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METROPOLITAN WATER DISTRICT OF SOUTHERN CALIFORNIA

EXECUTIVE SECRETARY

December 14, 1994

(Organization and Personnel Committee--Action)

Board of Directors (Legal and Claims Committee--Action)

To:

From: General Counsel

Subject: Amendment of Conflict of Interest and Disclosure of Personal Finances Code

Report

Metropolitan's Conflict of Interest and Disclosure of Personal Finances Code (Conflict of Interest Code) was adopted by the Board and approved by the Fair Political Practices Commission (FPPC) as required by the Political Reform Act of 1974. It is necessary to amend Metropolitan's Conflict of Interest Code to maintain compliance with the Political Reform Act because of significant changes which have been made in Metropolitan's organization since the Conflict of Interest Code was last amended. Changes are also included to conform the Conflict of Interest Code with regulations adopted by the FPPC earlier this year.

Any amendment to Metropolitan's Conflict of Interest Code must be approved by the FPPC. Title 2, California Code of Regulations Section 18750.1 requires that Metropolitan establish a 45-day public comment period regarding proposed amendments to its Conflict of Interest Code. November 22, 1994 to January 6, 1995 was designated as the public comment period. To date, no comments have been received. Any comments received during the public comment period will be described in a supplement to this Board letter.

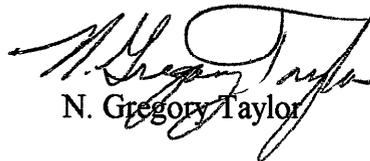
Most of the proposed changes to the Conflict of Interest Code are in response to the General Manager's reorganization of Metropolitan. Other changes reflect organizational adjustments made in response to Metropolitan's early retirement program. Changes include the consolidation of divisions, the creation of a number of new positions and deletion of others, and various changes in responsibilities, titles and job descriptions. Disclosure requirements for certain long-established positions have been edited and in some instances expanded to reflect current responsibilities.

Finally, to conform with Regulation 18720 of the FPPC, adopted May 25, 1994, all members of the Board of Directors and Metropolitan's officers and employees who manage public investments are deleted from the Conflict of Interest Code and directed to file statements of economic interests under a different provision of the Political Reform Act. Directors and finance officers will be required to file statements of economic interests as to all economic interests within Metropolitan's territory, not just sources of income of the type previously listed for their positions in the Conflict of Interest Code.

If the amendments proposed are approved by your Board, the Conflict of Interest Code in Division VII of the Administrative Code will be amended in full and replaced by the Conflict of Interest Code set forth in Attachment A. The amended Conflict of Interest Code will then be forwarded to the FPPC for final approval.

Recommendation

That Section 7105 and Chapter 2 of Division VII of Metropolitan's Administrative Code be amended to read as set forth in Attachment A to this letter.



N. Gregory Taylor

SBB:gm  
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Attachment

# ATTACHMENT A

## Division VII

### CONFLICT OF INTEREST AND DISCLOSURE OF PERSONAL FINANCES CODE

Chapter		Sec.
1	General Requirements	7100
2	Designated Positions and Categories of Disclosure Index	7200

#### Chapter 1

#### GENERAL REQUIREMENTS

Sec.

- 7100. Incorporation of Title 2, California Code of Regulations
- 7105. Filing of Statements of Economic Interests
- 7110. Opinions of the General Counsel

#### § 7100. Incorporation of Title 2, California Code of Regulations.

The Political Reform Act, Government Code Section 81000 et seq., requires state and local government agencies to adopt and promulgate Conflict of Interest Codes. The Fair Political Practices Commission has adopted a regulation, Title 2, California Code of Regulations, Section 18730, which contains the terms of a standard Conflict of Interest Code, which can be incorporated by reference, and which may be amended by the Fair Political Practices Commission to conform to amendments in the Political Reform Act after public notice and hearings. Therefore, the terms of Title 2, California Code of Regulations, Section 18730 and any amendments to it duly adopted by the Fair Political Practices Commission, along with Sections 7200 through 7215 in which officials and employees are designated and disclosure categories are set forth, are hereby incorporated by reference and constitute the Conflict of Interest Code of The Metropolitan Water District of Southern California.

#### § 7105. Filing of Statements of Economic Interests.

Designated employees shall file statements of economic interests with the agency. All statements of economic interests so filed shall be public documents open for public inspection and reproduction during regular business hours.

**§ 7110. Opinions of the General Counsel.**

(a) Opinion Requests. - Any designated employee who is unsure of any right or obligation arising under this Code may request an opinion from the General Counsel of Metropolitan or the Fair Political Practices Commission.

