
Information Technology Infrastructure Services Unit Manager	

Team Managers

--Database & Information Technology

~~Operations~~ --Telecommunications Services

~~--Telecommunication Services~~

--Server Maintenance Services

--Infrastructure and Security

--Quality Assurance/Quality Control

(4) Application Services Unit Manager

Team Managers

--Business Applications Services

--Enterprise Application Services

--GIS Application Services

~~---Control Systems Application Services~~

(d) ~~(d)~~Business Services Section Manager

--Team Manager Business Support

~~Business Services Section Manager~~

~~---Business Management Team Managers~~

~~---Group Office~~

~~---Business Services Section~~

Contracting Services Unit Manager

Team Managers

--Procurement

--Inventory Management

--Professional Services Contract

--Warehousing

~~Document Services Unit Manager~~

~~---Team Managers~~

~~---Graphic Design~~

~~---Reprographics~~

~~---Technical Writing~~

~~---Records Management~~

(e)

~~Program Manager for Grant~~ Document Services

Unit Manager

Team Managers

--Graphic Design

--Reprographics

--Technical Writing

--Records Management

Facilities Services Unit Manager

--Team Manager Facility Management Team

(e) Business Outreach Section Manager

1

~~1. Consulting services of the type utilized or ordered by this section, e.g. printing, reproduction, publishing, technical writing, software applications, enterprise content management, business management, records management, electronic bidding, etc.~~

~~2. Equipment and supplies of the type utilized or ordered by this section, e.g. chemicals, computer hardware, software, tools, parts, safety, furniture, office equipment and supplies, building materials maintenance supplies, telecommunications, copiers, petroleum products, video~~

~~3. Services of the type utilized or ordered by this section, e.g. transportation & lodging services, publishing, photography, Information Technology hosting, maintenance, travel agency, auction services, records storage~~

~~4. Public utilities~~

~~5. Periodicals, books, subscriptions and newspapers~~

~~6. Motor vehicles and material handling equipment, parts and supplies~~1

~~1. Grant management process and tracking of external funding and funding sources consultants (e.g. federal, state and local funding)~~

~~2. Other consulting services of the type used by this group, eg. Software programming, grant writing, etc.~~12,13

12,13

1

§ ~~7215.7216.~~ Water Resources Management Group.

(a)

Group Manager – Water Resource Management	1. Public utilities
Assistant Group Manager – Water Resource Management	2. Engineering services
– Resource Planning & Development Section Manager	3. Real property
– Resource Analysis Unit Manager	4. Farming
– System Analysis Unit Manager	5. Private water companies
– Program Manager – Transfers and Exchange Issues	6. Real estate development
– Program Manager – Groundwater	7. Construction and maintenance equipment, services and supplies
– Resource Implementation Section Manager	8. Consulting services of the type utilized by this group, i. e. energy and power, economists, engineering, environmental, financial, planning, desalting, water pricing and demand, water resources, water conservation
– Imported Supply Unit Manager	9. Landscape service and supplies
– Regional Supply Unit Manager	10. Energy and Power
– Program Manager – State Water Project Issues	11. Equipment, supplies and services of the type utilized by this group
– Program Manager – Water Use Efficiency	12. Temporary agency payroll services
– Business Management Team Manager	
<u>Resource Planning & Development Section Manager</u>	<u>4,6,9,13</u>
<u>--Resource Analysis Unit Manager</u>	<u>4,6,9,13</u>
<u>--System Analysis Unit Manager</u>	<u>4,6,9,13</u>
<u>---Program Manager – Water Transfers and Exchanges</u>	<u>4,6,9,13</u>
<u>---Program Manager – Groundwater Storage Issues</u>	
<u>Resource Implementation Section Manager</u>	<u>4,6,9,13</u>
<u>--Imported Supply Unit Manager</u>	<u>4,6,9,13</u>
<u>--Regional Supply Unit Manager</u>	
<u>---Program Manager – Water Use Efficiency</u>	<u>4,6,9,13</u>
<u>Business Support Team Manager</u>	<u>4,6,9,13</u>
<u>Manager Support Team Manager</u>	<u>4,6,9,13</u>

§ ~~7216.7217~~. Real Property Development and Management Group

(a)

