

- **Board of Directors**
Legal and Human Resources Committee

December 9, 2008 Board Meeting

7-7

Subject

Amend the Conflict of Interest Code in Chapter 2 of Division VII of the Metropolitan Water District Administrative Code for statutory compliance

Description

The regulations of the Fair Political Practices Commission (FPPC) require that multi-county local agencies like Metropolitan review their conflict of interest codes each even-numbered year. Code amendments are required if there are changes in reporting positions or reporting categories. A review of Metropolitan's Conflict of Interest Code indicates that Metropolitan's Code needs to be amended because significant changes have been made in Metropolitan's organization since its Code was last amended in 2006. The current Conflict of Interest Code with strikeovers and proposed amending notations is shown in [Attachment 1](#). The final Conflict of Interest Code with all changes, if adopted, is shown in [Attachment 2](#).

The changes to Metropolitan's Conflict of Interest Code reflect the changes that have occurred to Metropolitan's organization, job titles, and types of matters currently handled by Metropolitan. The Statement of Reasons for Amendment to Conflict of Interest Code, set forth in [Attachment 3](#), provides a detailed explanation of the changes that have been made.

Any amendment to Metropolitan's Conflict of Interest Code must be approved by the FPPC. The California Government Code requires a 45-day public comment period, which took place from September 4, 2008 to October 27, 2008. All comments received have been reviewed, and required corrections have been incorporated into the final proposed amendments, including the inclusion of positions that were omitted by mistake.

If the Board approves the proposed amendments, the amended Conflict of Interest Code will then be forwarded to the FPPC for final statutory review and approval. The FPPC may require additional changes as a condition to its approval. Therefore, it is requested that the General Counsel be authorized to include any non-substantial changes required by the FPPC.

Policy

Metropolitan Water District Administrative Code, Chapter 2, Division VII: Conflict of Interest and Disclosure of Personal Finances Code Section 7100

California Environmental Quality Act (CEQA)

CEQA determination for Options #1 and #2:

The proposed action is not defined as a project under CEQA because it involves continuing administrative activities, such as general policy and procedure making (Section 15378(b)(2) of the State CEQA Guidelines). In addition, where it can be seen with certainty that there is no possibility that the proposed action in question may have a significant effect on the environment, the proposed action is not subject to CEQA (Section 15061(b)(3) of the State CEQA Guidelines).

The CEQA determination is: Determine that the proposed action is not subject to CEQA pursuant to Sections 15378(b)(2) and 15061(b)(3) of the State CEQA Guidelines.

Board Options

Option #1

Adopt the CEQA determination and

- a. Approve the proposed amendments; and
- b. Authorize the General Counsel to include additional non-substantial changes requested by the FPPC.

Fiscal Impact: None

Option #2

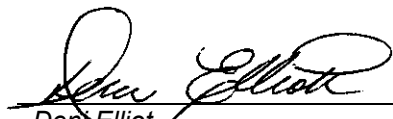
Adopt the CEQA determination and

- a. Approve the proposed amendments with additional amendments proposed by the Board; and
- b. Authorize the General Counsel to include additional non-substantial changes requested by the FPPC.


Fiscal Impact: None

Staff Recommendation

Option #1


 _____ 11/17/2008
 Deni Elliot Date
 Ethics Officer


 _____ 11/17/2008
 Karen L. Tachiki Date
 General Counsel


 _____ 11/24/2008
 Jeffrey Kightlinger Date
 General Manager

Attachment 1 – The Conflict of Interest Code of The Metropolitan Water District of Southern California (showing additions and deletions)

Attachment 2 – The Conflict of Interest Code of The Metropolitan Water District of Southern California (changed provisions only)

Attachment 3 – Statement of Reasons for Amendment to Conflict of Interest Code

Chapter 2

DISCLOSURE OF ECONOMIC INTERESTS

Article

1 Conflict of Interest Code	7200
2 Designated Positions and Categories of Disclosure Index	7210

Article 1

Conflict of Interest Code

Sec.

7200. Incorporation of Title 2, California Code of Regulations

7205. Filing of Statements of Economic Interest

§ 7200. Incorporation of the Title 2, California Code of Regulations.

The Political Reform Act, Government Code Section 81000, et seq., requires state and local government agencies to adopt and promulgate conflict of interest codes. The Fair Political Practices Commission has adopted a regulation (2 Cal. Code of Regs., Sec. 18730) which contains the terms of a standard conflict of interest code, which can be incorporated by reference in an agency's code. After public notice and hearing it may be amended by the Fair Political Practices Commission to conform to amendments in the Political Reform Act. Therefore, the terms of 2 California Code of Regulations, Section 18730 and any amendments to it duly adopted by the Fair Political Practices Commission are hereby incorporated by reference. This regulation and the attached Appendix designating officials, employees, and other individuals and establishing disclosure categories shall constitute the conflict of interest code of The Metropolitan Water District of Southern California.

§ 7205. Filing of Statements of Economic Interest.

Designated employees and other designated individuals shall file their statements with The Metropolitan Water District of Southern California which will make the statements available for public inspection and reproduction (Gov. Code, Sec. 81008.) Upon receipt of the statements for the Board of Directors, the agency shall make and retain a copy and forward the original to the Fair Political Practices Commission. Statements for all other designated employees and designated individuals will be retained by the agency.

Article 2

DESIGNATED POSITIONS AND CATEGORIES OF DISCLOSURE INDEX

- Sec.
- 7210. General Provisions
- 7211. Officers of the District
- 7212. Office of the Chief Financial Officer
- 7213. Water System Operations Group
- 7214. Corporate Resources Group
- 7215. Water Resources Management Group
- 7216. Real Property Development and Management Group
- 7217. Office of the General Manager
- 7218. Ethics Office
- 7219. Legal Department
- 7220. Public Officials Who Manage Public Investments
- 7221. Consultants

§ 7210. General Provisions.

(a) Investments, business positions in any business entity and sources of income listed in Column II of Sections 7211 through 7221 are disclosable if:

- (1) The business entity in which the investment or business position is held is of the type which has contracted with Metropolitan; or
- (2) The business entity in which the investment or business position is held is of the type which has furnished supplies or services as a subcontractor under any contract with Metropolitan; or
- (3) The source of income is of the type which has contracted with Metropolitan; or
- (4) The source of income is of the type which has furnished supplies or services as a subcontractor under any contract with Metropolitan.

(b) Where real property is made reportable in Column II of Sections 7211 through 7221, designated employees within Section 7211(a) shall report as an interest in real property any undeveloped real property located within Metropolitan's jurisdiction. For this purpose, "undeveloped real property" is defined as real property for which there are no current water connections available from Metropolitan to the retail supplier of water and such water connections would be necessary to provide a water supply to the property, or for which larger water delivery facilities from Metropolitan are required before increased water service can be provided for a presently contemplated change in the use to which the property is put.

Where real property is made reportable all designated employees shall disclose any interests in real property within two miles of any Metropolitan land.

(c) A designated employee must disclose that the designated employee is a director, officer, partner, trustee, employee, or holds any position of management in a business entity listed in Column II

of Sections 7211 through 7221 doing business within Metropolitan's jurisdiction and which is of the type which has contracted with Metropolitan or has furnished supplies or services as a subcontractor under any contract with Metropolitan within the previous two years.

§ 7211. Officers of the District.

(a)

General Manager

~~Assistant General Manager~~

Assistant General Manager

Deputy General Manager

Chief Administrative Officer

General Counsel

Assistant General Counsel

General Auditor

Assistant General Auditor

Ethics Officer

1. Office equipment and supplies
2. Banks and savings and loan institutions
3. Securities dealers
4. Real property
5. Public utilities
6. Financial audit services
7. Insurance services
8. Computer equipment, services and supplies
9. Printing, reproduction, or photographic equipment services and supplies
10. Periodicals, books, newspapers
11. Chemicals
12. Motor vehicles and specialty vehicles, parts and supplies
13. Construction and maintenance equipment, services and supplies
14. Petroleum products
15. Transportation and lodging services
16. Safety and security services, equipment and supplies
17. Food services and supplies
18. Communication services
19. Water quality testing equipment, services and supplies
20. Cathodic protection equipment, services and supplies
21. Engineering services
22. Employment and temporary help agencies
23. Educational equipment, services and supplies
24. Medical supplies, services and informational materials
25. Landscape services and supplies
26. Typographical services
27. General and specialty equipment rentals
28. Real estate sales and investment firms
29. Consulting services: legal, energy and power, engineering, soil testing, water treatment, data processing, computers, doctors, labor relations, employee training, advertising, communications, design, art work, audio visual, movie products, planning, water pricing and demand, water resources, economists, desalting, financial environmental, appraisers, investment, hazardous waste disposal.
30. Title insurance and escrow services
31. Farming
32. Private water companies
33. Electrical generating equipment and supplies
34. Electrical equipment and supplies
35. Real estate development firms
36. Any business entity selling or leasing real property to, or purchasing or leasing real property from the District

(b)

Members of the Board of Directors

See Section 7220

Chief Financial Officer

Treasurer

Assistant Treasurer

§ 7212. Office of the Chief Financial Officer.