(b) Evidence of Good Faith. - If an opinion is rendered by the General Counsel of Metropolitan, stating in full the facts and the law upon which the opinion is based, compliance by a designated employee with such opinion may be evidence of good faith in any civil or criminal proceeding brought pursuant to the Political Reform Act of 1974 or this Code. The designated employee's good faith compliance with the opinion of the General Counsel shall also act as a complete defense to any disciplinary action that Metropolitan may bring under Section 91003.5 of said Act or this Code.

**Chapter 2**

**DESIGNATED POSITIONS AND CATEGORIES  
OF DISCLOSURE INDEX**

- Sec.
- 7200. General Provisions
  - 7201. Officers of the District
  - 7202. Administrative Services Division
  - 7203. Finance Division
  - 7204. Operations Division
  - 7205. Engineering Division
  - 7206. Human Resources Division
  - 7207. Public Affairs Division
  - 7208. Planning and Resources Division
  - 7209. Information Systems Division
  - 7210. Water Quality Division
  - 7211. Environmental Compliance Division
  - 7212. Office of the General Manager
  - 7213. Legal Department
  - 7214. Officials Who Manage Public Investments
  - 7215. Consultants

**§ 7200. General Provisions.**

(a) Investments, business positions in any business entity and sources of income listed in Column II of Sections 7201 through 7215 are disclosable if:

(1) The business entity in which the investment or business position is held is of the type which has contracted with Metropolitan within the previous two years; or

(2) The business entity in which the investment or business position is held is of the type which has furnished supplies or services as a subcontractor under any contract with Metropolitan within the previous two years; or

(3) The source of income is of the type which has contracted with Metropolitan within the previous two years; or

(4) The source of income is of the type which has furnished supplies or services as a subcontractor under any contract with Metropolitan within the previous two years.

(b) Where real property is made reportable in Column II of Sections 7201 through 7215, designated employees within Section 7201(a) shall report as an interest in real property any undeveloped real property located within Metropolitan's jurisdiction. For this purpose, "undeveloped real property" is defined as real property for which there are no current water connections available from Metropolitan to the retail supplier of water and such water connections would be necessary to provide a water supply to the property, or for which larger water delivery facilities from Metropolitan are required before increased water service can be provided for a presently contemplated change in the use to which the property is put.

Where real property is made reportable all designated employees shall disclose any interests in real property within two miles of any Metropolitan land if, within the previous two years, Metropolitan has engaged in a real property transaction or a change in use designation of that land or if Metropolitan has under active consideration, such a transaction or change in designation affecting or involving that land at the time of filing.

(c) A designated employee must disclose that the designated employee is a director, officer, partner, trustee, employee, or holds any position of management in a business entity listed in Column II of Sections 7201 through 7215 doing business within Metropolitan's jurisdiction and which is of the type which has contracted with Metropolitan or has furnished supplies or services as a subcontractor under any contract with Metropolitan within the previous two years.

**PUBLIC OFFICIALS DESIGNATION**

**TYPE OF BUSINESS ENTITY INVESTMENT,  
BUSINESS POSITION, SOURCE OF INCOME  
OR REAL PROPERTY DISCLOSABLE**

**§ 7201. Officers of the District.**

(a)

General Manager

Deputy General Manager

Chief Engineer

Chief of Operations

General Counsel

Assistant General Counsel

Auditor

Assistant Auditor

1. Office equipment and supplies
2. Banks and savings and loan institutions
3. Securities dealers
4. Real property
5. Public utilities
6. Financial audit services
7. Insurance services
8. Computer equipment, services and supplies
9. Printing, reproduction, or photographic equipment services and supplies
10. Periodicals books, newspapers
11. Chemicals
12. Motor vehicles and specialty vehicles, part and supplies
13. Construction and maintenance equipment, services and supplies
14. Petroleum products
15. Transportation and lodging services
16. Safety and security equipment and supplies
17. Security Services
18. Food services and supplies
19. Communication services
20. Water quality testing equipment, services and supplies
21. Cathodic protection equipment, services and supplies
22. Engineering services
23. Employment and temporary help agencies
24. Educational equipment, services and supplies
25. Medical supplies, services and informational materials
26. Landscape services and supplies
27. Typographical services
28. Four-color separations
29. General and specialty equipment rentals
30. Real estate sales and investment firms

<b>PUBLIC OFFICIALS DESIGNATION</b>	<b>TYPE OF BUSINESS ENTITY INVESTMENT, BUSINESS POSITION, SOURCE OF INCOME OR REAL PROPERTY DISCLOSABLE</b>
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**Officers of the District (Cont'd)**

- 31. Consulting services: legal, energy and power, engineering, soil testing, water treatment, data processing, computers, doctors, labor relations, employee training, advertising, communications, design, art work, audio visual, movie products, planning, water pricing and demand, water resources, economists, desalting, financial environmental, appraisers, investments, hazardous waste disposal
- 32. Title insurance and escrow services
- 33. Farming
- 34. Private water companies
- 35. Electrical generating equipment and supplies
- 36. Electrical equipment and supplies
- 37. Real estate development firms
- 38. Any business entity selling or leasing real property to, or purchasing or leasing real property from the District