Real Property Development and Management Group Manager

Assistant Real Property Development and Management Group Manager

- ~~1. Real property~~
- ~~2. Public utilities of the type utilized by this group~~
- ~~3. Engineering services~~
- ~~4. Real estate development firms~~
- ~~5. Consulting services of the type utilized by this group, e.g. engineering, environmental, financial, recreation and real estate~~
- ~~6. Real estate sales and investment firms~~
- ~~7. Any business entity selling or leasing real property from Metropolitan~~
- ~~8. Escrow services~~
- ~~9. Data Services~~
- ~~10. Equipment and supplies of the type utilized by this group~~
- ~~11. Boating/marina related supplies and services~~
- ~~12. Consulting services (recreation related)~~
- ~~13. Construction and building equipment and supplies~~
- ~~14. Electrical equipment, parts and supplies~~
- ~~15. First aid, safety equipment and supplies~~
- ~~16. Construction firms~~
- ~~17. Landscaping services~~
- ~~18. Concessionaire services~~
- 1,4,8,9,10,13

(b)

Section Manager

~~Unit Managers~~

--Revenue Optimization

Unit Managers

--Right-of-Way

~~Team Managers~~

~~Acquisition/Appraisal~~

--Property Management

- ~~1. Real property~~
- ~~2. Public utilities of the type utilized by this unit~~
- ~~3. Engineering services of the type utilized by this unit~~
- ~~4. Real estate development firms~~
- ~~5. Consulting services of the type utilized by this unit, e.g. engineering, environmental, financial and real estate appraisers~~
- ~~6. Printing and reproduction services~~
- ~~7. Real estate sales and investment firms~~
- ~~8. Any business entity selling or leasing real property from Metropolitan~~
- ~~9. Escrow services~~
- ~~10. Data Services~~
- ~~11. Equipment and supplies of the type utilized by this unit~~
- ~~12. Landscaping services~~
- ~~13. Construction firms~~
- 4,6,8,9,10,13

Team Managers

--Acquisition/Appraisal

--Property Management

4,6,9,13

(c)

~~Facilities Services Unit Manager~~

~~Team Manager~~

- ~~1. Consulting services of the type utilized by this unit~~

Facilities Management	<ol style="list-style-type: none"> 2. Equipment & supplies, e.g. tools, parts, furniture, office equipment & supplies, maintenance supplies and equipment safety 3. Services of the type utilized by this unit, e.g. transportation, maintenance, food service, landscaping movers, facility repair, janitorial 4. Construction firms 5. Public utilities 6. Entities leasing space or facilities at Metropolitan's Union Station Headquarters 7. Landscaping services
§ 7217,7218. Office of the General Manager	
(a)	
Board Executive Secretary	<ol style="list-style-type: none"> 1. Transportation and lodging services<u>12</u> 2. Food services and supplies
(b)	
(b)	<u>1</u>
Executive Strategist	
Special Projects Manager	Same as General Manager (See §7211(a)) <u>1</u>
Business Outreach Section Manager	<u>1</u>
Program Manager	<u>1</u>
Staff Assistant to the General Manager	<u>1</u>
(c)	
(1)	
<u>Human Resources Group Manager</u>	<u>3,6,7</u>
<u>Human Resources Section Manager--Total Compensation and Human</u>	<u>3,6,7</u>
<u>Resources Information Systems Manager</u>	<u>3,6,7</u>
<u>-Benefits Unit Manager</u>	<u>3,6,7</u>
<u>-Classification/Compensation Unit Manager</u>	
<u>Employee Relations Manager</u>	<u>3,6,7</u>
—Human Resources Group Manager	1. Consulting services of the type utilized by this section, e.g. employee training, labor and employee relations, medical benefits
—Human Resources Section Manager	2. Equipment, supplies and services of the type utilized by this section, e.g. those related to training, safety, advertising, insurance
—Total Compensation and Human Resources Information Systems Manager	3. Printing and reproduction services
—Benefits Unit Manager	4. Employment agencies
—Classification/Compensation Unit Manager	5. Newspapers, books and periodicals
—Employee Relations Manager	
--Employee Relations Specialists	
-- Equal Employment Office Manager	
--Talent Management Manager	
--Human Resources Strategic Program Manager	
—Workers' Compensation Unit Manager	
—	
(2)	
—Risk Management Unit Manager	<ol style="list-style-type: none"> 1. Consulting services of the type utilized by this unit e.g. insurance actuarial risk management, claims administration, health, safety & environmental services 2. Insurance companies
<u>Workers' Compensation Unit Manager</u>	<u>3,6</u>