Financial Services

~~(1)~~

- (a) Section Managers
 - Controller
 - Treasury & Debt Management
 - ~~Revenue, Rate & Budget &~~

- 1. Banks and savings and loan institutions
- 2. Financial audit services
- 3. Consulting services of the type used by this office e.g. investments, investment banking, brokers, economists,

Financial Planning

- (b) Assistant Section Managers

- planning, water pricing, & other financial services, including financial reporting
- 4. Securities dealers

~~Controller~~

~~Treasurer~~

(c) Unit Managers

-- Assistant Controller-Revenues and Receivables

-- Assistant Controller-Accounting Operations and Reporting

- (ed) Team Managers

--Payroll/Accounts Payable

~~General Accounting~~

- 5. Information Technology consulting services e.g. computers, data processing, & engineering.

~~(2)~~

~~Unit Manager Risk Management~~

- ~~1. Consulting services of the type utilized by this unit e.g. insurance actuarial, risk management, claims administration, health, safety & environmental services~~
- ~~2. Insurance companies~~

§ 7213. Water System Operations Group.

(a)

- Water System Operations Group Manager
- Water System Operations Group Assistant Managers

- 1. Airplane equipment and supplies
- 2. Boating supplies and services
- 3. Chemicals
- 4. Communication services
- 5. Construction and building equipment and supplies
- 6. Consulting services of the type utilized by this group e.g. succession/staff planning, energy and power, operations, maintenance-related services, water quality, water quality regs., water treatment, engineering, planning, security & guard services, emergency preparedness, communications, control systems (including SCADA), environmental assessment, permitting, legislative medical, claims administration, health and safety, training
- 7. Electrical equipment, parts and supplies
- 8. Electronic supplies & equipment of the type utilized by this section
- 9. Energy equipment and supplies
- 10. First-aid materials and supplies, related training and services
- 11. Food services and supplies
- 12. Hardware, tools and supplies
- 13. Hazardous waste disposal/treatment services
- 14. Investigative security services and security related equipment and supplies
- 15. Maintenance supplies
- 16. Motor vehicles and specialty vehicles, parts and supplies

- 17. Petroleum products
- 18. Pipes, valves, fittings, pumps, meters, equipment and supplies
- 19. Public utilities
- 20. Real property
- 21. Safety, health and medical services and supplies; safety equipment and supplies
- 22. Software, including control systems, SCADA, maintenance management, energy and water planning
- 23. Telecommunications equipment and supplies
- 24. Training materials & supplies (apprenticeship program related)
- 25. Water quality testing equipment supplies and services
- 26. Weed abatement/landscape maintenance related contracts
- 27. Janitorial services

(b)
 Apprenticeship Program Team
 Apprenticeship Program Team Manager

- 1. Training materials & supplies (apprenticeship program related)

(c)
 Security & ~~Emergency~~ Management Unit
 Security & ~~Emergency~~ Management Unit Manager
Security Team Managers
 --Security
 --~~Emergency Response~~

- 1. Consulting services of the type utilized by this unit e. g. security & guard services, emergency preparedness
- 2. Investigative security services and security-related equipment & supplies

(d)
Business Management Team
Business Management Team Manager

- 1. Consulting services of the type utilized by this team e.g. management, succession/staff planning

(e)
Fleet Services Unit
Fleet Services Unit Manager
Team Managers
 --Fleet Services
 --East
 --Central
 --West
 --Desert

- 1. Motor vehicles and specialty vehicles, part and supplies
- 2. Petroleum products
- 3. Equipment and supplies of the type utilized by this unit

(df)
 Environmental, Health & Safety Section
 Environmental, Health & Safety Mgr.
 Environmental, Health & Safety Program Support Unit Manager
 Team Managers
 --~~Environmental Mgmt.~~ Site Support Teams
 --~~Health & Safety Site Support~~
 --Health & Safety Program Support
 --Environmental, Health & Safety Training
 --Environmental Program Support

- 1. Safety, first aid, health and medical services & supplies
- 2. Consulting services of the type utilized by this section e.g. health & safety, medical training, claims administration, water quality, water quality regs., water treatment, ~~E~~environmental, permitting
- 3. Hazardous waste disposal/treatment services
- 4. Equipment & supplies of the type utilized by this section e.g. health, environmental, safety, and training
- 5. Chemicals of the type utilized by this section
- 6. Safety equipment and supplies of the type utilized by this section
- 7. Water quality testing, equipment, supplies and services

(e)
 --~~Business Management Team~~

- 1. ~~Consulting services of the type utilized by this team e.g.~~

~~—Business Management Team Manager~~
(fg)

~~Operations & Maintenance and~~ Planning Section
Operations ~~& Maintenance and~~ Planning Section Manager

~~management & communications~~

1. Communication services
2. Consulting services of the type utilized by this section e.g., energy & power, operations, ~~maintenance-related services~~, planning communications, control systems (including SCADA)
3. Electrical equipment, parts and supplies
4. Electronic supplies & equipment of the type utilized by this section
5. Energy equipment and supplies
- ~~6. Maintenance supplies of the type utilized by this section~~
- ~~6~~7. Pipes, valves, fittings, pumps, meters, equipment and supplies
- ~~7~~8. Public utilities
- ~~8~~9. Software of the type utilized by this section, including control systems, SCADA, ~~maintenance management~~, energy and water planning
- ~~9~~40. Telecommunications equipment and supplies
- ~~10~~4. Real property

(hg)

Operations Planning – Power Resources Unit
Operations Planning – Power Resources Unit Manager

1. Consulting services of the type utilized by this unit e.g. engineering, planning, energy and power, and control systems (including SCADA)
2. Energy equipment and supplies
3. Software of the type utilized by this unit, including control systems, SCADA, energy and water planning
4. Public utilities
5. Real property

(ih)

Operations Planning – Planning and Program Development Unit
Operations Planning – Planning and Program Development Unit Manager

1. Consulting services of the type utilized by this unit e.g. engineering, planning, energy and power, & control systems (including SCADA)
2. Software of the type utilized by this unit, including control systems, SCADA, energy and water planning
3. Public utilities
4. Real property

(ji)

System Operations Unit
System Operations Unit Manager
Operations Control Center Team Manager

1. Communication service
2. Consulting services of the type utilized by this unit e.g. engineering, communications, control systems, (including SCADA)
3. Electronic supplies and equipment of the type utilized by this unit
4. Pipes, valves, fittings, pumps, meters, equipment and Supplies
5. Public utilities
6. Software of the type utilized by this unit, including control systems, communications, SCADA, ~~maintenance management~~, energy and water planning
7. Telecommunications equipment and supplies

(jj)

~~—Maintenance Planning Unit~~

~~1. Consulting services of the type utilized by this unit (maintenance-related services)~~

~~—Maintenance Planning Unit Manager~~

- ~~2. Maintenance equipment and supplies~~
- ~~3. Pipes, valves, fittings, pumps, meters, equipment and supplies~~
- ~~4. Public utilities~~

~~5. Software of the type utilized by this unit, including maintenance management~~

(k)

Conveyance and Distribution Section
Conveyance and Distribution Section
Manager

Conveyance and Distribution Unit
Managers

--Eastern Region

--Western Region

--Desert Region

Team Managers

--Business Support for Eastern Region

--Business Support for Western Region

--Business Support for Desert Region

--Orange County

--Riverside

--Lake Skinner

--Diamond Valley Lake

--Los Angeles Teams

--La Verne

--Valley

--Aqueduct Maintenance

--Trades

--Pump Maintenance

--Powerline Maintenance

--Gene/Intake

--Iron Mountain

--Eagle Mountain

--Hinds

--Facilities Services

--Control Systems – Desert Region

1. Airplane equipment and supplies
2. Chemicals of the type utilized by this section group
3. Construction and building equipment and supplies
4. Consulting services of the type utilized by this section e.g. engineering, planning, emergency preparedness
5. Electrical equipment, parts and supplies
6. Electronics equipment & supplies of the type utilized by this section
7. Food services and supplies
8. Hardware, tools and supplies
9. Petroleum products
10. Pipes, valves, fittings, pumps, meters, equipment and supplies
11. Public utilities
12. Real property
13. Safety equipment & supplies of the type utilized by this section
14. Weed abatement/landscape maintenance related contracts
15. Janitorial services

(l)

Water Treatment Section

Water Treatment Section Manager

Unit Managers

--Jensen

--Weymouth

--Diemer

--Mills

--Skinner

Team Managers

--Business Support for Jensen

--Business Support for Weymouth

--Business Support for Diemer

--Business Support for Mills

--Business Support for Skinner

--Jensen O & M Teams

--Weymouth O & M Teams

--Diemer O & M Teams

--Mills O & M Teams

--Skinner O & M Teams

--Control Systems for Jensen

--Control Systems for Weymouth

--Control Systems for Diemer

--Control Systems for Mills

1. Chemicals of the type utilized by this section
2. Construction and building equipment and supplies
3. Consulting services of the type utilized by this section e.g. engineering, planning, operations, emergency preparedness, water treatment and quality
4. Electrical equipment, parts & supplies of the type utilized by this section
5. Electronic equipment & supplies of the type utilized by this section
6. Hardware, tools & supplies of the type utilized by this section
7. Motor vehicles and specialty vehicles, parts & supplies
8. Pipes, valves, fittings, pumps, meters, equipment and supplies
9. Public Utilities
10. Safety equipment & supplies of the type utilized by this section
11. Software of the type utilized by this section, including control systems (SCADA), energy monitoring
12. Telecommunications equipment and supplies
13. Janitorial Sservices.