(b)

Members of the Board of Directors	See Section 7214
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Chief Financial Officer

Assistant Chief Financial Officer

Controller

Assistant Controller

Treasurer

Assistant Treasurer

Deputy Treasurer

Deputy General Counsel--Financial  
Matters

<b>PUBLIC OFFICIALS DESIGNATION</b>	<b>TYPE OF BUSINESS ENTITY INVESTMENT, BUSINESS POSITION, SOURCE OF INCOME OR REAL PROPERTY DISCLOSABLE</b>
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**Officers of the District (Cont'd)**

(c) Deputy General Counsel (Including Senior Deputy General Counsel)	See Section 7213
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(d) Executive Secretary	1. Transportation and lodging services
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**§ 7202. Administrative Services  
Division.**

(a) Director of Administrative Services Assistant Director Administrative Services	1. Periodicals, books and newspapers 2. Graphic services and supplies 3. Real estate sales and investment firms 4. Real estate development firms 5. Same as other categories in Section 7202
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(b) <u>Office Services Branch</u> (1) Office Services Manager	1. Printing equipment, services and supplies 2. Copying equipment, services and supplies 3. Photographic equipment, services and supplies 4. Blueprint equipment, services and supplies 5. Periodicals, books and newspaper publishers 6. Microfilm equipment, services and supplies
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(c) <u>Purchasing and Stores Branch</u> (1) Manager, Purchasing and Stores  Assistant Manager, Purchasing and Stores  Principal Buyer	1. Chemicals 2. Process equipment 3. Motor vehicles and specialty vehicles, parts and supplies 4. Pipes, valves, fittings, pumps and meters 5. Electrical equipment, parts and supplies 6. Construction and building equipment and supplies 7. Petroleum products
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<b>PUBLIC OFFICIALS DESIGNATION</b>	<b>TYPE OF BUSINESS ENTITY INVESTMENT, BUSINESS POSITION, SOURCE OF INCOME OR REAL PROPERTY DISCLOSABLE</b>
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**Administrative Services Div. (Cont'd)**

- |              |  |
|--------------|--|
| Senior Buyer | 8. Hardware tools and supplies                               |
| Buyer        | 9. Printing or reproduction equipment, supplies and services |
| Junior Buyer | 10. Transportation companies                                 |
|              | 11. Public utilities   |
|              | 12. Computer equipment, services and supplies                |
|              | 13. Safety equipment and supplies                            |
|              | 14. Publishing services                                      |
|              | 15. Guard and maintenance services                           |
|              | 16. Food services and supplies                               |
|              | 17. Hotels and motels  |
|              | 18. Office equipment and supplies                            |
|              | 19. Maintenance supplies                                     |
|              | 20. Periodical, book and newspaper supplies                  |
|              | 21. Telecommunications services and supplies                 |

- (2)  
Principal Clerks--  
Transportation Services

1. Transportation companies
2. Motels and hotels

- (3)  
Superintendent of Stores  
  
Material System Supervisor

1. Motor vehicle supplies
2. Pipes, valves, fittings, pumps and meters
3. Electrical supplies
4. Construction supplies
5. Hardware, tools and supplies
6. Safety equipment and supplies
7. Office equipment and supplies
8. Chemicals
9. Maintenance supplies
10. Telecommunications supplies

- (d)  
Graphic Services Branch  
Manager-Graphic Services  
Graphics Supervisor

1. Graphic Arts Services
2. Printing or reproduction equipment, supplies and services
3. Computer equipment, software, services and supplies
4. Publishing services

<b>PUBLIC OFFICIALS DESIGNATION</b>	<b>TYPE OF BUSINESS ENTITY INVESTMENT, BUSINESS POSITION, SOURCE OF INCOME OR REAL PROPERTY DISCLOSABLE</b>
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**§ 7203. Finance Division.**

(a) Chief Financial Officer	See Section 7214
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Assistant Chief Financial Officer

Controller

Assistant Controller

Treasurer

Assistant Treasurer

Deputy Treasurer

(b) Manager of Financial Services	1. Banks and savings and loan institutions 2. Financial audit services
Assistant Manager of Financial Services	3. Consulting services (investments, and financial) 4. Securities dealers

(c) Risk Manager	1. Consulting services (insurance) 2. Insurance companies 3. Safety and security equipment and supplies 4. Security services
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**§ 7204. Operations Division.**

(a) Assistant Chief of Operations	1. Public utilities
Branch Managers/Area Superintendents	2. Construction and building equipment and supplies 3. Real property 4. Chemicals
Desert Branch	5. Pipes, valves, fittings, pumps, meters, equipment and supplies
La Verne Branch	6. Motor vehicles and specialty vehicles, and supplies 7. Petroleum products 8. Communication services