2)Risk Management Unit Manager3.6

(d)

External Affairs Group

(1)

Group Manager / External Affairs

Executive Strategist

Executive Legislative Representative

-- Washington, DC

-- Sacramento

~~Same as General Manager (See § 7211(a))~~1

(2)

Media and Communications Section Manager

--Press Office Program Manager

--Web Program Manager

Conservation and Community Services Section

~~Manager~~Managers

--Program Manager

Unit Managers

--Education

--Community Programs

~~1. Newspapers, books and periodicals~~~~2. Hotel & motel accommodations, restaurants, bus and airline charter~~~~3. Educational equipment, services and supplies~~~~4. Consulting services of the type utilized by this section~~~~5. Advertising and mail services~~~~6. Audio or visual aids~~~~7. Art supplies~~~~8. Typographical services~~~~9. Paper supplies~~12

Business Management Team Manager

Customer Services Unit Manager

(3)

Section Manager / Legislative Services

~~Same as General Manager (See § 7211(a))~~1

(4)

Government and Regional Affairs Representatives

~~1. Hotel & motel accommodations, restaurants, bus and airline charter~~~~2. General and specialty equipment rentals~~~~3. Employment agencies~~~~4. Consultants of the type utilized by this group, e.g. public relations~~12,13

(e)

Strategic Water Initiatives

(1) ~~—(1)~~ Bay-Delta Initiatives Manager(2) ~~—(2)~~ Program Manager-Bay Delta Issues(3) Program Manager-Colorado River Resources Issues~~1. Public utilities~~~~2. Engineering services~~~~3. Real property~~~~4. Farming~~~~5. Private water companies~~~~6. Real estate development~~~~7. Construction and maintenance equipment, services and supplies~~~~8. Consulting services of the type utilized by this group, i.e. energy and power, economists, engineering, environmental, financial, planning, desalting, water pricing and demand, water resources, water conservation~~~~9. Landscape services and supplies~~~~10. Energy and Power~~~~11. Equipment, supplies and services of the type utilized by this group~~~~12. Temporary agency payroll services~~4,5,7,9**§ ~~7218.7219.~~ Ethics Office**

Ethics Educator

~~Same as General Manager (See § 7211(a))~~1

§ ~~7219~~7220. Legal Department.

(a)

Chief Deputy General Counsel	Same as General Manager (See § 7211(a)) <u>1</u>
Senior Deputy General Counsel	<u>1</u>
Deputy General Counsel	<u>1</u>
Associate Deputy General Counsel	<u>1</u>

§ ~~7220~~7221. Public Officials Who Manage Public Investments.

The following positions are not covered by the conflict-of-interest code because they must file under Government Code Section 87200 and, therefore, are listed for information purposes only:

Members of the Board of Directors
 Chief Financial Officer
 Treasurer
 Assistant Treasurer

An individual holding one of the above listed positions may contact the Fair Political Practices Commission for assistance or written advice regarding their filing obligations if they believe that their position has been categorized incorrectly. The Fair Political Practices Commission makes the final determination whether a position is covered by Section ~~87200~~87200.

§ ~~7221~~7222. Consultants and New Positions.

A “designated position” includes an individual serving in a new position in which the individual makes or participates in the making of decisions and an individual who is a consultant as defined in FPPC Regulation 18701. These individuals must file under the broadest disclosure set forth in this conflict-of-interest code. However, the General Manager may determine disclosure that is tailored to the range of duties or contractual obligations for such individuals as long a clear explanation of the duties and disclosure requirements is provided in writing. Individuals serving in a new position must file under this provision until the position is added to this conflict-of-interest code. The written determination is a public record and shall be retained for public inspection in the same manner and location as this conflict-of-interest code. (Gov. Code Section 81008, 82019; FPPC Regulations 18219 and 18734.)

Consultants providing the services of designated ~~employees~~positions shall be included in the list of designated employees and shall disclose pursuant to the broadest disclosure category in the code subject to the following limitation:

The General Manager may determine in writing that a particular consultant, although a "designated position," is hired to perform a range of duties that is limited in scope and thus is not required to fully comply with the disclosure requirements described in this section. Such written determination shall include a description of the consultant's duties and, based upon that description, a statement of the extent of disclosure requirements. The General Manager's determination is a public record and shall be retained for public inspection in the same manner and location as this Conflict of Interest Code.