--Control Systems for Skinner

(m)

- Water Quality Section
- Water Quality Section Manager
- Unit Managers
 - Microbiology
 - Water Purification
 - Chemistry
- Team Managers
 - Quality Assurance
 - Business Support
 - Microbiology Compliance
 - Microbiology Development
 - Reservoir Management
 - Operations Compliance
 - Engineering Compliance
 - Process Development
 - Organic Chemistry Compliance
 - Chemistry Development
 - Inorganic Chemistry Compliance
 - Plant Laboratory

1. Boating related supplies and services
2. Chemicals of the type utilized by this section
3. Consulting services (water quality, water quality regulations, water treatment)
4. Safety equipment and supplies
5. Water quality testing, equipment, supplies & services

(n)

- Operations Support Services Section
- Operations Support Services Section Manager
- Operations Support Services Unit Managers
 - Construction Services
 - Maintenance Support
 - Power Support
 - ~~Fleet Services~~
 - Team Managers
 - Business Support Teams
 - Construction Teams
 - ~~Construction Projects~~Electrical
 - Production Planning
 - Fabrication Shop
 - Valve / Dive
 - Machine Shop
 - Coatings
 - HVAC
 - Maintenance Services
 - Hydro Electric
 - ~~Fleet Services~~Maintenance
- Engineering & Support
- Fleet Maintenance Unit Team Managers
 - East
 - West
 - Central
 - Desert

1. Airplane equipment and supplies
2. Communication services of the type utilized by this section
3. Construction and building equipment and supplies
4. Consulting services of the type utilized by this section e.g. engineering, environmental assessment, permitting, legislative, medical, health and safety
5. Electronics supplies & equipment of the type utilized by this section
6. Electrical equipment, parts & supplies of the type utilized by this section
7. First-aid materials and supplies, training and services
8. Food services and supplies
9. Hardware, tools and supplies
10. Hazardous waste disposal/treatment services
11. Maintenance supplies of the type utilized by this section
- ~~12. Motor vehicles and specialty, vehicles, parts and supplies~~
12. Training Services
13. Petroleum products
14. Pipes, valves, fittings, pumps, meters, equipment and supplies
15. Public utilities
16. Real property
17. Safety equipment and supplies
18. Janitorial services

§ 7214. Corporate Resources Group

(a)

Corporate Resources Group Manager
Corporate Resource Assistant Group Manager

Same as General Manager (See Section 7211(a))

(b)

Project Coordination Office Unit Manager
Engineering Services Section Manager

1. Consulting services of the type utilized by this group, e.g. engineering, design, geotechnical, cathodic protection, title insurance, escrow services, environmental, data service, mapping, right-of-way, benchmarking, training, value engineering, technical studies, and technical services, etc.

Engineering Services Assistant Section Manager

2. Equipment & supplies of the type utilized by this group e.g. those related to construction rehabilitation and safety, instrumentation, electrical and safety, surveying, cathodic protection, coatings, concrete testing, inspection, dam surveillance and groundwater monitoring and telemetry equipment, etc.

Engineering Program Manager
Inland Feeder Program Manager
Technical Control Team Manager

3. Construction firms
4. Public utilities
5. Motor vehicles & specialty vehicles, parts and supplies
6. Real property, real estate development firms and real estate sales and investment firms
7. Printing and reproduction services

Business Management Team Manager

Design Unit Manager
Team Managers
--Treatment Plant/Equipment Design
--Pipeline and Facility Design
--Power/Instrumentation Design
--General Design
--Design Support

Infrastructure Unit Manager
Team Managers
--Materials & Metallurgy
--Corrosion Control
--Survey Mapping
--Safety of Dams
--Right-of-Way Engineering
--Field Survey

Inspection Unit Manager
Team Managers
--Inspection
--Soils & Concrete

Program Management Unit Manager
Team Managers
--Construction Contract Administration
--Construction Contract Development
--Project Support
--Distribution System Program Management
--Treatment Plant Program Management
--Conveyance/Storage Program Management

Engineering Systems Planning Unit Manager
Team Managers
--Facility Planning
--Environmental Planning

~~--Substructures~~

~~--Hydraulics~~

(1)

~~Business Management Team Manager~~

- ~~1. Consulting services of the type utilized by this team, e.g. benchmarking, training~~
- ~~2. Equipment & Supplies of the type utilized by this team~~
- ~~3. Printing and reproduction services~~

(2)

~~Design Unit Manager~~

~~Team Managers~~

~~Treatment Plant/Equipment Design~~

~~Pipeline and Facility Design~~

~~Power/Instrumentation Design~~

~~General Design~~

~~Design Support~~

- ~~1. Consulting services of the type utilized by this unit, e.g. engineering, design, geotechnical~~
- ~~2. Equipment and supplies of the type utilized by this unit, e.g. those related to construction, rehabilitation, instrumentation, electrical and safety~~
- ~~3. Construction firms~~
- ~~4. Real property~~
- ~~5. Public utilities~~

(3)

~~Infrastructure Unit Manager~~

~~Team Managers~~

~~Materials & Metallurgy~~

~~Corrosion Control~~

~~Survey Mapping~~

~~Safety of Dams~~

~~Right of Way Engineering~~

~~Field Survey~~

- ~~1. Consulting services of the type utilized by this unit, e.g. engineering, and cathodic protection, title insurance, and escrow services~~
- ~~2. Equipment services and supplies for surveying, cathodic protection, coatings, concrete testing, and safety and related activities~~
- ~~3. Public utilities of the type utilized by this unit~~
- ~~4. Real property~~
- ~~5. Printing and reproduction services~~
- ~~6. Vendors, e.g. dam surveillance and groundwater monitoring and telemetry equipment~~

(4)

~~Inspection Unit Manager~~

~~Team Managers~~

~~Inspection~~

~~Soils & Concrete~~

- ~~1. Consulting services of the type utilized by this unit, e.g. engineering~~
- ~~2. Construction firms~~
- ~~3. Equipment and supplies of the type utilized by this unit, e.g. those related to inspection, construction, rehabilitation and safety~~
- ~~4. Public utilities~~
- ~~5. Printing and reproduction services~~

(5)

~~Program Management Unit Manager~~

~~Team Managers~~

~~Construction Contract Administration~~

~~Construction Contract Development~~

~~Project Support~~

~~Distribution System Program~~

~~Management~~

~~Treatment Plant Program~~

~~Management~~

~~Conveyance/Storage Program~~

~~Management~~

- ~~1. Consulting services of the type utilized by this unit, e.g. engineering~~
- ~~2. Construction firms~~
- ~~3. Equipment and supplies of the type utilized by this unit, e.g. those related to construction, rehabilitation and safety~~
- ~~4. Public utilities~~
- ~~5. Real property~~
- ~~6. Printing and reproduction services~~

(6)

~~Engineering systems Planning Unit Manager~~

~~Team Managers~~

~~Facility Planning~~

- ~~1. Real property~~
- ~~2. Public utilities of the type utilized by this unit~~
- ~~3. Engineering services of the type utilized by this unit~~
- ~~4. Real estate development firms~~
- ~~5. Consulting services of the type utilized by this unit, e.g.~~

- ~~Environmental Planning~~
- ~~Substructures~~
- ~~Hydraulics~~

- ~~(7)~~
- ~~Facility Planning Unit Manager~~
- ~~Facility Planning Team Manager~~
- ~~Substructures Team Manager~~
- ~~Environmental Planning Team Manager~~
- ~~Hydraulic Team Manager~~