<b>PUBLIC OFFICIALS DESIGNATION</b>	<b>TYPE OF BUSINESS ENTITY INVESTMENT, BUSINESS POSITION, SOURCE OF INCOME OR REAL PROPERTY DISCLOSABLE</b>
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**Operations Division (Cont'd)**

- |                      |   |
|----------------------|---|
| Los Angeles Branch   | 9. Water quality testing equipment services and supplies    |
| Orange County Branch | 10. Guard and maintenance service                           |
| Riverside Branch     | 11. Food service and supplies                               |
| Skinner Branch       | 12. Safety equipment and supplies                           |
| Valley Branch        | 13. Electrical equipment, parts and supplies                |
|                      | 14. Hardware, tools and supplies                            |
|                      | 15. Solar energy equipment                                  |
|                      | 16. Airplane equipment and supplies                         |
|                      | 17. Consulting services (energy and power, water treatment) |
|                      | 18. Maintenance supplies                                    |
|                      | 19. Telecommunications supplies                             |

(b)

Construction Services Branch  
Construction Services Manager

Principal Engineer

Senior Engineer

1. Public utilities
2. Construction and building equipment and supplies
3. Real property
4. Pipes, valves, fittings, pumps, meters, equipment and supplies
5. Food service and supplies
6. Safety equipment and supplies
7. Electrical equipment, parts and supplies
8. Hardware, tools and supplies
9. Motor vehicles and specialty vehicles, and supplies
10. Petroleum products
11. Maintenance supplies

(c)

Special Services Branch  
Special Services Manager

Principal Engineer

Senior Engineer

1. Motor vehicles and specialty vehicles, and supplies
2. Communication services
3. Guard and maintenance service
4. Food service and supplies
5. Safety equipment and supplies
6. Construction and building equipment and supplies
7. Pipes, valves, fittings, pumps, meters, equipment and supplies
8. Motor vehicles and specialty vehicles, and supplies
9. Petroleum products
10. Electrical equipment, parts and supplies
11. Hardware, tools and supplies

<b>PUBLIC OFFICIALS DESIGNATION</b>	<b>TYPE OF BUSINESS ENTITY INVESTMENT, BUSINESS POSITION, SOURCE OF INCOME OR REAL PROPERTY DISCLOSABLE</b>
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**Operations Division (Cont'd)**

12. Solar energy equipment
13. Airplane equipment and supplies
14. Maintenance supplies
15. Telecommunications supplies

(d)

Technical Services Branch

Technical Services Manager

Principal Engineer

Senior Engineer

1. Public utilities
2. Real property
3. Chemicals
4. Pipes, valves, fittings, pumps, meters, equipment and supplies
5. Communication services
6. Water quality testing equipment, services and supplies
7. Electrical equipment, parts and supplies
8. Solar energy equipment
9. Consulting services (energy and power, water treatment)
10. Telecommunications supplies
11. Motor vehicles and specialty vehicles, and supplies
12. Petroleum products
13. Guard and maintenance service
14. Safety equipment and supplies
15. Hardware, tools and supplies
16. Maintenance supplies

(e)

Systems Operations Branch

Systems Operation Manager

Principal Engineer

Senior Engineer

1. Public utilities

(f)

Operations Services Branch

Operations Services Manager

1. Public utilities
2. Construction and building equipment and supplies

**PUBLIC OFFICIALS DESIGNATION**

**TYPE OF BUSINESS ENTITY INVESTMENT,  
BUSINESS POSITION, SOURCE OF INCOME  
OR REAL PROPERTY DISCLOSABLE**

**Operations Division (Cont'd)**

Principal Engineer

3. Real property

Senior Engineer

4. Pipes, valves, fittings, pumps, meters, equipment and supplies

5. Communication services

6. Consultants (engineering, management, security and communications)

(g)

Control Systems Branch

Control Systems Manager

1. Electronics supplies and equipment

2. Computer software and materials

Principal Engineer

3. Communications equipment and supplies

4. Digital and analog measurement, transmission, and recording instrument, equipment and supplies

Senior Engineer

5. Consultants (data processing, electronics, engineering)

(h) Emergency Preparedness and Security Branch

Special Project Supervisor

1. Consulting services (security, emergency preparedness)

2. Investigative security services

3. Security equipment

**§ 7205. Engineering Division.**

(a)