Statement of Reasons for Amendments to Conflict of Interest Code

The Metropolitan Water District of Southern California (Metropolitan) is a multi county agency, and the regulations of the Fair Political Practices Commission (FPPC) provide that Metropolitan's conflict-of-interest code requires FPPC approval. (2 CCR §18750.1.) Pursuant to the mandatory 2010 biennial review of Metropolitan's conflict-of-interest code, Metropolitan submitted a proposed revised code to the FPPC for approval after the required 45-day public review period and Board approval at the November 9, 2010 meeting.

As a condition to approval of Metropolitan's conflict-of-interest code, the FPPC is requiring that Metropolitan conform the format of Metropolitan's conflict-of-interest code to the standard format developed by the FPPC. Instead of listing each specific disclosure item for each designated position, the FPPC required format requires each designated position to be assigned disclosure based upon a limited number of broader disclosure categories. The proposed conflict-of-interest code has 13 disclosure categories developed from the itemized lists in the current code. The proposed amendments also reflect changes to Metropolitan's organization occurring since the code was submitted to the FPPC for approval in November 2010.

The following is a list of proposed amendments to Metropolitan's Conflict of Interest Code. Unless otherwise indicated, all section references are to sections of the revised Conflict of Interest Code.

Section 7200. Reference to the Political Reform Act is added to the title and the text states that "Metropolitan" as used in the code refers to The Metropolitan Water District of Southern California.

Section 7205. The language is amended to clarify that individuals holding designated positions in the conflict-of-interest code file statements of economic interests with Metropolitan, which then retains these statements. The language also clarifies that the Public Officials Who Manage Public Investments, which includes directors, file statements of economic interests with Metropolitan, which makes and retains copies of the statements and forwards the originals to the FPPC.

Section 7210. The new disclosure categories are listed in this section.

Section 7211. Officers of the District. The existing positions were assigned disclosure categories.

Section 7212. Office of the Chief Financial Officer. The existing positions were assigned disclosure categories.

Section 7213. Water System Operations Group. The positions have been revised to reflect this group's current organization and all positions were assigned disclosure categories. The principal organizational changes since November 2010 are the reorganization of the Water Quality Section, Fleet Services was moved from the office of the Group Manager Section to the Operations Support Services Section, and the Control System Application Services Team was transferred from Information Technology to this group's Operations and Planning Section.

Section 7214. Engineering Services Group. The former Corporate Resources Group was divided into the Engineering Services Group and the Business Services and Information Technology Group. This section now sets forth the engineering services positions formerly in the Corporate Resources Group, amends the positions to reflect the current organization of this group and assigns disclosure categories to the designated positions in this new group.

Section 7215. Business Services and Information Technology Group. The former Corporate Resources Group was divided into the Engineering Services Group and the Business Services and Information Technology Group. This section now sets forth the business services and information technology positions formerly in the Corporate Resources Group, adds the Facilities Management Unit Manager to this group from the Real Property Development and Management Group, adds the positions of Program Manager for Grant Management Program and Business Support and Business Outreach Section Manager from the Office of the General Manager, and assigns disclosure categories to the designated positions in this new group. The position of Control System Application Services Team Manager was transferred to the Water System Operations Group.

Section 7216. Water Resources Management Group. The positions of Assistant Group Manager and Program Manager–State Water Project Issues were deleted and disclosure categories were assigned to the designated positions in this group.

Section 7217. Real Property Development and Management Group. Positions were revised to reflect the current organization of this group, the Facilities Services Units Manager has been deleted and transferred to the Business Services and Information Technology Group and disclosure categories are assigned to the designated positions in this group.

Section 7218. Office of the General Manager. The positions of Program Manager for Grant Management Program and Business Support and Business Outreach Section Manager have been transferred to the Business Services and Information Technology Group. The remaining positions were assigned disclosure categories.

Section 7219. Office of Ethics. The existing positions were assigned disclosure categories.

Section 7220. Legal Department. The existing positions were assigned disclosure categories.

Section 7221. Public Officials Who Manage Public Investments. This section was not changed.

Section 7222. Consultants and New Positions. This section was revised to include the procedure for filing statements of economic interests and determining the applicable disclosure categories for consultants and new designated positions added after the conflict-of-interest code has been approved. The revised language clarifies that the requirement for disclosure of economic interests is based on the powers and responsibilities of a designated position. Employees and consultants occupying a designated position in the conflict-of-interest code have the disclosure requirements assigned to that designated position.