- ~~engineering, environmental~~
- ~~6. Printing and reproduction services~~
- ~~7. Real estate sales and investment firms~~
- ~~8. Data services~~

- 1. Public utilities
- 2. Engineering services
- 3. Consulting services, e.g. engineering, environmental, financial, energy and power, water resources, water pricing and demand, real estate appraisers
- 4. Printing and reproduction services
- 5. Data Services
- 6. Related Equipment and supplies

(c)
 Information Technology Section Manager
 Team Managers
 --Information Security Services
 --Quality Assurance/Quality Control Services
 --Business Management Services
 Client Support Services Team Unit
 Manager
 Team Managers
 --Client Support Services
 --Desktop Services
 --Technical Assistance

- 1. Consulting services of the type utilized by this section, e.g. computers, information technology, control systems, telecommunications, Geographic Information Systems (GIS), and Computer-Aided Design (CAD)
- 2. Equipment supplies and services of the type utilized by this section, e.g. computers, software, data, communications, and control systems
- 3. Public utilities, e.g., telephone and data services

Information Technology Project
 Management Unit Manager
Program Managers
 --Control Systems
 --Infrastructure & Security
 --Applications

Information Technology Infrastructure
Services Unit Manager
 - ~~Information Technology~~
Team Managers
 --Database & Information Technology
Operations Services
 --Telecommunication Services
 --Server Maintenance Services

~~Program Manager, Control Systems~~
~~Information Technology Program~~
~~Manager, Infrastructure & Security~~
~~Information Technology Program~~
~~Manager, Applications~~

Application Services Unit Manager
 Team Managers
 --Business Applications Services
 --Enterprise Application Services
 --GIS Application Services
 --Control Systems Application Services

~~Information Technology Infrastructure~~

~~Services Unit Manager~~
~~— Team Managers~~
~~— Database & Information Technology~~
~~Operations Services~~
~~— Telecommunication Services~~
~~— Server Maintenance Services~~

(d)
 Business Services Section Manager

~~— Business Management Team~~
~~Manager~~

~~— Contracting Services Unit Manager~~

~~— Team Managers~~
~~— Procurement~~
~~— Inventory Management~~
~~— Professional Services Contract~~
~~— Warehousing~~

~~— Document Services Unit Manager~~

~~— Team Managers~~
~~— Graphic Design~~
~~— Reprographics~~
~~— Technical Writing~~
~~— Records Management~~

~~(1)~~
~~— Business Management Team Manager~~

~~(2)~~
~~— Contracting Services Unit Manager~~
~~— Team Managers~~
~~— Procurement~~
~~— Inventory Management~~
~~— Professional Services Contract Team~~
~~— Warehousing~~

~~(3)~~
~~— Facilities Services Unit Manager~~
~~— Team Managers~~
~~— Transportation and Mail~~
~~— Facilities Management~~

1. Consulting services of the type utilized by this section, e.g. printing, reproduction, publishing, technical writing, software applications, enterprise content management, business management, records management, electronic bidding, etc. maintenance, building engineering, training, safety, food service
2. Equipment and supplies of the type utilized by this section, e. g. chemicals, computer hardware, software, tools, parts, safety, furniture, office equipment and supplies, building materials maintenance supplies, telecommunications, copiers, motor vehicles, petroleum products, video
3. Services of the type utilized by this section, e. g. transportation & lodging services, publishing, maintenance, ~~food service, air conditioning~~, travel agency, auction services, records storage
4. Public utilities
5. Periodicals, books, subscriptions and newspapers
- ~~6. Entities leasing space or facilities at Metropolitan's Union Station Headquarters~~

- ~~1. Consulting services of the type utilized by this team, e.g. business management training, help desk technology, travel agency~~
- ~~2. Equipment, supplies and services, of the type utilized by this section, e. g., copiers~~
- ~~3. Transportation and lodging services~~

- ~~1. Consulting services of the type utilized by this unit, e.g. training enterprise financial application, electronic bidding~~
- ~~2. Equipment and supplies of the type utilized by this unit, e.g. chemicals, motor vehicles, computer hardware, software, tools, parts, petroleum products, safety, office equipment and supplies, maintenance supplies, telecommunications~~
- ~~3. Services of the type utilized by this unit, e.g. surplus auctioning~~

- ~~1. Consulting services of the type utilized by this unit~~
- ~~2. Equipment & supplies, e.g. tools, parts, furniture, office equipment & supplies, maintenance supplies and equipment safety~~
- ~~3. Services of the type utilized by this unit, e.g. transportation, maintenance, food service, landscaping, movers, facility repair~~

- ~~4. Construction firms~~
- ~~5. Public utilities~~
- ~~6. Entities leasing space or facilities at Metropolitan's Union Station Headquarters~~

- ~~—(4)~~
- ~~— Document Services Unit Manager~~
- ~~— Team Managers~~
- ~~— Graphic Design~~
- ~~— Reprographics~~
- ~~— Technical Writing~~
- ~~— Records Management~~

- ~~1. Consulting services of the type utilized by this unit, e.g. graphics, technical writing, photography, web development, records management~~
- ~~2. Equipment and supplies and software of the type utilized by this unit, e.g. printing, copying, photographic, video~~
- ~~3. Services of the type utilized by this unit, e.g. publishing, record storage, microfilming, scanning~~
- ~~4. Periodicals, books, newspapers~~

§ 7215. Water Resources Management Group.

- (a)
 - Group Manager – Water Resource Management
 - Assistant Group Manager – Water Resource Management
 - Resource Planning & Development Section Manager
 - Resource Analysis Unit Manager
 - System Analysis Unit Manager
 - Program Manager – Transfers and Exchange Issues
 - Program Manager – Groundwater Storage Issues
 - ~~---Program Manager – Bay Delta Issues~~
 - Resource Implementation Section Manager
 - Imported Supply Unit Manager
 - Regional Supply Unit Manager
 - Program Manager – State Water Project Issues
 - ~~---Program Manager – Colorado River Resources Issues~~
 - Program Manager – Water Use Efficiency

- 1. Public utilities
- 2. Engineering services
- 3. Real property
- 4. Farming
- 5. Private water companies
- 6. Real estate development
- 7. Construction and maintenance equipment, services and supplies
- 8. Consulting services of the type utilized by this group, i. e. energy and power, economists, engineering, environmental, financial, planning, desalting, water pricing and demand, water resources, water conservation
- 9. Landscape service and supplies
- 10. Energy and Power
- 11. Equipment, supplies and services of the type utilized by this group
- 12. Temporary agency payroll services

§ 7216. Real Property Development and Management Group

- (a)
 - Real Property Development and Management Group Manager

- 1. Real property
- 2. Public utilities of the type utilized by this group
- 3. Engineering services
- 4. Real estate development firms
- 5. Consulting services of the type utilized by this group, e.g. engineering, environmental, financial, recreation and real estate
- 6. Real estate sales and investment firms
- 7. Any business entity selling or leasing real property from Metropolitan
- 8. Escrow services

- 9. Data Services
- 10. Equipment and supplies of the type utilized by this group
- 11. Boating/marina related supplies and services
- 12. Consulting services (recreation related)
- 13. Construction and building equipment and supplies
- 14. Electrical equipment, parts and supplies
- 15. First-aid, safety equipment and supplies
- 16. Construction firms
- 17. Landscaping services
- 18. Concessionaire services

(b)

Real Estate Services Unit Manager
 Team Managers
 --Acquisition/Appraisal
 --Property Management

- 1. Real property
- 2. Public utilities of the type utilized by this unit
- 3. Engineering services of the type utilized by this unit
- 4. Real estate development firms
- 5. Consulting services of the type utilized by this unit, e.g. engineering, environmental, financial and real estate appraisers
- 6. Printing and reproduction services
- 7. Real estate sales and investment firms
- 8. Any business entity selling or leasing real property from Metropolitan
- 9. Escrow services
- 10. Data Services
- 11. Equipment and supplies of the type utilized by this unit

(c)

Recreation Development Team Manager
 Recreation Management Team Manager

- 1. Boating/marina related supplies and services
- 2. Consulting services (recreation related)
- 3. Construction and building equipment and supplies
- 4. Electrical equipment, parts and supplies of the type utilized by the team
- 5. First-aid, safety equipment and supplies
- 6. Construction firms
- 7. Landscaping services
- 8. Concessionaire services

(d)

Facilities Services Unit Manager
Team Managers
--Transportation and Mail
--Facilities Management

- 1. Consulting services of the type utilized by this unit
- 2. Equipment & supplies, e.g. tools, parts, furniture, office equipment & supplies, maintenance supplies and equipment safety
- 3. Services of the type utilized by this unit, e.g. transportation, maintenance, food service, landscaping, movers, facility repair
- 4. Construction firms
- 5. Public utilities
- 6. Entities leasing space or facilities at Metropolitan's Union Station Headquarters