Assistant Chief Engineer

1. Motor vehicles and specialty vehicles, parts and supplies

2. Construction and building equipment and supplies

Engineering Program Manager

3. Consultants (e.g., legal, engineering, soil testing, geology, project management, computers and appraisers)

4. Pipes, valves, fittings, pumps, meters, equipment and supplies

5. Safety equipment and supplies

6. Construction firms

7. Soil testing equipment, services and supplies

8. Surveying equipment, services and supplies

9. Real property

10. Cathodic protection equipment, services and supplies

11. Concrete testing equipment, services and supplies

12. Public utilities

13. Equipment services

<b>PUBLIC OFFICIALS DESIGNATION</b>	<b>TYPE OF BUSINESS ENTITY INVESTMENT, BUSINESS POSITION, SOURCE OF INCOME OR REAL PROPERTY DISCLOSABLE</b>
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**Engineering Division (Cont'd)**

14. Soil tests, compaction and other agreements on grading requirements
15. Real estate and investment firms
16. Any business entity selling or leasing real property to, or purchasing or leasing real property from Metropolitan
17. Title insurance and escrow services
18. Real estate development firms

(b)

Principal Engineer--

Contract Administration

Field Inspection

Technical Support

Senior Engineer--

Contract Administration

Field Inspection

1. Construction and building equipment and supplies
2. Consulting services (legal, engineering, and soil testing)
3. Pipes, valves, fittings, pumps, meters
4. Safety equipment and supplies
5. Construction firms
6. Soil testing equipment, services and supplies
7. Surveying equipment, services and supplies
8. Cathodic protection equipment, services and supplies
9. Concrete testing equipment, services and supplies

(c)

Office Engineering Branch

Principal Engineer

Senior Engineer

1. Construction and building equipment and supplies
2. Pipes, valves, fittings, pumps, meters, equipment and supplies
3. Printing and reproduction services
4. Newspapers, books and periodicals
5. Engineering labor services/agencies
6. Computer equipment, services and supplies
7. Consulting services (engineering)

(d)

Civil Engineering Branch

Principal Engineer

1. Construction and building equipment and supplies
2. Pipes, valves, fittings, pumps, meters, equipment and supplies

<b>PUBLIC OFFICIALS DESIGNATION</b>	<b>TYPE OF BUSINESS ENTITY INVESTMENT, BUSINESS POSITION, SOURCE OF INCOME OR REAL PROPERTY DISCLOSABLE</b>
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**Engineering Division (Cont'd)**

- |                           |   |
|---------------------------|---|
| Senior Engineer           | 3. Real property  |
| Principal Program Analyst | 4. Public utilities   |
|                           | 5. Consulting services (e.g., engineering, geotechnical and soil testing) |
|                           | 6. Engineering labor services/agencies                                    |
|                           | 7. Computer equipment, services and supplies                              |

(e)

Electrical Engineering Branch

- |                    |   |
|--------------------|---|
| Principal Engineer | 1. Construction and electrical equipment and services |
| Senior Engineer    | 2. Public utilities                                   |
|                    | 3. Consulting services (engineering)                  |
|                    | 4. Engineering labor services/agencies                |

(f)

Structural Engineering Branch

- |                    |   |
|--------------------|---|
| Principal Engineer | 1. Construction and building equipment and supplies                 |
| Senior Engineer    | 2. Consulting services (engineering, geotechnical and soil testing) |
|                    | 3. Engineering labor services/agencies                              |

(g)

Safety and Dams and Hydraulics Branch

- |                    |  |
|--------------------|--|
| Principal Engineer | 1. Consulting services (geotechnical and soil testing)                           |
| Senior Engineer    | 2. Real property   |
|                    | 3. Vendors (dam surveillance and groundwater monitoring and telemetry equipment) |

(h)

Mechanical Engineering Branch

- |                    |  |
|--------------------|--|
| Principal Engineer | 1. Construction and building equipment and supplies                  |
| Senior Engineer    | 2. Pipes, valves, fittings, pumps, meters, equipment and supplies    |
|                    | 3. Safety equipment and supplies                                     |
|                    | 4. Engineering labor services  |
|                    | 5. Consulting services (engineering)                                 |
|                    | 6. Printing and reproduction services                                |
|                    | 7. Electrical and electronic equipment, instrumentation and supplies |
|                    | 8. Real property   |

**PUBLIC OFFICIALS DESIGNATION**

**TYPE OF BUSINESS ENTITY INVESTMENT,  
BUSINESS POSITION, SOURCE OF INCOME  
OR REAL PROPERTY DISCLOSABLE**

**Engineering Division (Cont'd)**

(i)

Special Projects Engineering Branch

Principal Engineer

Senior Engineer

1. Construction and building equipment and supplies
2. Pipes, valves, fittings, pumps, meters. equipment and supplies
3. Safety equipment and supplies
4. Engineering labor services
5. Consulting services (engineering and geotechnical)
6. Printing and reproduction services
7. Real property
8. Soil testing equipment services and supplies
9. Public utilities

(j)