§ 7217. Office of the General Manager

(a)

Board Executive Secretary

- 1. Transportation and lodging services
- 2. Food services and supplies

(b)

Special Projects Program Manager
 Business Outreach Manager

Same as General Manager (See §7211(a))

Program Manager
Staff Assistant to the General Manager

(c)

(1)
Human Resources Section Group
Manager
Employee Relations Unit Manager
Equal Employment Office Manager
Training and Organization Effectiveness
Unit Manager
Staffing Unit Manager
~~Benefits and Employee Services Team~~
~~Manager~~
Classification/Compensation Unit
Manager
Human Resources Information Systems
& Benefits Unit Manager
--Benefits and Employee Services Team
Manager

1. Consulting services of the type utilized by this section, e.g. employee training, labor and employee relations, medical benefits
2. Equipment, supplies and services of the type utilized by this section, e.g. those related to training, safety, advertising, insurance
3. Printing and reproduction services
4. Employment agencies
5. Newspapers, books and periodicals

(2)
Risk Management Unit Manager

1. Consulting services of the type utilized by this unit e.g. insurance actuarial, risk management, claims administration, health, safety & environmental services
2. Insurance companies

(d)

External Affairs Group

(1)

Group Manager / External Affairs
~~Executive Strategist Assistant Group~~
~~Manager / External Affairs~~
Executive Legislative Representative –
Washington, DC
Executive Legislative Representative –
Sacramento

Same as General Manager (See § 7211(a))

(2)

Media Services Section Manager
~~--Outreach Publications Program~~
Manager
~~--Press Office Program Manager~~
~~--Website Program Manager~~
Customer & Community Services Section
Unit Managers
~~--Education~~
~~--Community Partnering Program~~
~~--Customer Services Outreach Program~~
Business Management Team Manager
~~Publications Program Manager~~
Customer Services Unit Manager

1. Newspapers, books and periodicals
2. Hotel & motel accommodations, restaurants, bus and airline charter
3. Educational equipment, services and supplies
4. Consulting services of the type utilized by this section
5. Advertising and mail services
6. Audio or visual aids
7. Art supplies
8. Typographical services
9. Paper supplies

(3)

Section Manager / Legislative Services

Same as General Manager (See § 7211(a))

(4)

Government and Regional Affairs
Representatives

1. Hotel & motel accommodations, restaurants, bus and airline charter
2. General and specialty equipment rentals
3. Employment agencies

4. Consultants of the type utilized by this group, e.g. public relations

(e)

Strategic Water Initiatives

- (1) Program Manager—Bay-Delta Issues
- (2) Program Manager—Colorado River Resources Issues

- 1. Public utilities
- 2. Engineering services
- 3. Real property
- 4. Farming
- 5. Private water companies
- 6. Real estate development
- 7. Construction and maintenance equipment, services and supplies
- 8. Consulting services of the type utilized by this group, i. e. energy and power, economists, engineering, environmental, financial, planning, desalting, water pricing and demand, water resources, water conservation
- 9. Landscape service and supplies
- 10. Energy and Power
- 11. Equipment, supplies and services of the type utilized by this group
- 12. Temporary agency payroll services

§ 7218. Ethics Office

Ethics Educator

Same as General Manager (See § 7211(a))

§ 7219 Legal Department.

(a)

Chief Deputy General Counsel
 Senior Deputy General Counsel
 Deputy General Counsel
 Associate Deputy General Counsel

Same as General Manager (See § 7211(a))

§ 7220. Public Officials Who Manage Public Investments.

The following positions are not covered by the code because they must file under Government Code Section 87200 and, therefore, are listed for information purposes only:

Members of the Board of Directors
 Chief Financial Officer
 Treasurer
 Assistant Treasurer

An individual holding one of the above listed positions may contact the Fair Political Practices Commission for assistance or written advice regarding their filing obligations if they believe that their position has been categorized incorrectly. The Fair Political Practices Commission makes the final determination whether a position is covered by Section 87200

§ 7221. Consultants.

Consultants providing the services of designated employees shall be included in the list of designated employees and shall disclose pursuant to the broadest disclosure category in the code subject to the following limitation:

The General Manager may determine in writing that a particular consultant, although a "designated position," is hired to perform a range of duties that is limited in scope and thus is not

required to fully comply with the disclosure requirements described in this section. Such written determination shall include a description of the consultant's duties and, based upon that description, a statement of the extent of disclosure requirements. The General Manager's determination is a public record and shall be retained for public inspection in the same manner and location as this Conflict of Interest Code.

Chapter 2

DISCLOSURE OF ECONOMIC INTERESTS

Article

1	Conflict of Interest Code	7200
2	Designated Positions and Categories of Disclosure Index	7210

Article 1

Conflict of Interest Code

Sec.

7200. Incorporation of Title 2, California Code of Regulations

7205. Filing of Statements of Economic Interest

§ 7200. Incorporation of the Title 2, California Code of Regulations.

The Political Reform Act, Government Code Section 81000, et seq., requires state and local government agencies to adopt and promulgate conflict of interest codes. The Fair Political Practices Commission has adopted a regulation (2 Cal. Code of Regs., Sec. 18730) which contains the terms of a standard conflict of interest code, which can be incorporated by reference in an agency’s code. After public notice and hearing it may be amended by the Fair Political Practices Commission to conform to amendments in the Political Reform Act. Therefore, the terms of 2 California Code of Regulations, Section 18730 and any amendments to it duly adopted by the Fair Political Practices Commission are hereby incorporated by reference. This regulation and the attached Appendix designating officials, employees, and other individuals and establishing disclosure categories shall constitute the conflict of interest code of The Metropolitan Water District of Southern California.

§ 7205. Filing of Statements of Economic Interest.

Designated employees and other designated individuals shall file their statements with The Metropolitan Water District of Southern California which will make the statements available for public inspection and reproduction (Gov. Code, Sec. 81008.) Upon receipt of the statements for the Board of Directors, the agency shall make and retain a copy and forward the original to the Fair Political Practices Commission. Statements for all other designated employees and designated individuals will be retained by the agency.

Article 2

DESIGNATED POSITIONS AND CATEGORIES OF DISCLOSURE INDEX

- Sec.
- 7210. General Provisions
- 7211. Officers of the District
- 7212. Office of the Chief Financial Officer
- 7213. Water System Operations Group
- 7214. Corporate Resources Group
- 7215. Water Resources Management Group
- 7216. Real Property Development and Management Group
- 7217. Office of the General Manager
- 7218. Ethics Office
- 7219. Legal Department
- 7220. Public Officials Who Manage Public Investments
- 7221. Consultants

§ 7210. General Provisions.

(a) Investments, business positions in any business entity and sources of income listed in Column II of Sections 7211 through 7221 are disclosable if:

- (1) The business entity in which the investment or business position is held is of the type which has contracted with Metropolitan; or
- (2) The business entity in which the investment or business position is held is of the type which has furnished supplies or services as a subcontractor under any contract with Metropolitan; or
- (3) The source of income is of the type which has contracted with Metropolitan; or
- (4) The source of income is of the type which has furnished supplies or services as a subcontractor under any contract with Metropolitan.

(b) Where real property is made reportable in Column II of Sections 7211 through 7221, designated employees within Section 7211(a) shall report as an interest in real property any undeveloped real property located within Metropolitan's jurisdiction. For this purpose, "undeveloped real property" is defined as real property for which there are no current water connections available from Metropolitan to the retail supplier of water and such water connections would be necessary to provide a water supply to the property, or for which larger water delivery facilities from Metropolitan are required before increased water service can be provided for a presently contemplated change in the use to which the property is put.

Where real property is made reportable all designated employees shall disclose any interests in real property within two miles of any Metropolitan land.

(c) A designated employee must disclose that the designated employee is a director, officer, partner, trustee, employee, or holds any position of management in a business entity listed in Column II

of Sections 7211 through 7221 doing business within Metropolitan's jurisdiction and which is of the type which has contracted with Metropolitan or has furnished supplies or services as a subcontractor under any contract with Metropolitan within the previous two years.

§ 7211. Officers of the District.

(a)

- | | |
|------------------------------|--|
| General Manager | 1. Office equipment and supplies |
| | 2. Banks and savings and loan institutions |
| | 3. Securities dealers |
| Assistant General Manager | 4. Real property |
| | 5. Public utilities |
| Deputy General Manager | 6. Financial audit services |
| | 7. Insurance services |
| Chief Administrative Officer | 8. Computer equipment, services and supplies |
| | 9. Printing, reproduction, or photographic equipment services and supplies |
| General Counsel | 10. Periodicals, books, newspapers |
| Assistant General Counsel | 11. Chemicals |
| | 12. Motor vehicles and specialty vehicles, parts and supplies |
| General Auditor | 13. Construction and maintenance equipment, services and supplies |
| Assistant General Auditor | 14. Petroleum products |
| | 15. Transportation and lodging services |
| Ethics Officer | 16. Safety and security services, equipment and supplies |
| | 17. Food services and supplies |
| | 18. Communication services |
| | 19. Water quality testing equipment, services and supplies |
| | 20. Cathodic protection equipment, services and supplies |
| | 21. Engineering services |
| | 22. Employment and temporary help agencies |
| | 23. Educational equipment, services and supplies |
| | 24. Medical supplies, services and informational materials |
| | 25. Landscape services and supplies |
| | 26. Typographical services |
| | 27. General and specialty equipment rentals |
| | 28. Real estate sales and investment firms |
| | 29. Consulting services: legal, energy and power, engineering, soil testing, water treatment, data processing, computers, doctors, labor relations, employee training, advertising, communications, design, art work, audio visual, movie products, planning, water pricing and demand, water resources, economists, desalting, financial environmental, appraisers, investment, hazardous waste disposal. |
| | 30. Title insurance and escrow services |
| | 31. Farming |
| | 32. Private water companies |
| | 33. Electrical generating equipment and supplies |
| | 34. Electrical equipment and supplies |
| | 35. Real estate development firms |
| | 36. Any business entity selling or leasing real property to, or purchasing or leasing real property from the District |

(b)

- | | |
|-----------------------------------|------------------|
| Members of the Board of Directors | See Section 7220 |
| Chief Financial Officer | |
| Treasurer | |

Assistant Treasurer

§ 7212. Office of the Chief Financial Officer.

Financial Services

- | | |
|--|---|
| <p>(a) Section Managers
 --Controller
 --Treasury & Debt Management
 --Budget & Financial Planning</p> <p>(b) Assistant Section Managers</p> | <p>1. Banks and savings and loan institutions
 2. Financial audit services
 3. Consulting services of the type used by this office e.g. investments, investment banking, brokers, economists, planning, water pricing, & other financial services, including financial reporting
 4. Securities dealers</p> |
| <p>(c) Unit Managers
 -- Assistant Controller-Revenues and Receivables
 -- Assistant Controller-Accounting Operations and Reporting</p> | |
| <p>(d) Team Managers
 --Payroll/Accounts Payable</p> | <p>5. Information Technology consulting services e.g. computers, data processing, & engineering.</p> |

§ 7213. Water System Operations Group.

(a)

- | | |
|--|--|
| <p>Water System Operations Group Manager
 Water System Operations Group Assistant Managers</p> | <p>1. Airplane equipment and supplies
 2. Boating supplies and services
 3. Chemicals
 4. Communication services
 5. Construction and building equipment and supplies
 6. Consulting services of the type utilized by this group e.g. succession/staff planning, energy and power, operations, maintenance-related services, water quality, water quality regs., water treatment, engineering, planning, security & guard services, emergency preparedness, communications, control systems (including SCADA), environmental assessment, permitting, legislative medical, claims administration, health and safety, training
 7. Electrical equipment, parts and supplies
 8. Electronic supplies & equipment of the type utilized by this section
 9. Energy equipment and supplies
 10. First-aid materials and supplies, related training and services
 11. Food services and supplies
 12. Hardware, tools and supplies
 13. Hazardous waste disposal/treatment services
 14. Investigative security services and security related equipment and supplies
 15. Maintenance supplies
 16. Motor vehicles and specialty vehicles, parts and supplies
 17. Petroleum products
 18. Pipes, valves, fittings, pumps, meters, equipment and supplies
 19. Public utilities</p> |
|--|--|

- 20. Real property
- 21. Safety, health and medical services and supplies; safety equipment and supplies
- 22. Software, including control systems, SCADA, maintenance management, energy and water planning
- 23. Telecommunications equipment and supplies
- 24. Training materials & supplies (apprenticeship program related)
- 25. Water quality testing equipment supplies and services
- 26. Weed abatement/landscape maintenance related contracts
- 27. Janitorial services

(b)
Apprenticeship Program Team
Apprenticeship Program Team Manager

- 1. Training materials & supplies (apprenticeship program related)

(c)
Security Management Unit
Security Management Unit
Manager
Security Team Manager

- 1. Consulting services of the type utilized by this unit e. g. security & guard services, emergency preparedness
- 2. Investigative security services and security-related equipment & supplies

(d)
Business Management Team
Business Management Team Manager

- 1. Consulting services of the type utilized by this team e.g. management, succession/staff planning

(e)
Fleet Services Unit
Fleet Services Unit Manager
Team Managers
--Fleet Services
--East
--Central
--West
--Desert

- 1. Motor vehicles and specialty vehicles, part and supplies
- 2. Petroleum products
- 3. Equipment and supplies of the type utilized by this unit

(f)
Environmental, Health & Safety Section
Environmental, Health & Safety Mgr.
Environmental, Health & Safety Program
Support Unit Manager
Team Managers
--Site Support Teams
--
--Health & Safety Program Support
--Environmental, Health & Safety Training
--Environmental Program Support

- 1. Safety, first aid, health and medical services & supplies
- 2. Consulting services of the type utilized by this section e.g. health & safety, medical training, claims administration, water quality, water quality regs., water treatment, environmental, permitting
- 3. Hazardous waste disposal/treatment services
- 4. Equipment & supplies of the type utilized by this section e.g. health, environmental, safety, and training
- 5. Chemicals of the type utilized by this section
- 6. Safety equipment and supplies of the type utilized by this section
- 7. Water quality testing, equipment, supplies and services

(g)
Operations and Planning
Section
Operations and Planning
Section Manager

- 1. Communication services
- 2. Consulting services of the type utilized by this section g., energy & power, operations, planning communications, control systems (including SCADA)

- 3. Electrical equipment, parts and supplies
- 4. Electronic supplies & equipment of the type utilized by this section
- 5. Energy equipment and supplies
- 6. Pipes, valves, fittings, pumps, meters, equipment and supplies
- 7. Public utilities
- 8. Software of the type utilized by this section, including control systems, SCADA, energy and water planning
- 9. Telecommunications equipment and supplies
- 10. Real property

(h)
 Operations Planning – Power Resources Unit
 Operations Planning – Power Resources Unit Manager

- 1. Consulting services of the type utilized by this unit e.g. engineering, planning, energy and power, and control systems (including SCADA)
- 2. Energy equipment and supplies
- 3. Software of the type utilized by this unit, including control systems, SCADA, energy and water planning
- 4. Public utilities
- 5. Real property

(i)
 Operations Planning – Planning and Program Development Unit
 Operations Planning – Planning and Program Development Unit Manager

- 1. Consulting services of the type utilized by this unit e.g. engineering, planning, energy and power, & control systems (including SCADA)
- 2. Software of the type utilized by this unit, including control systems, SCADA, energy and water planning
- 3. Public utilities
- 4. Real property

(j)
 System Operations Unit
 System Operations Unit Manager
 Operations Control Center Team Manager

- 1. Communication service
- 2. Consulting services of the type utilized by this unit e.g. engineering, communications, control systems, (including SCADA)
- 3. Electronic supplies and equipment of the type utilized by this unit
- 4. Pipes, valves, fittings, pumps, meters, equipment and Supplies
- 5. Public utilities
- 6. Software of the type utilized by this unit, including control systems, communications, SCADA, energy and water planning
- 7. Telecommunications equipment and supplies

(k)
 Conveyance and Distribution Section
 Conveyance and Distribution Section Manager
 Conveyance and Distribution Unit Managers
 --Eastern Region
 --Western Region
 --Desert Region
 Team Managers
 --Business Support for Eastern Region
 --Business Support for Western Region
 --Business Support for Desert Region
 --Orange County

- 1. Airplane equipment and supplies
- 2. Chemicals of the type utilized by this section
- 3. Construction and building equipment and supplies
- 4. Consulting services of the type utilized by this section e.g. engineering, planning, emergency preparedness
- 5. Electrical equipment, parts and supplies
- 6. Electronics equipment & supplies of the type utilized by this section
- 7. Food services and supplies
- 8. Hardware, tools and supplies
- 9. Petroleum products
- 10. Pipes, valves, fittings, pumps, meters, equipment and supplies

- Riverside
- Lake Skinner
- Diamond Valley Lake
- Los Angeles Teams
- La Verne
- Valley
- Aqueduct Maintenance
- Trades
- Pump Maintenance
- Powerline Maintenance
- Gene/Intake
- Iron Mountain
- Eagle Mountain
- Hinds
- Facilities Services
- Control Systems – Desert Region