Pipeline Engineering Branch

Principal Engineer

Senior Engineer

1. Construction and building equipment and supplies
2. Pipes, valves, fittings, pumps, meters. equipment and supplies
3. Engineering labor services
4. Consulting services (engineering and geotechnical)
5. Real property
6. Soil testing equipment services and supplies
7. Public utilities

(k)

Right of Way and Land Program

(1)

Right of Way and Land Program  
Manager

Assistant Right of Way and Land  
Program Manager

1. Real property
2. Consulting services (appraisers)
3. Real estate sales and investment firms
4. Any business entity selling or leasing real property to, or purchasing or leasing real property from Metropolitan
5. Title insurance and escrow services
6. Real estate development firms

<b>PUBLIC OFFICIALS DESIGNATION</b>	<b>TYPE OF BUSINESS ENTITY INVESTMENT, BUSINESS POSITION, SOURCE OF INCOME OR REAL PROPERTY DISCLOSABLE</b>
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**Engineering Division (Cont'd)**

- |   |  |
|---|--|
| <p>(2)</p> <p>Real Property Branch Manager</p> <p>Principal Real Estate Representative</p>  | <ol style="list-style-type: none"> <li>1. Real property</li> <li>2. Consulting services (appraisers)</li> <li>3. Real estate and investment firms</li> <li>4. Any business entity selling or leasing real property to, or purchasing of leasing real property from Metropolitan</li> <li>5. Real estate development firms</li> </ol> |
| <p>(3)</p> <p>Senior Real Estate Representative<br/>(Contract Procurement)</p> <p>Real Estate Representative<br/>(Contract Procurement)</p>         | <ol style="list-style-type: none"> <li>1. Real property</li> <li>2. Consulting services (appraisers)</li> </ol>  |
| <p>(4)</p> <p>Right-of-Way Engineering Branch<br/>Manager</p> <p>Principal Engineering Technician</p> <p>Engineer</p>                               | <ol style="list-style-type: none"> <li>1. Title insurance and escrow services</li> <li>2. Consulting services (engineering and survey)</li> </ol>  |
| <p>(5)</p> <p>Senior Real Estate Representative<br/>(Title and escrow matters)</p> <p>Real Estate Representative<br/>(Title and escrow matters)</p> | <ol style="list-style-type: none"> <li>1. Title insurance and escrow services</li> </ol>   |

<b>PUBLIC OFFICIALS DESIGNATION</b>	<b>TYPE OF BUSINESS ENTITY INVESTMENT, BUSINESS POSITION, SOURCE OF INCOME OR REAL PROPERTY DISCLOSABLE</b>
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**§ 7206. Human Resources Division**

- |     |                                       |  |
|-----|---------------------------------------|--|
| (a) | Director of Human Resources           | 1. Employment agencies   |
|     | Assistant Director of Human Resources | 2. Advertising services  |
|     | Employee Relations Administrator      | 3. Newspapers, books and periodicals   |
|     | Manager of Personnel Services         | 4. Medical services and supplies   |
|     |                                       | 5. Consulting services (doctors, labor relations,<br>classification studies, safety, security) |
|     |                                       | 6. Employee training equipment and supplies (electronic,<br>visual, radio)                     |
|     |                                       | 7. Investigative and security services   |
|     |                                       | 8. Security equipment  |
|     |                                       | 9. Insurance services  |

- |     |                             |  |
|-----|-----------------------------|--|
| (b) | Principal Personnel Analyst |  |
|     | (1)                         |  |
|     | Classification/Compensation | 1. Consulting services (employment classification and<br>compensation, job audits) |
|     | (2)                         |  |
|     | Organization Development    | 1. Consulting services (employee training, organization<br>development)            |
|     |                             | 2. Training materials  |

- |     |                                |                                  |
|-----|--------------------------------|----------------------------------|
| (c) | Supervising Nurse Practitioner | 1. Medical services and supplies |
|     |                                | 2. Consulting services (doctors) |

**§ 7207. Public Affairs Division.**

- |     |  |  |
|-----|--|--|
| (a) | Director of Public Affairs                     | Same as the General Manager<br>(See Section 7201(a)) |
|     | Senior Assistant Director of Public<br>Affairs |  |