11. Public utilities
12. Real property
13. Safety equipment & supplies of the type utilized by this section
14. Weed abatement/landscape maintenance related contracts
15. Janitorial services

(l)

Water Treatment Section
 Water Treatment Section Manager
 Unit Managers

- Jensen
- Weymouth
- Diemer
- Mills
- Skinner

Team Managers

- Business Support for Jensen
- Business Support for Weymouth
- Business Support for Diemer
- Business Support for Mills
- Business Support for Skinner
- Jensen O & M Teams
- Weymouth O & M Teams
- Diemer O & M Teams
- Mills O & M Teams
- Skinner O & M Teams
- Control Systems for Jensen
- Control Systems for Weymouth
- Control Systems for Diemer
- Control Systems for Mills
- Control Systems for Skinner

1. Chemicals of the type utilized by this section
2. Construction and building equipment and supplies
3. Consulting services of the type utilized by this section e. g. engineering, planning, operations, emergency preparedness, water treatment and quality
4. Electrical equipment, parts & supplies of the type utilized by this section
5. Electronic equipment & supplies of the type utilized by this section
6. Hardware, tools & supplies of the type utilized by this section
7. Motor vehicles and specialty vehicles, parts & supplies
8. Pipes, valves, fittings, pumps, meters, equipment and supplies
9. Public Utilities
10. Safety equipment & supplies of the type utilized by this section
11. Software of the type utilized by this section, including control systems (SCADA), energy monitoring
12. Telecommunications equipment and supplies
13. Janitorial services.

(m)

Water Quality Section
 Water Quality Section Manager
 Unit Managers

- Microbiology
- Water Purification
- Chemistry

Team Managers

- Quality Assurance
- Business Support
- Microbiology Compliance
- Microbiology Development
- Reservoir Management
- Operations Compliance
- Engineering Compliance
- Process Development

1. Boating related supplies and services
2. Chemicals of the type utilized by this section
3. Consulting services (water quality, water quality regulations, water treatment)
4. Safety equipment and supplies
5. Water quality testing, equipment, supplies & services

- Organic Chemistry Compliance
- Chemistry Development
- Inorganic Chemistry Compliance
- Plant Laboratory

(n)

Operations Support Services Section
Operations Support Services Section
Manager

Operations Support Services Unit
Managers

- Construction Services
- Maintenance Support
- Power Support
-
- Team Managers
- Business Support Teams
- Construction Teams
- Electrical
- Production Planning
- Fabrication Shop
- Valve / Dive
- Machine Shop
- Coatings
- HVAC
- Maintenance Services
- Hydro Electric
- Maintenance Engineering & Support

1. Airplane equipment and supplies
2. Communication services of the type utilized by this section
3. Construction and building equipment and supplies
4. Consulting services of the type utilized by this section e.g. engineering, environmental assessment, permitting, legislative, medical, health and safety
5. Electronics supplies & equipment of the type utilized by this section
6. Electrical equipment, parts & supplies of the type utilized by this section
7. First-aid materials and supplies, training and services
8. Food services and supplies
9. Hardware, tools and supplies
10. Hazardous waste disposal/treatment services
11. Maintenance supplies of the type utilized by this section
12. Training Services
13. Petroleum products
14. Pipes, valves, fittings, pumps, meters, equipment and supplies
15. Public utilities
16. Real property
17. Safety equipment and supplies
18. Janitorial services

§ 7214. Corporate Resources Group

(a)

Corporate Resources Group Manager
Corporate Resource Assistant Group
Manager

Same as General Manager (See Section 7211(a))

(b)

Project Coordination Office Unit Manager
Engineering Services Section Manager

1. Consulting services of the type utilized by this group, e.g. engineering, design, geotechnical, cathodic protection, title insurance, escrow services, environmental, data service, mapping, right-of-way, benchmarking, training, value engineering, technical studies, and technical services, etc.

Engineering Services Assistant Section
Manager

2. Equipment & supplies of the type utilized by this group e.g. those related to construction rehabilitation and safety, instrumentation, electrical and safety, surveying, cathodic protection, coatings, concrete testing, inspection, dam surveillance and groundwater monitoring and telemetry equipment, etc.

Engineering Program Manager
Inland Feeder Program Manager
Technical Control Team Manager

3. Construction firms

Business Management Team Manager

4. Public utilities
5. Motor vehicles & specialty vehicles, parts and supplies
6. Real property, real estate development firms and real estate sales and investment firms

Design Unit Manager
Team Managers

7. Printing and reproduction services

- Treatment Plant/Equipment Design
- Pipeline and Facility Design
- Power/Instrumentation Design
- General Design
- Design Support

Infrastructure Unit Manager

Team Managers

- Materials & Metallurgy
- Corrosion Control
- Survey Mapping
- Safety of Dams
- Right-of-Way Engineering
- Field Survey

Inspection Unit Manager

Team Managers

- Inspection
- Soils & Concrete

Program Management Unit Manager

Team Managers

- Construction Contract Administration
- Construction Contract Development
- Project Support
- Distribution System Program

Management

- Treatment Plant Program

Management

- Conveyance/Storage Program

Management

Engineering Systems Planning Unit Manager

Team Managers

- Facility Planning
- Environmental Planning
- Substructures
- Hydraulics

(c)

Information Technology Section Manager

Team Managers

- Information Security Services
- Quality Assurance/Quality Control Services

--Business Management Services

Client Support Services Unit Manager

Team Managers

- Client Support Services
- Desktop Services
- Technical Assistance

Information Technology Project

Management Unit Manager

Program Managers

- Control Systems

1. Consulting services of the type utilized by this section, e.g. computers, information technology, control systems, telecommunications, Geographic Information Systems (GIS), and Computer-Aided Design (CAD)
2. Equipment supplies and services of the type utilized by this section, e.g. computers, software, data, communications, and control systems
3. Public utilities, e.g., telephone and data services

- Infrastructure & Security
- Applications

Information Technology Infrastructure
Services Unit Manager

- Team Managers
- Database & Information Technology

Operations Services

- Telecommunication Services
- Server Maintenance Services

Application Services Unit Manager

- Team Managers
- Business Applications Services
- Enterprise Application Services
- GIS Application Services
- Control Systems Application Services

(d)

Business Services Section Manager

- Business Management Team
Manager

Contracting Services Unit Manager

- Team Managers
- Procurement
- Inventory Management
- Professional Services Contract
- Warehousing

Document Services Unit Manager

- Team Managers
- Graphic Design
- Reprographics
- Technical Writing
- Records Management

1. Consulting services of the type utilized by this section, e.g. printing, reproduction, publishing, technical writing, software applications, enterprise content management, business management, records management, electronic bidding, etc.
2. Equipment and supplies of the type utilized by this section, e. g. chemicals, computer hardware, software, tools, parts, safety, furniture, office equipment and supplies, building materials maintenance supplies, telecommunications, copiers, motor vehicles, petroleum products, video
3. Services of the type utilized by this section, e. g. transportation & lodging services, publishing, maintenance, travel agency, auction services, records storage
4. Public utilities
5. Periodicals, books, subscriptions and newspapers

§ 7215. Water Resources Management Group.

(a)

Group Manager – Water Resource Management

Assistant Group Manager – Water Resource Management

Resource Planning & Development Section Manager

- Resource Analysis Unit Manager
- System Analysis Unit Manager

---Program Manager – Transfers and Exchange Issues

---Program Manager – Groundwater

Resource Implementation Section Manager

1. Public utilities
2. Engineering services
3. Real property
4. Farming
5. Private water companies
6. Real estate development
7. Construction and maintenance equipment, services and supplies
8. Consulting services of the type utilized by this group, i. e. energy and power, economists, engineering, environmental, financial, planning, desalting, water pricing and demand, water resources, water conservation
9. Landscape service and supplies

--Imported Supply Unit Manager
 --Regional Supply Unit Manager
 --Program Manager – State Water Project
 Issues
 --Program Manager – Water Use
 Efficiency

10. Energy and Power
11. Equipment, supplies and services of the type utilized by this group
12. Temporary agency payroll services

§ 7216. Real Property Development and Management Group

(a)

Real Property Development and Management Group Manager

1. Real property
2. Public utilities of the type utilized by this group
3. Engineering services
4. Real estate development firms
5. Consulting services of the type utilized by this group, e.g. engineering, environmental, financial, recreation and real estate
6. Real estate sales and investment firms
7. Any business entity selling or leasing real property from Metropolitan
8. Escrow services
9. Data Services
10. Equipment and supplies of the type utilized by this group
11. Boating/marina related supplies and services
12. Consulting services (recreation related)
13. Construction and building equipment and supplies
14. Electrical equipment, parts and supplies
15. First-aid, safety equipment and supplies
16. Construction firms
17. Landscaping services
18. Concessionaire services