**PUBLIC OFFICIALS DESIGNATION**

**TYPE OF BUSINESS ENTITY INVESTMENT,  
BUSINESS POSITION, SOURCE OF INCOME  
OR REAL PROPERTY DISCLOSABLE**

**Public Affairs Division (Cont'd)**

- (b)  
Principal Public Affairs Representative  
(Education Programs)
1. Newspapers, books, periodicals
  2. Educational equipment, services and supplies
  3. Consulting services (communications, design, art work, audio visual)
- (c)  
Principal Public Affairs Representative  
(Community Relations)
1. Newspapers, books and periodicals
  2. Hotel and motel accommodations, restaurants, bus and airline charter
- (d)  
Principal Public Affairs Representative  
(Publications)
1. Newspapers, books and periodicals
  2. Art supplies
  3. Typographical services
  4. Paper supplies
  5. Four-color separations service
  6. Consulting services (advertising, communications, design, art work, audio-visual, movie production)
- (e)  
Principal Public Affairs Representative  
(Media)
1. Advertising services
  2. Audio or visual aids
  3. Newspapers, books and periodicals
  4. Consulting services (advertising, communications, audio visual, movie productions)
- (f)  
Principal Government Relations  
Representative
1. Hotel and motel accommodations, restaurants, bus and airline charter
  2. General and specialty equipment rentals
- Senior Government Relations  
Representative
3. Employment agencies
  4. Consultants (public relations)
- Government Relations Representative
5. Newspapers, books and periodicals

**PUBLIC OFFICIALS DESIGNATION**

**TYPE OF BUSINESS ENTITY INVESTMENT,  
BUSINESS POSITION, SOURCE OF INCOME  
OR REAL PROPERTY DISCLOSABLE**

**§ 7208. Planning and Resources  
Division.**

(a)

Chief of Planning and Resources

Assistant Chief of Planning and  
Resources

--Financial and System Planning

--State Water Project Resources

--Colorado River Resource and  
Power

--Colorado River Resources and  
Power

--Local Resources

Principal Resource Specialist

1. Public utilities
2. Engineering services
3. Real property
4. Farming
5. Private water companies
6. Real estate development
7. Construction and maintenance equipment, services and supplies
8. Consulting services (energy and power, economists, engineering, environmental, financial, planning, desalting, water pricing and demand, water resources)

(b)

Colorado River Resources Branch

Principal Engineer

Senior Engineer

1. Public utilities
2. Engineering services
3. Farming
4. Construction and maintenance equipment, services and supplies
5. Consulting services (energy and power)

(c)

Power Resources Branch

Principal Engineer

Senior Engineer

1. Real property
2. Consulting services (engineering, planning, environmental, financial)
3. Public utilities
4. Engineering services
5. Construction and maintenance equipment, services and supplies

**PUBLIC OFFICIALS DESIGNATION**

**TYPE OF BUSINESS ENTITY INVESTMENT,  
BUSINESS POSITION, SOURCE OF INCOME  
OR REAL PROPERTY DISCLOSABLE**

**Planning and Resources Div. (Cont'd)**

(d)

State Water Project Branch

Principal Engineer

Senior Engineer

1. Real property
2. Public utilities
3. Construction and maintenance equipment, services and supplies
4. Engineering services
5. Real estate development firms
6. Farming
7. Consulting services (engineering, environmental, financial, energy and power, water resources, water pricing and demand)

(e)

Bay-Delta Branch

Principal Engineer

Senior Engineer

1. Consulting services (engineering and environmental)

(f)

Water Transfer and Exchange Branch

Principal Resources Specialist

1. Real estate development firms
2. Farming
3. Consulting services (energy, environmental and water resources)

(g)

Reclaimed Water and Resources  
Management Branch

Principal Engineer

Senior Engineer

1. Real property
2. Public utilities
3. Construction and maintenance equipment, services and supplies
4. Engineering services
5. Real estate development firms
6. Farming
7. Consulting services (engineering, environmental, energy and power, water resources, water reclamation, water pricing and demand)

**PUBLIC OFFICIALS DESIGNATION**

**TYPE OF BUSINESS ENTITY INVESTMENT,  
BUSINESS POSITION, SOURCE OF INCOME  
OR REAL PROPERTY DISCLOSABLE**

**Planning and Resources Div. (Cont'd)**

(h)

Regional Groundwater Resources  
Branch

Principal Engineer

Senior Engineer

1. Real property
2. Farming
3. Consulting services (engineering, planning,  
environmental, financial)
4. Public utilities
5. Private water companies
6. Engineering services
7. Construction and maintenance equipment, services and  
supplies

(i)

Water Conservation Branch

Principal Engineer

Senior Engineer

1. Construction and maintenance equipment, services and  
supplies
2. Consultant services (energy, and power, environmental,  
water resources and water pricing and demand)

(j)

Advance Planning Branch

Principal Engineer

Senior Engineer

1. Real property
2. Farming
3. Consulting services (engineering, planning, environmental,  
financial)
4. Public utilities
5. Private water companies
6. Engineering services

(k)

Water Supply and Demand Branch

Principal Engineer

Senior Engineer

1. Real property
2. Public utilities
3. Engineering services
4. Real estate development firms
5. Farming
6. Consulting services (engineering, environmental, financial,  
energy and power, water resources, water pricing and demand)

(l)

Environmental Planning Branch

1. Real property
2. Public utilities



**PUBLIC OFFICIALS DESIGNATION**

**TYPE OF BUSINESS ENTITY INVESTMENT,  
BUSINESS POSITION, SOURCE OF INCOME  
OR REAL PROPERTY DISCLOSABLE**

**Information Systems Division (Cont'd)**

--Information Systems

Supervisor, Special Projects

Section Manager

--Technical Support

--Communication Network Engineering

--Network Systems

--Workstation Support

--Service Enhancement Projects

--LAN Technical Support

--QA and Testing

--Application Training and Support

**§ 7210. Water Quality Division.**

(a)

Director of Water Quality

Associate Director of Water Quality

Water Purification Engineer

1. Chemicals
2. Pipes, valves, fittings, pumps, meters equipment and supplies
3. Safety equipment and supplies
4. Water quality testing, equipment, supplies and services
5. Consultants (water quality)

(b)

Manager, Chemistry Branch

Manager, Microbiology Branch

1. Chemicals
2. Safety equipment and supplies
3. Water quality testing, equipment, supplies and services
4. Consultants (water quality)

(c)

Principal Engineer

Senior Engineer

1. Consultants (water quality, water quality regulations)

<b>PUBLIC OFFICIALS DESIGNATION</b>	<b>TYPE OF BUSINESS ENTITY INVESTMENT, BUSINESS POSITION, SOURCE OF INCOME OR REAL PROPERTY DISCLOSABLE</b>
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**§ 7211. Environmental Compliance  
Division.**

- |  |   |
|--|---|
| (a)<br>Director, Environmental Division  | <ol style="list-style-type: none"> <li>1. Consulting services (environmental assessment, legislative, medical, health and safety)</li> <li>2. Hazardous waste disposal/treatment services</li> <li>3. Safety and security equipment and suppliers</li> <li>4. Educational equipment, services and supplies</li> </ol> |
| (b)<br>Principal Environmental Specialist/<br>Manager, Workplace Health and Safety | <ol style="list-style-type: none"> <li>1. Safety, health and medical services and supplies</li> <li>2. Consulting services (health and safety, medical, training)</li> <li>3. Educational equipment, services and supplies</li> </ol>   |
| (c)<br>Principal Environmental Specialist/<br>Manager, Regulatory Affairs          | <ol style="list-style-type: none"> <li>1. Consulting services (environmental assessment, legislative, health and safety)</li> </ol>   |
| (d)<br>Principal Environmental Specialist/<br>Manager, Environmental Branch        | <ol style="list-style-type: none"> <li>1. Consulting services (environmental, hazardous waste disposal)</li> <li>2. Hazardous waste disposal/treatment services</li> </ol>  |

**§ 7212. Office of the General Manager.**

- |   |  |
|---|--|
| (a)<br>Executive Legislative Representative | Same as the General Manager<br>(See Section 7201(a)) |
| Principal Legislative Representative        |  |
| Assistant Legislative Representative        |  |
| (b)<br>Executive Assistant                  | Same as the General Manager<br>(See Section 7201(a)) |

<b>PUBLIC OFFICIALS DESIGNATION</b>	<b>TYPE OF BUSINESS ENTITY INVESTMENT, BUSINESS POSITION, SOURCE OF INCOME OR REAL PROPERTY DISCLOSABLE</b>
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**Office of the General Manager (Cont'd)**

- |   |  |
|---|--|
| (c)<br>Office of Diversity  | Same as the General Manager<br>(See Section 7201(a)) |
| Minority Business Enterprise/Women's<br>Business Enterprise Outreach Program<br>Administrator |  |
| Equal Employment Opportunity Officer  |  |

**§ 7213. Legal Department.**

- |                               |  |
|-------------------------------|--|
| Senior Deputy General Counsel | Same as the General Manager<br>(See Section 7201(a)) |
| Deputy General Counsel        |  |

**§ 7214. Officials Who Manage Public Investments.**

It has been determined that the persons in the positions listed below manage or approve public investments and will file Form 721:

- Members of the Board of Directors
- Chief Financial Officer
- Assistant Chief Financial Officer
- Auditor
- Assistant Auditor
- Controller
- Assistant Controller
- Treasurer
- Assistant Treasurer
- Deputy Treasurer
- Deputy General Counsel--Financial Matters

**§ 7215. Consultants.**

Consultants shall be included in the list of designated employees and shall disclose pursuant to the broadest disclosure category in the code subject to the following limitation:

The General Manager may determine in writing that a particular consultant, although a "designated position," is hired to perform a range of duties that is limited in scope and thus is not required to fully comply with the disclosure requirements described in this section. Such written determination shall include a description of the consultant's duties and, based upon that description, a statement of the extent of disclosure requirements. The General Manager's determination is a public record and shall be retained for public inspection in the same manner and location as this Conflict of Interest Code.

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