(b)

Real Estate Services Unit Manager
 Team Managers
 --Acquisition/Appraisal
 --Property Management

1. Real property
2. Public utilities of the type utilized by this unit
3. Engineering services of the type utilized by this unit
4. Real estate development firms
5. Consulting services of the type utilized by this unit, e.g. engineering, environmental, financial and real estate appraisers
6. Printing and reproduction services
7. Real estate sales and investment firms
8. Any business entity selling or leasing real property from Metropolitan
9. Escrow services
10. Data Services
11. Equipment and supplies of the type utilized by this unit

(c)

Recreation Development Team Manager
 Recreation Management Team Manager

1. Boating/marina related supplies and services
2. Consulting services (recreation related)
3. Construction and building equipment and supplies
4. Electrical equipment, parts and supplies of the type utilized by the team
5. First-aid, safety equipment and supplies
6. Construction firms
7. Landscaping services

- (d) Facilities Services Unit Manager
Team Managers
--Transportation and Mail
--Facilities Management

- 8. Concessionaire services
 - 1. Consulting services of the type utilized by this unit
 - 2. Equipment & supplies, e.g. tools, parts, furniture, office equipment & supplies, maintenance supplies and equipment safety
 - 3. Services of the type utilized by this unit, e.g. transportation, maintenance, food service, landscaping, movers, facility repair
 - 4. Construction firms
 - 5. Public utilities
 - 6. Entities leasing space or facilities at Metropolitan's Union Station Headquarters

§ 7217. Office of the General Manager

- (a) Board Executive Secretary

- 1. Transportation and lodging services
- 2. Food services and supplies

- (b) Special Projects Program Manager
Business Outreach Manager
Program Manager
Staff Assistant to the General Manager

Same as General Manager (See §7211(a))

- (c) (1) Human Resources Group Manager
Employee Relations Unit Manager
Equal Employment Office Manager
Training and Organization Effectiveness Unit Manager
Staffing Unit Manager

Classification/Compensation Unit Manager
Human Resources Information Systems & Benefits Unit Manager
--Benefits and Employee Services Team Manager

- 1. Consulting services of the type utilized by this section, e.g. employee training, labor and employee relations, medical benefits
- 2. Equipment, supplies and services of the type utilized by this section, e.g. those related to training, safety, advertising, insurance
- 3. Printing and reproduction services
- 4. Employment agencies
- 5. Newspapers, books and periodicals

- (2) Risk Management Unit Manager

- 1. Consulting services of the type utilized by this unit e.g. insurance actuarial, risk management, claims administration, health, safety & environmental services
- 2. Insurance companies

- (d) External Affairs Group
- (1) Group Manager / External Affairs Executive Strategist
Executive Legislative Representative – Washington, DC
Executive Legislative Representative – Sacramento

Same as General Manager (See § 7211(a))

- (2)

Media Services Section Manager	1. Newspapers, books and periodicals
--Publications Program Manager	2. Hotel & motel accommodations, restaurants, bus and airline charter
--Press Office Program Manager	3. Educational equipment, services and supplies
--Website Program Manager	4. Consulting services of the type utilized by this section
Customer & Community Services Section Unit Managers	5. Advertising and mail services
--Education	6. Audio or visual aids
--Community Partnering Program	7. Art supplies
--Outreach Program	8. Typographical services
Business Management Team Manager	9. Paper supplies
Customer Services Unit Manager	
(3) Section Manager / Legislative Services	Same as General Manager (See § 7211(a))
(4) Government and Regional Affairs Representatives	1. Hotel & motel accommodations, restaurants, bus and airline charter
	2. General and specialty equipment rentals
	3. Employment agencies
	4. Consultants of the type utilized by this group, e.g. public relations
(e) Strategic Water Initiatives	1. Public utilities
(1) Program Manager—Bay-Delta Issues	2. Engineering services
(2) Program Manager—Colorado River Resources Issues	3. Real property
	4. Farming
	5. Private water companies
	6. Real estate development
	7. Construction and maintenance equipment, services and supplies
	8. Consulting services of the type utilized by this group, i. e. energy and power, economists, engineering, environmental, financial, planning, desalting, water pricing and demand, water resources, water conservation
	9. Landscape service and supplies
	10. Energy and Power
	11. Equipment, supplies and services of the type utilized by this group
	12. Temporary agency payroll services

§ 7218. Ethics Office

Ethics Educator

Same as General Manager (See § 7211(a))

§ 7219 Legal Department.

(a)

Chief Deputy General Counsel
 Senior Deputy General Counsel
 Deputy General Counsel
 Associate Deputy General Counsel

Same as General Manager (See § 7211(a))

§ 7220. Public Officials Who Manage Public Investments.

The following positions are not covered by the code because they must file under Government Code Section 87200 and, therefore, are listed for information purposes only:

Members of the Board of Directors
 Chief Financial Officer
 Treasurer

Assistant Treasurer

An individual holding one of the above listed positions may contact the Fair Political Practices Commission for assistance or written advice regarding their filing obligations if they believe that their position has been categorized incorrectly. The Fair Political Practices Commission makes the final determination whether a position is covered by Section 87200

§ 7221. Consultants.

Consultants providing the services of designated employees shall be included in the list of designated employees and shall disclose pursuant to the broadest disclosure category in the code subject to the following limitation:

The General Manager may determine in writing that a particular consultant, although a "designated position," is hired to perform a range of duties that is limited in scope and thus is not required to fully comply with the disclosure requirements described in this section. Such written determination shall include a description of the consultant's duties and, based upon that description, a statement of the extent of disclosure requirements. The General Manager's determination is a public record and shall be retained for public inspection in the same manner and location as this Conflict of Interest Code.

Statement of Reasons for Amendments to Conflict of Interest Code

The Metropolitan Water District of Southern California (Metropolitan) is amending its Conflict of Interest Code to update it to reflect changes in its organization.

The following is a list of proposed amendments to Metropolitan's Conflict of Interest Code. Unless otherwise indicated, all section references are to sections of the revised Conflict of Interest Code.

Section 7211. Officers of the District. No changes were made to this section.

Section 7212. Office of the Chief Financial Officer. The Risk Management Unit has been moved to the Human Resources Group under the Office of the General Manager, and is now located in the Conflict of Interest Code in section 7217 (c). The Budget and Financial Planning Section has replaced the previously named Revenue, Rate and Budget Section. The position of Assistant Section Manager-Controller has been eliminated, and the Payroll/Accounts Payable and General Accounting Managers have changed from team managers to unit managers. The position of Treasurer has been deleted because this position reports under section 7220 as a public official managing public investments.

Section 7213. Water System Operations Group. The positions have been revised to reflect this group's current organization, and the disclosure categories have been updated to reflect the types of matters currently handled by the listed positions. There are two new positions within this group: Team Manager for the new Electrical Team supporting the Operations Support Service Section and Team Manager for the new Maintenance Engineering and Support Team under Operations Support Services Section. The Emergency Response Team has been deleted and the functions of that team have been assumed by the Security Management Unit and System Operations Unit.

Section 7214. Corporate Resources Group. Existing positions have been reformatted to streamline the Code and the disclosure categories have been revised to reflect the current job duties of these positions. There are two new positions: Technical Assistance Team Manager, under the Information Technology Section, and Engineering Program Manager, managing the Inland Feeder Program Manager and the Technical Control Team Manager. Under Business Services, the Facilities Services Unit Manager and Team Managers for Transportation and Mail and Facilities Management, with the same disclosure categories, have been moved to the Real Property Development and Management Group and are now located in Section 7216.

Section 7215. Water Resources Management Group. The Program Managers for Bay-Delta Issues and Colorado River Resources Issues, with the same disclosure categories, have been moved to the Office of the General Manager, under section 7217 (e), Strategic Water Initiatives.

Section 7216. Real Property Development and Management Group. The Facilities Services Unit Manager and Team Managers for Transportation and Mail and Facilities Management are now located in this Group, with the same disclosure categories.

Section 7217. Office of the General Manager. The positions have been revised to reflect the current organization of this office, and the disclosure categories have been updated to reflect the types of matters currently handled by the listed positions. The Human Resources Group has replaced the Human Resources Section, and the Risk Management Unit has been moved to the Human Resources Group from the Office of the Chief Financial Officer. The External Affairs Group has one new position of Executive Strategist, and the other changes to the External Affairs Group reflect changes in the

organization of this group. The Program Managers for Bay-Delta Issues and Colorado River Resource Issues have been moved to Strategic Water Initiatives under the Office of the General Manager from the Water Resources Management Group.

Section 7218. Office of Ethics. No changes were made to this section.

Section 7219. Legal Department. No changes were made to this section.